

# **AN IMMUNOCOMPETENT INTESTINE-ON-CHIP MODEL AS PLATFORM FOR THE DISSECTION OF HOST-MICROBIOTA INTERACTION**

## **Dissertation**

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*"We'll never know what this is  
'Til we take a look inside"*

Lab Monkey, by Alice in Chains

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# Abbreviations

APC	antigen-presenting cell
<i>C. albicans</i>	<i>Candida albicans</i>
DC	dendritic cell
DSS	dextran sodium sulfate
GI tract	gastrointestinal tract
HIO	human intestinal organoid
hiPSCs	human induced pluripotent stem cells
IBD	inflammatory bowel diseases
<i>L. rhamnosus</i>	<i>Lactobacillus rhamnosus</i>
LPS	lipopolysaccharides
LTA	lipoteichoic acid
MAMPs	microbe-associated molecular patterns
MPS	microphysiological systems
OoC	Organ-on-chip
PBMCs	peripheral blood mononuclear cells
PP	Peyer's patches
PRR	pattern recognition receptors
RA	retinoic acid
ROS	reactive oxygen species
<i>S.typhimurium</i>	<i>Salmonella enterica</i> subs. <i>enterica</i> ser. Typhimurium
TED	transepithelial dendrite
TGF- $\beta$	transforming growth factor beta
TLR	toll-like receptor
TSLP	thymic stromal lymphopoietin

## Summary

Research investigating the human intestinal microbiota has recently gained momentum in the scientific literature. Mouse models have provided a number of indications on the involvement of the microbiota in health and disease. An alteration of the intestinal community called dysbiosis is associated with a range of conditions such as neurological diseases, diabetes, and inflammatory bowel diseases (IBD). However, the microbiota composition and the immune system substantially differ between mice and humans. To circumvent the inter-species differences and ethical issues related to animal experimentation, sophisticated *in vitro* models using human cells are at hand, which represent important cornerstones of microbiota research. Recently, organ-on-chip (OoC) platforms have been established to mimic organ-specific physiological microenvironments. By making use of microfluidic technologies and biocompatible materials, microphysiological systems (MPS) facilitate the co-culture of human host cells and microbes such as bacteria, fungi, archaea, protozoa, as well as viruses. Therefore, MPS provide the opportunity to study the interaction of host cells and microbiota and unravel underlying mechanisms.

In manuscript I, we describe the establishment of a microfluidic intestine-on-chip model comprising of epithelial cells in the luminal compartment and endothelial cells as well as macrophages in the vascular compartment. In this thesis, we characterized monocyte-derived phagocytes in the system using immunofluorescence staining, revealing the presence of two phenotypically distinct subsets. We found macrophage-like cells expressing high levels of CD68 and the fractalkine receptor but also dendritic cell (DC)-like phagocytes with low CD68 and high CD103 expression. DCs formed protrusions that reached through the epithelial cells into the lumen, indicating luminal antigen sampling. We, therefore, assumed that supplementation of polarizing cytokines, combined with the environment of the intestinal tissue fostered the differentiation of monocytes into different myeloid lineages.

Another aspect of this thesis was to enable the colonization of the model with bacteria of the probiotic strain *Lactobacillus rhamnosus* and their interplay with the opportunistic fungus *Candida albicans*. First, we established a colonization protocol for *L. rhamnosus* and additionally perfused the endothelial layer with peripheral blood mononuclear cells (PBMCs) to increase resemblance to the *in vivo* situation. The intestine-on-chip model showed immune tolerance towards lactobacilli, in control

experiments and after luminal administration of lipopolysaccharides (LPS), which are normally present in the gastrointestinal tract. The amount of the cytokines Interleukin (IL-) 1 $\beta$ , IL-6, IL-8, IL-10, and tumor necrosis factor in the supernatants were measured using a cytometric bead array and revealed low-level cytokine secretion. Image analysis of junctional proteins E-cadherin and ZO-1 demonstrated enhanced expression of the proteins, and therefore an increased barrier function. However, when LPS was administered to the vascular compartment mimicking endotoxemia, the immune cells elicited an inflammatory response. In the presence of *L. rhamnosus*, secretion was even enhanced for certain cytokines in this condition. Even so, the increased cytokine release did not compromise barrier integrity. Instead, the presence of lactobacilli improved barrier function, when compared to experiments lacking the probiotics. This protective effect of *L. rhamnosus* has also been demonstrated by other research groups. Moreover, the bacteria also inhibited the growth of *C. albicans*, reduced tissue damage, and decreased translocation of the fungus from the luminal to the vascular compartment upon infection. Taken together, the intestinal model displayed organ-specific structures and included innate immune cells, which exhibited immune tolerance towards commensal bacteria and their products. Therefore, the platform allows detailed analysis of host-microbiota interactions and accompanying immune responses. In addition, the model facilitated studying the interplay of commensal bacteria and opportunistic pathogens under physiologically relevant conditions. Our intestine-on-chip model proved to be a valuable tool suitable for future investigation of diseases associated with intestinal inflammation.

In Manuscript II, established *in vitro* models and the potential of OoC models were discussed in reference to fungal infections. *Aspergillus fumigatus*, *Histoplasma capsulatum*, *Cryptococcus neoformans*, and *C. albicans* are responsible for the largest share of fungal infections worldwide and require to be investigated under physiologically relevant conditions created using *in vitro* models. Although OoC models are reductionist and cannot yet be used for systemic analysis, they can make important contributions in broadening our understanding of microbiota-host interactions and fungal infections.

## Zusammenfassung

In der wissenschaftlichen Literatur hat die Erforschung der humanen Darmflora zuletzt an Dynamik gewonnen. Mausmodelle haben eine Reihe von Hinweisen geliefert, dass unsere Darmbewohner in den Erhalt unserer Gesundheit und Krankheitsverläufe involviert sind. Veränderungen der Darmflora, Dysbiose genannt, stehen mit einigen Erkrankungen in Verbindung, zum Beispiel neurologischen Erkrankungen, Diabetes und entzündlichen Darmerkrankungen. Allerdings variiert die Zusammensetzung der Darmflora und das Immunsystem zwischen Maus und Mensch erheblich. Um die Artunterschiede und ethische Problematik von Tierversuchen zu umgehen, können stattdessen komplexe *in vitro*-Modelle mit humanen Zellen verwendet werden, die wichtige Säulen der Erforschung der Darmflora darstellen. Unlängst wurden Organ-on-Chip (OoC)-Plattformen etabliert, um eine organspezifische physiologische Mikroumgebung zu schaffen. Durch die Verwendung mikrofluidischer Technologien und biokompatiblen Materialien ermöglichen mikrophysiologische Systeme (MPS) die Ko-Kultivierung von humanen Wirtszellen und Mikroben, zum Beispiel Bakterien, Pilzen, Protozoen, aber auch Viren. Daher bieten MPS die Möglichkeit, die Interaktion von Wirtszellen und Darmflora zu untersuchen und zu Grunde liegende Mechanismen aufzuklären. In Manuskript I beschreiben wir die Etablierung eines mikrofluidischen Darm-on-Chip-Modells mit Epithelzellen in der luminalen Kammer und Endothelialzellen sowie Makrophagen in der vaskulären Kammer. In dieser Arbeit charakterisierten wir die aus Monozyten differenzierten Phagozyten im System mittels Immunfluoreszenzfärbung, was die Präsenz zweier unterschiedlicher Subtypen offenbarte. Wir fanden Makrophagen-ähnliche Zellen, die CD68 und den Fraktalkin-rezeptor auf hohem Niveau exprimierten, und darüber hinaus Phagozyten mit niedriger CD68- und hoher CD103-Expression, die dendritischen Zellen ähnelten. Dendritische Zellen formten Ausstülpungen, die zwischen epithelialen Zellen hindurch ins Lumen reichten und damit auf luminales Sammeln von Antigenen hinwiesen. Daher kann davon ausgegangen werden, dass die Differenzierung der Monozyten in verschiedene myeloide Zelltypen durch den Zusatz von polarisierenden Zytokinen zusammen mit der Umgebung des Darmgewebes begünstigt wurde. Ein weiterer Aspekt dieser Arbeit war es, die Kolonisierung des Modells mit dem probiotischen Stamm *Lactobacillus rhamnosus* und die Interaktion der Laktobazillen mit dem opportunistischen Pilz *Candida albicans* zu ermöglichen. Zunächst etablierten wir ein Kolonisierungsprotokoll

für *L. rhamnosus* und perfundierten die Endothelschicht zusätzlich mit mononukleären Zellen des peripheren Blutes, um die Ähnlichkeit mit der *in vivo*-Situation zu erhöhen. Das Darm-on-Chip-Modell zeigte Immuntoleranz gegenüber den Laktobazillen, in Kontrollversuchen, sowie nach der luminalen Zugabe von Lipopolysacchariden (LPS), welche normalerweise im Darmtrakt vorhanden sind. Die Menge der Zytokine Interleukin (IL)-1 $\beta$ , IL-6, IL-8, IL-10 und des Tumornekrosefaktors in den Überständen wurde mittels Durchflusszytometrie gemessen und offenbarte eine niedrige Zytokinsekretion. Bildanalysen der zellkontaktvermittelnden Proteine E-cadherin und ZO-1 zeigten eine verstärkte Expression der Proteine, und daher eine Festigung der Barriere. Wenn LPS jedoch zur vaskulären Kammer hinzugegeben wurde um eine Endotoxämie nachzuahmen, riefen die Immunzellen eine inflammatorische Antwort hervor. In der Anwesenheit von *L. rhamnosus* war die Sekretion bestimmter Zytokine in dieser Kondition sogar erhöht. Trotzdem führte die erhöhte Zytokinsekretion nicht dazu, dass die Barriere-Integrität beschädigt wurde. Stattdessen verbesserte die Anwesenheit der Laktobazillen die Barrierefunktion im Vergleich mit Versuchen ohne den probiotischen Stamm. Dieser protektive Effekt von *L. rhamnosus* wurde auch von anderen Arbeitsgruppen gezeigt. Weiterhin inhibierten die Bakterien das Wachstum von *C. albicans*, reduzierten Gewebeschädigung und verminderten die Translokation des Pilzes von der luminalen in die vaskuläre Kammer nach der Infektion. Zusammengefasst erlaubt die Plattform eine detaillierte Analyse der Wirt-Darmflora-Interaktionen und damit einhergehende Immunreaktionen. Zusätzlich ermöglichte das Modell das Zusammenspiel kommensaler Bakterien und opportunistischer Pathogene unter physiologisch relevanten Bedingungen zu erforschen. Unser Darm-on-Chip-Modell erwies sich als nützliches Werkzeug, welches für die zukünftige Erforschung von entzündlichen Darmerkrankungen geeignet ist.

In Manuskript II wurden etablierte *in vitro*-Modelle und das Potenzial von OoC-Modellen in Bezug auf Pilzinfektionen diskutiert. *Aspergillus fumigatus*, *Histoplasma capsulatum*, *Cryptococcus neoformans* und *C. albicans* sind weltweit für den größten Teil an Pilzinfektionen verantwortlich und erfordern es, unter physiologisch relevanten, mittels *in vitro*-Modellen nachgestellten Bedingungen untersucht zu werden. Obwohl OoC-Modelle reduktionistisch sind und noch nicht für systemische Analysen verwendet werden können, können sie wichtige Beiträge leisten, um unser Verständnis von Interaktionen zwischen Wirtszellen und Darmflora, sowie Pilzinfektionen zu erweitern.

# 1. Introduction

## 1.1 The intestinal microbiota in human health and disease

The intestinal microbiota has received increasing attention within the scientific community over the last 15 years; the publication numbers have multiplied by 30 since 2000 (Li et al. 2020). However, it has been recognized for a long time that the intestinal microbiota has a major impact on the human body and mind. In 1986, it has been demonstrated, for the first time, that microbes can shape the brain chemistry of mice (Hegstrand and Hine 1986). In the literature, the terms microbiota and microbiome are often confused and used synonymously. In the present study, the term microbiota is used to refer to the collective of all bacteria, archaea, and eukaryotes inhabiting the gastrointestinal (GI) tract. The intestinal microbiota consists of commensal microorganisms, and commensals that are opportunistic pathogens, such as *Candida albicans*, given the appropriate conditions (Hube 2004). The microbiota ferments dietary fibers, which cannot be absorbed or digested in the small intestine, synthesizes vitamins, alters drug metabolism, and interacts with the immune system (Holscher 2017; Klaassen and Cui 2015; LeBlanc et al. 2013; Thaïss et al. 2016). In fact, the intestinal microbiota is often referred to as a distinct organ in the human body (Possemiers et al. 2011; Weiss and Hennef 2017). The impact of the microbiota on other organs has led to the use of the terms such as “gut-brain axis” and “gut-liver axis”, to describe the bidirectional relationship between the microbiota and the brain or the liver, respectively (Carabotti et al. 2015; Konturek et al. 2018). The microbiota composition varies greatly between individuals and is subjected to host genetics, age of the host, and environmental factors such as diet and lifestyle. Moreover, the configuration can change quickly in response to alterations of environmental factors, hampering the identification of a “healthy microbiota” and a pathological composition (Gilbert et al. 2018; Lloyd-Price et al. 2016). A common approach to determine the bacterial composition is to analyze fecal samples. The microbial DNA is extracted to sequence the 16S ribosomal RNA (rRNA) gene, but the evaluation can be error-prone (Poretsky et al. 2014). Storage conditions vary and can lead to unintentional bacterial growth and subsequently biased composition (Liang et al. 2020). Nevertheless, changes in the microbiota composition have been associated with neurological diseases such as Alzheimer’s disease, amyotrophic lateral sclerosis (ALS), and

depression but also obesity and diabetes (Mangiola et al. 2016; Patterson et al. 2016; Spielman et al. 2018). One of the key aspects in this regard is the balanced composition of the microbial community. 90% of the intestinal microbiota of human hosts consists of bacteria belonging to the phyla Actinobacteria, Bacteroidetes, Firmicutes, Fusobacteria, Verrucomicrobia, and Proteobacteria (Rinninella et al. 2019). Antibiotic treatment can cause alterations of the ratios of the individual phyla and overgrowth of individual species. This imbalance of the microbial community is called dysbiosis and is one of the hallmarks of inflammatory bowel disease (IBD) (Carding et al. 2015; Khan et al. 2019). Under homeostatic conditions, the interplay of the intestinal microbiota and host immune cells is finely tuned and depends on immune tolerance, a term describing the absence of proinflammatory responses to food and microbial antigens (Mowat 2003). Dysbiosis leads to a disturbance of intestinal immune homeostasis as cells of the innate and adaptive immune system induce inflammation when microorganisms translocate from the lumen into intestinal tissue. The release of proinflammatory cytokines under these conditions can result in damage to the epithelium and loss of barrier integrity (Weiss and Hennet 2017). It is still unclear, whether IBD is caused by dysbiosis or whether other factors of the condition such as strong proinflammatory responses lead to dysbiosis as a by-product. However, the deliberate modification of the intestinal microbial community for human well-being has also become a major field of investigation. The administration of probiotics, defined as microorganisms that convey a health benefit for the host when administered in appropriate amounts, represents a novel preventive and therapeutic strategy e.g. against microbial infections (Hill et al. 2014; Suez et al. 2019). The development of therapies that influence the microbiota or the introduction of probiotics requires studies of the interaction of host and microbiota. For such studies, the microbes need to be isolated and cultured under laboratory conditions. This appears to be challenging since the minimum requirements for bacterial growth are unknown for many species and many of them are anaerobic (Fodor et al. 2012). Due to the inherent complexity of microbiota research, adequate models are needed to dissect the interplay between the humans and their intestinal inhabitants, as well as interactions of individual members of the microbiota with each other.



## 1.2 The physiology of intestinal epithelium

Both the small and large intestine are lined by a single layer of columnar epithelium. In the small intestine, the digestion and absorption of nutrients take place. To increase the surface available for absorption, projections of intestinal tissue called villi intrude into the lumen, and the digestive area is further increased by microvilli on absorptive cells forming the brush border. In contrast, the large intestine, the colon, lacks villi, since its main task is the reabsorption of water and digestion of dietary fibers, of which the latter is performed by the microbiota. The crypts of Lieberkühn can be found in all parts of the small and large intestine. Here, stem cells are located, giving rise to all cell types in the mature epithelium (Mowat and Agace 2014). The largest part of epithelial cells consists of enterocytes that absorb nutrients, and play a vital role in establishing tolerance against food antigens and the microbiota (Miron and Cristea 2012). Approximately 25% of epithelial cells in the distal colon consist of goblet cells, which secrete a protective coat of mucus and immunoglobulin (Ig) A. There is only one loose mucus layer in the small intestine, while there are two layers in the colon: a dense inner layer, which is in direct contact with epithelial cells and devoid of microorganisms, and a loose outer layer to which microorganisms adhere. Impaired mucus production in the colon is associated with colon cancer and colitis (Hansson and Johansson 2010). Mucus consists of highly glycosylated glycoproteins called mucins that also serve as a nutrient source and attachment sites for the microbiota (Juge 2012; Sonnenburg and Backhed 2016). Paneth cells are only found in the small intestine and are located adjacent to the stem cells. They secrete antimicrobial peptides such as lysozyme and defensins to keep the stem cell niche sterile. In addition, normal stem cell function is maintained via the production of pro-epidermal growth factor (pro-EGF), WNT3, and Notch ligands (Clevers and Bevins 2013; Sato et al. 2011a). Enteroendocrine cells account for approximately 1% of the epithelial cells. They produce and release peptide hormones and have been demonstrated to sense microbial metabolites and respond by releasing cytokines (Worthington et al. 2018). Underneath the epithelium, the lamina propria provides a scaffold for the villi with its connective tissue, the vascular and lymphatic system. The lamina propria is part of the mucosa, together with the epithelial layer and a thin muscle layer beneath the lamina called muscularis mucosa. The intestinal epithelium does not only provide a physical barrier, there is also constant crosstalk with innate and adaptive immune cells residing in the mucosa. Via cytokine

signaling, the epithelial cells provide information about the luminal content including the microbiota (Wittkopf et al. 2014).

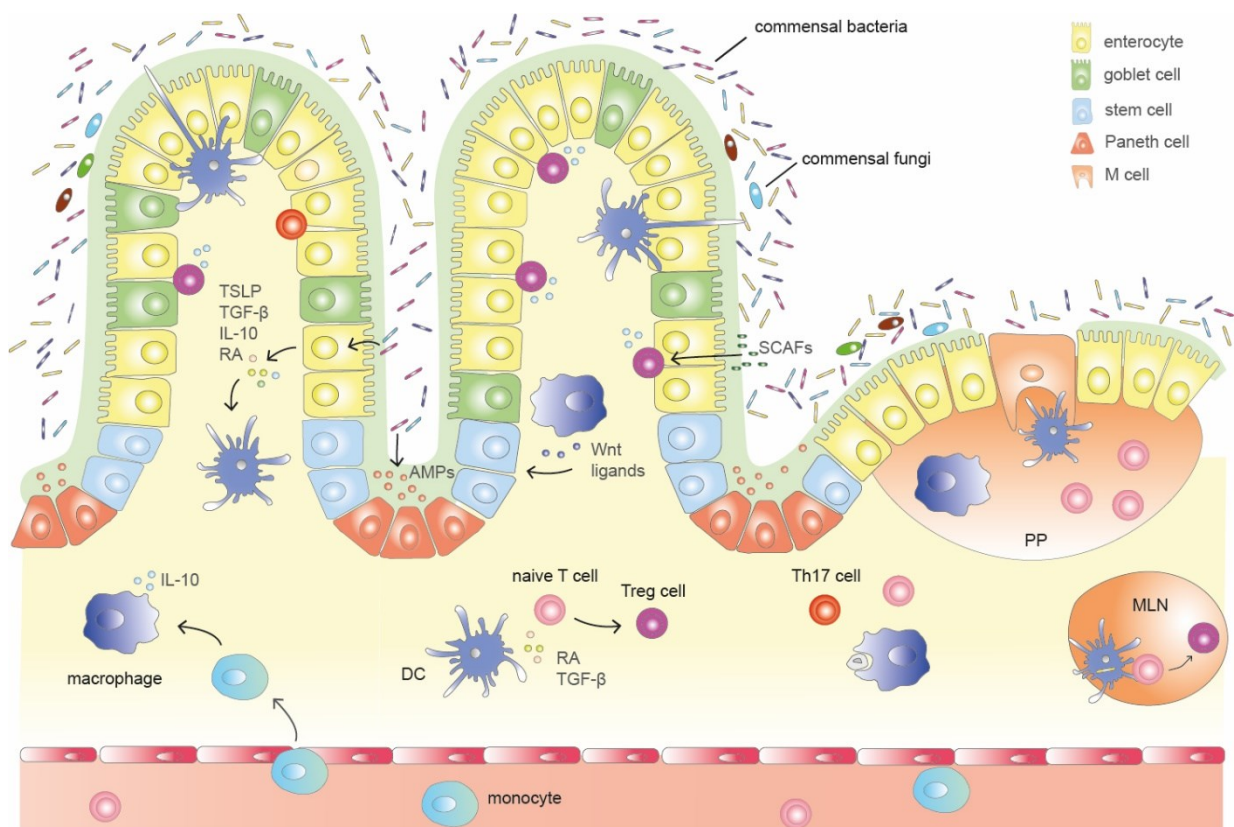
## 1.3 Friend or foe – the balancing act of the intestinal immune system

### 1.3.1 Homeostasis in the intestinal mucosa

The GI tract contains the largest number of immune cells in the body, which are organized in the gut-associated lymphoid tissue (GALT). The GALT comprises Peyer's patches (PPs), isolated lymphoid follicles, and mesenteric lymph nodes (Kelsall 2008). These lymphoid aggregates in the mucosa and submucosa are overlaid by follicle-associated epithelium comprising microfold cells (M cells), which are specialized in the uptake of luminal antigens. They are invaginated at the apical side and in close contact with immune cells (Mabbott et al. 2013). The intestinal mucosa comprises macrophages, DCs, B cells, T cells, eosinophils, and mast cells (Okumura and Takeda 2016). Due to the presence of the microbiota, immune responses are tightly regulated and exceptionally dynamic. On the one hand, immune cells need to elicit proinflammatory responses to adequately fight pathogens, on the other hand, the inflammatory response must be limited to avoid excessive inflammation and tissue damage. A dysregulated immune response towards the microbiota results in chronic inflammation and contributes to pathogenesis in IBD (Rubin et al. 2012). In order to trigger tolerogenic or proinflammatory immune responses, microbial antigens need to be recognized by antigen-presenting cells (APCs) that include macrophages, DCs, and B cells. APCs express pattern recognition receptors (PRRs) on their cell surface, enabling them to recognize microbial-associated molecular patterns (MAMPs). In addition to microbial patterns, these cells also respond to tissue damage by sensing and damage-associated molecular patterns (DAMPs). While MAMPs derive from microorganisms, DAMPs result from mutated or damaged host cells (Patten and Collett 2013; Tang et al. 2012). Toll-like receptors (TLRs) represent one major class of PRRs and bind MAMPs. TLRs are type I transmembrane glycoproteins expressed on the cell surface or within endosomes and bind MAMPs. Especially TLR4 plays an important role in the detection of microbial cell wall components. Lipopolysaccharides (LPS) are released from the outer membrane of Gram-negative bacteria and act as a potent stimulus of proinflammatory immune responses. First, LPS bind to LPB proteins, which

is followed by the binding of the LPS-LPB complex to CD14 on the surface of cells. This activates TLR4 and initiates a signaling cascade resulting in the production of proinflammatory cytokines such as interleukin (IL-) 1 $\beta$ , IL-6, and tumor necrosis factor (TNF) (Lavelle et al. 2010; Lu et al. 2008; Wright et al. 1990).

The small intestine under homeostatic conditions is shown in Fig. 1. As APCs, macrophages and DCs are essential for the maintenance of intestinal homeostasis. The distinction of macrophages from DCs regarding their specific roles and phenotypes has been challenging due to the lack of appropriate markers of each lineage (Bain and Mowat 2014). CD103 expressed by DCs alongside other cell surface markers has been demonstrated to be useful for the discrimination of the cell types (Gren and Grip 2016). Intestinal tissue-resident macrophages arise from circulating bone marrow-derived CD14<sup>+</sup> monocytes, displaying a proinflammatory phenotype in response to TLR stimulation. When they enter the intestinal mucosa, CD14 expression



**Fig. 1: Homeostasis in the small intestine.** Under homeostatic conditions, the microbial community is highly diverse. Intestinal microbiota-derived metabolites such as short-chain fatty acids (SCAFs) stimulate Paneth cells to secrete antimicrobial peptides (AMPs) and enterocytes to produce stimulants resulting in dendritic cells (DCs) adapting a tolerogenic phenotype. Tolerogenic DCs sample microbiota-derived antigens from the lumen, induce Treg cell conversion and prime naïve T cells in the MLN to become tolerogenic gut-homing T cells. Circulating monocytes replenish tolerogenic macrophages, which stimulate stem cell renewal and clear apoptotic cells. PP: Peyer's Patches; MLN: Mesenteric lymph node

decreases and they differentiate into tolerogenic resident macrophages. Adopting this phenotype is accompanied by an increase of phagocytic capacity and anti-inflammatory IL-10 secretion, while the production of proinflammatory cytokines and nitric oxide ceases. Although tissue-resident macrophages express TLRs, they do not react to TLR stimulation, a condition termed anergy. This mechanism is assumed to depend on transforming growth factor (TGF)- $\beta$  signaling (Smith et al. 2001; Smythies et al. 2005). Anergy ensures that macrophages do not cause inflammation in response to commensal microbes. Tissue-resident macrophages represent a highly heterogeneous cell population with various subsets, which differ between different sections of the GI tract and are present in all tissue layers in varying numbers (Bujko et al. 2018; Ogino et al. 2013; Viola and Boeckxstaens 2020). The largest pool of macrophages is found in the colon (Nagashima et al. 1996). They phagocytose microbes that enter intestinal tissue, clear debris, and are involved in tissue remodeling. Moreover, they participate in stimulating the proliferation of intestinal stem cells in the crypts and wound healing (Pull et al. 2005). Macrophages have also been found to communicate with neurons in the muscularis externa, where they are involved in regulating peristaltic motility in mice (Muller et al. 2014).

In contrast to macrophages, DCs can potently induce adaptive immune responses by activation of T cells. Therefore, DCs form the bridge between the innate and the adaptive immune system (Banchereau and Steinman 1998). Different DC subpopulations exist that exhibit distinguished phenotypes and exert special functions within the mucosa. DCs derive either from monocytes (moDCs) or a common DC progenitor (CDP), giving rise to conventional DCs (cDCs) and plasmacytoid cells (pDCs). DCs effectively sample antigens from the lumen via two distinct routes: (i) M cells deliver the antigens to DCs residing in close proximity, or (ii) DCs acquire antigens from the lumen via endocytosis (Mann et al. 2013). During this process, they project protrusions between epithelial cells without disturbing barrier integrity by expressing tight junction proteins (Rescigno et al. 2001). If the sampled antigen is microbiota or food-derived, DCs convey tolerogenic signals, for example, by secretion of TGF- $\beta$  and retinoic acid (RA) that converts naïve T cells into regulatory T cells (Treg cells) (Coombes et al. 2007). Treg cells are of major importance for the mediation of immune tolerance against the microbiota (Cebula et al. 2013; Cong et al. 2009).

### 1.3.2 Host-microbiota interactions – the probiotic strain *L. rhamnosus*

The microbiota and the host interact in manifold ways that we are just beginning to unravel. The administration of probiotic strains is used as a treatment strategy against infections, because of the known health benefits that it conveys via a series of mechanisms. For example, probiotics deprive pathogens of nutrients and space and block epithelial receptors thereby reducing available attachment sites (Monteagudo-Mera et al. 2019). Like DCs and macrophages epithelial cells recognize microbes by expression of PRRs. This leads to an activation of tissue-protective measures and upregulation of junction proteins resulting in enhanced barrier integrity (Artis 2008). Paneth cells secrete antimicrobial peptides in response to PRR stimulation, however, it has been shown that e.g.  $\alpha$ -Defensin in turn influences microbial composition (Salzman et al. 2010; Vaishnava et al. 2008). A number of microbial metabolites exert effects on epithelial cells such as tryptophan catabolites, which bind to the aryl hydrocarbon receptor (AhR) and thereby induce stem cell differentiation (Metidji et al. 2018). Short-chain fatty acids (SCAFs) produced by the microbiota represent an important energy source for epithelial cells, however, they are also involved in promoting epithelial cell turnover and tight junction expression (Park et al. 2016; Zheng et al. 2017). Epithelial cells also secrete molecules that unfold their effects in the mucosa where immune cells reside. Important drivers of tolerogenic immune responses are IL-10, thymic stromal lymphopoietin (TSLP), RA, and TFG- $\beta$  produced by epithelial cells. Moreover, it was demonstrated in an *in vitro* model that the presence of probiotics led to an upregulation of TSLP and TFG- $\beta$  secretion by epithelial cells (Zeuthen et al. 2008). The impact of the immune cells on epithelial cells manifests, for example, in the secretion of fibroblast growth factor 2 by Treg cells interacting with IL-17, which results in epithelial cell damage repair (Song et al. 2015). The microbiota also directly acts on immune cells and *vice versa*: Treg cell activation can be induced by microbiota-derived butyrate, while Treg cells govern IgA secretion in PPs leading to enhanced microbial variability (Kawamoto et al. 2014; Smith et al. 2013).

*Lactobacillus rhamnosus* is one of the best-studied probiotic strains (Segers and Lebeer 2014). It is a Gram-positive rod-shaped bacterium with a size of 2 to 4  $\mu\text{m}$  in length and 0.8 to 1  $\mu\text{m}$  in width. It is facultative anaerobic, non-motile, and does not form spores. Like other Lactobacilli, it exploits a range of carbohydrates such as rhamnose, giving it its name, and metabolizes these through fermentation to yield lactic acid (Valík et al. 2008). A study involving human volunteers demonstrated that orally

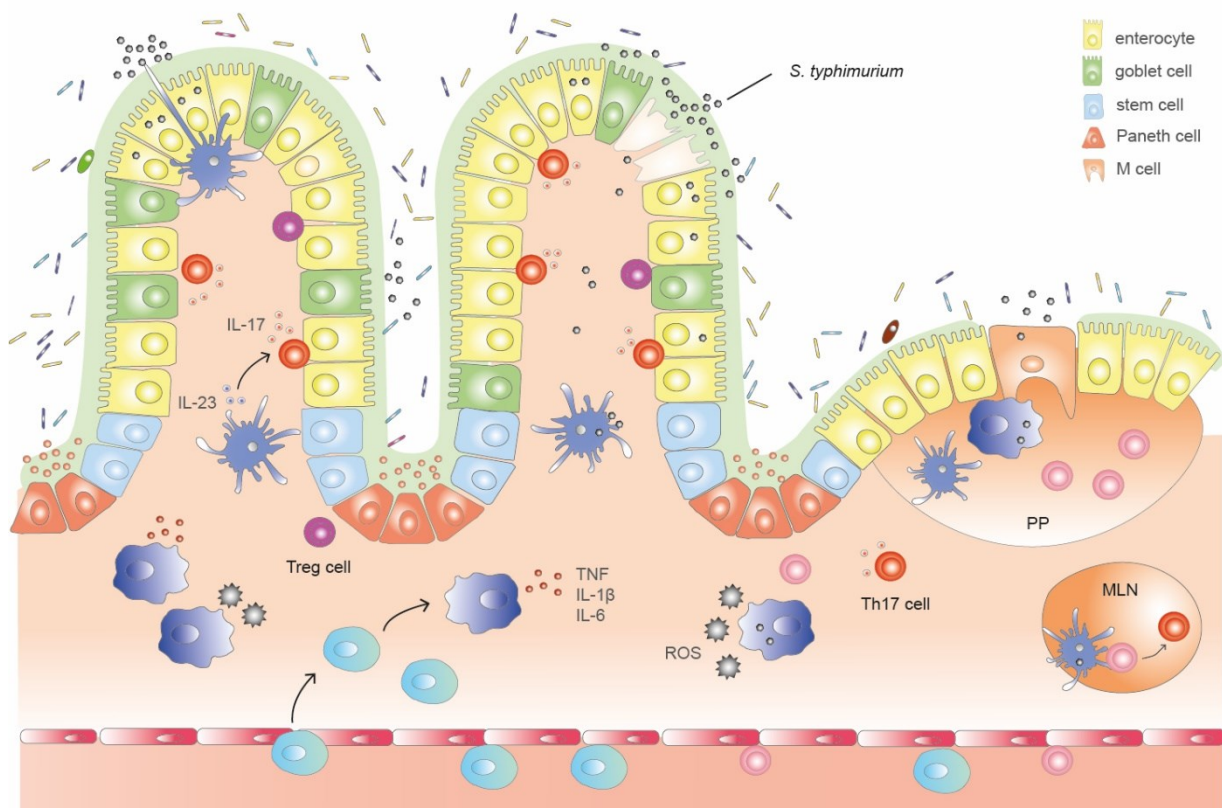


administered *L. rhamnosus* shape the microbial communities in the GI tract by increasing the occurrence of beneficial bacteria and reducing potentially damaging bacteria (Toscano et al. 2017). *L. rhamnosus* acts on host cells in various ways, including upregulation of mucus production and mediation of immune responses (Martin et al. 2019; Segers and Lebeer 2014). The immunomodulatory properties of *L. rhamnosus* are well documented (Fong et al. 2016; Mileti et al. 2009; Rocha-Ramirez et al. 2017). Factors secreted by *L. rhamnosus* induce expression of several TLRs on mononuclear phagocytes and induce secretion of IL-8 and other chemokines in macrophages (Fong et al. 2016; Rocha-Ramirez et al. 2017; Veckman et al. 2003). One of these factors might be lipoteichoic acid (LTA), the Gram-positive equivalent of LPS, which has been shown to induce *IL-8* mRNA production in Caco-2 cells and is involved in modulating anti-viral immune responses via TLR3 signaling (Claes et al. 2012; Mizuno et al. 2020). Attachment of *L. rhamnosus* to epithelial cells and mucus is facilitated by SpaCBA pili, thin bulges of the bacterial cell surface, which might also be involved in shaping immune responses (Kankainen et al. 2009; Segers and Lebeer 2014). Among these factors, lactic acid is a controversially discussed metabolite, which is not exclusively produced by *L. rhamnosus*. Lactic acid has been shown to have antimicrobial activity, which might be due to its capacity to permeabilize the outer membrane of Gram-negative bacteria (Alakomi et al. 2000; De Keersmaecker et al. 2006; Makras et al. 2006).

### 1.3.3 The inflamed mucosa

Disturbing the finely coordinated interplay of microbiota and host can result in inflammation of the intestinal mucosa summarized in Fig. 2. When the intestinal barrier is breached and microbes translocate from the lumen into the tissue, an inflammatory response is initiated. CD14<sup>+</sup> monocytes are recruited from the circulation into the tissue, where they differentiate into macrophages and secrete proinflammatory cytokines such as TNF, IL-1 $\beta$  and IL-6 (Hine and Loke 2019). At the same time, recognition of MAMPs, e.g. via TLR-dependent signaling, leads to a decline in endocytic capacity in DCs (Hemmi and Akira 2005). Subsequently, they migrate to secondary lymphoid organs and present antigens to T cells. Naïve T cells differentiate into effector T cells, either into memory T cells or effector cells, which can further be subdivided into cytotoxic (Tc) cells, with the ability to kill infected cells directly, or T helper (Th) cells. Different kinds of infections result in different Th responses:

Intracellular microbes and viruses induce a Th1 response, while parasitic infections are engaged by Th2 cells. In the intestinal tract, Th17 cells, named after their capacity to produce IL-17, are the crucial drivers of proinflammatory responses towards microbial pathogens. In order to induce the differentiation of naïve T cells into effector T cells, APCs present antigens via the MHCII, while cytokine signals drive the T cell polarization to specific lineages. The antigen/MHC complex is recognized by the T cell receptor (TCR). The interactions are further stabilized by the co-receptor CD4. Only in the presence of co-stimulatory molecules CD80, CD68, or CD40 expressed on APCs and their recognition by CD28 and CD40L, respectively, T cells differentiate into effector cells (Gaudino and Kumar 2019; Ni and O'Neill 1997). Primed T cells acquire gut-homing properties, which ensures a tissue-specific response. The tendency for a T cell to become proinflammatory depends on three DC attributes: an upregulated expression of co-stimulatory molecules and processed antigens, and an enhanced ability to secrete proinflammatory cytokines (Bernardo et al. 2018a). T cell-mediated



**Fig. 2: Dysbiosis in the small intestine caused by *S. typhimurium*.** Food-borne *S. typhimurium* induces alterations of the intestinal community by competing with commensals. The pathogens translocate into intestinal tissue, for example, in areas of damaged epithelium, via M cells or uptake by epithelial and dendritic cells. Infection leads to enhanced production of antimicrobial molecules by Paneth cells, Th17 cell expansion, the release of reactive oxygen species (ROS), and proinflammatory cytokines. Circulating monocytes are attracted to the site of inflammation and differentiate into proinflammatory macrophages. PP: Peyer's Patches; MLN: Mesenteric lymph node

immune responses result in the recruitment of other immune cells such as neutrophils, which are attracted by IL-17 (Kalyan and Kabelitz 2014). If the inflammation is not resolved properly, it can become a chronic condition as seen in IBD (Onali et al. 2019). A bacterial pathogen that actively induces inflammation in the mucosa is *Salmonella enterica* serovar Typhimurium (*S. typhimurium*); a rod-shaped, Gram-negative, facultative anaerobic bacterium that is one of the major causes of enteric diseases in humans. Following the ingestion of contaminated food or water, *S. typhimurium* uses flagella to move towards the epithelial cells and establishes contact via fimbriae (Gart et al. 2016). Three different mechanisms are employed to gain access to the intestinal tissue: transcytosis via M cells, induced uptake by epithelial cells, and presumably also by DCs (Broz et al. 2012). After being phagocytosed by macrophages and DCs, the pathogens persist in *Salmonella*-containing vacuoles (SCVs), replicate and exit the host cells to infect other cells (Steele-Mortimer 2008). During inflammation, macrophages produce reactive oxygen species (ROS), which react with luminal thiosulphate to form tetrathionate. Unlike commensals, the pathogens can use tetrathionate as an electron acceptor and therefore gain a growth advantage (Winter et al. 2010). Examining the pathogenesis of *S. typhimurium* contributed significantly to developing a general understanding of pathogen-detection and host immune responses.

#### **1.3.4 Fungal infections and the opportunistic nature of *C. albicans***

Fungal infections are on the rise worldwide. Contributing factors are, for example, procedures that compromise the immune system like stem cell and organ transplantation and the administration of immunosuppressive drugs such as TNF-antagonists (Lockhart and Guarner 2019). Antibiotic treatment reduces the frequency of beneficial bacteria and therefore enables overgrowth of fungal species (Guinan et al. 2019). Immunocompromised individuals are more susceptible to become infected with fungal pathogens, of which *Aspergillus fumigatus*, *Histoplasma capsulatum*, *Cryptococcus neoformans* and *Candida albicans* are the most clinically relevant ones (Brown et al. 2012). Fungi do not only interact with humans as pathogens but also as commensals. The bacterial microbiota has received greater attention than our fungal inhabitants, which seem to be less stable than bacterial communities (Hallen-Adams and Suhr 2017). Nevertheless, fungi-bacteria-host interactions play an important role to maintain intestinal homeostasis (Enaud et al. 2018; Tso et al. 2018). A fungal



species that can switch between a commensal and pathogenic state is *C. albicans*, which inhabits the human GI tract of approximately 50% of the western population (Bougnoux et al. 2006). It can cause life-threatening systemic infections with the GI tract representing the major source (Gouba and Drancourt 2015). *C. albicans* can become pathogenic, e.g. after antibiotic treatment, and transitions from yeast to hyphal growth, which can result in the invasion of the epithelial layer and subsequent dissemination into the bloodstream (Filler and Sheppard 2006; Kornitzer 2019). In the pathogenic state, *C. albicans* uses a range of mechanisms to enter intestinal tissue such as transcellular translocation, which induces necrosis in epithelial cells, and induction of endocytosis by M cells (Albac et al. 2016; Allert et al. 2018). Epithelial cells release chemokines and proinflammatory cytokines upon recognition of *C. albicans*; however, the immune system seems to be only activated when a certain threshold of fungal burden is reached (Tong and Tang 2017).

## 1.4 Models to study host-microbiota interactions

The increasing interest in the intestinal microbiota is accompanied by advances in -omics technologies, such as metabolomics and metatranscriptomics, which help to identify microbial molecules exerting effects on the host and affected genes of both the host and intestinal habitant (Heintz-Buschart and Wilmes 2018). Mouse models have played a crucial role in microbiota research and are commonly used to investigate the complex interactions of the microbiota and the host on a whole-body scale (Faith et al. 2010; Franklin and Ericsson 2017). Many physiological and immunological aspects are conserved between mice and humans, however, there are also substantial differences between the species. For example, the diet differs since mice are exclusively herbivores unlike most of the human population; the human mucosal surface in the small intestine has folds called *plicae circularis* that are inhabited by mucus-associated bacteria and are absent in mice. Generally, mice display a thicker mucus layer, lower intestinal pH values and oxygen tension in the mucus than humans. Moreover, the microbiota composition of mice heavily depends on the provider, strain, and housing conditions, which influences the reproducibility of the studies (Friswell et al. 2010; Hugenholtz and de Vos 2018). In addition, there are many immunological differences concerning innate and adaptive immune responses, especially DC and T cell biology (Gibbons and Spencer 2011; Mann et al. 2013; Mestas and Hughes 2004). These

differences can impede the translation of findings from mouse models to the human situation.

*In vitro* models represent an emerging tool for microbiota research (Arnold et al. 2016). Standard two-dimensional (2D) cell culture using human primary cells or cell lines is widely used but has major limitations regarding microbiota studies and tissue physiology. Static cell culture leads to an overgrowth of bacteria and an accumulation of metabolic waste products. The cells are deprived of shear stress, which is pivotal for normal tissue function of many cell types. In addition, these models lack 3D tissue architecture (Basson 2003; Mosig 2016; White and Frangos 2007). Recently, advanced *in vitro* models have been developed to create organ-specific tissue in a more physiological environment. In these platforms, called organ-on-chip (OoC) or microphysiological systems (MPS), cells are usually cultured on permeable membranes, which are embedded in fabricated biochips. Shear stress and flow can be imitated using microfluidic technologies. MPS allow the simultaneous culture of multiple cell types in organ-specific tissue architecture and multiple MPS have already been established mimicking organs such as heart, liver, and lung (Groger et al. 2016; Huh 2015; Mathur et al. 2015). The use of intestinal OoC platforms allows dissection of the microbiota-host interplay in a tightly controlled, reproducible environment. Modeling the complex and unique structures of the GI tract is challenging.

Several intestine-in-chip models have recently been developed sharing some common features (Ashammakhi et al. 2020). This includes optically transparent and gas permeable biochip material, enabling the monitoring of the growth of cells by light microscopy, and gas exchange. Initially, cells are kept statically to allow them to adhere to the membrane. Furthermore, standard readouts comprise confocal fluorescence microscopy, assessment of barrier integrity via transepithelial electrical resistance (TEER) measurement or fluorescent molecules like FITC-dextran, and analysis of signaling molecules and metabolites in the supernatants. In contrast to conventional 2D cell culture, the overgrowth of bacteria can be prevented by continuous perfusion. The pioneering study was conducted by Kim and colleagues in 2012, showing that perfused adenocarcinoma-derived epithelial cells Caco-2 can grow out and form villi and crypt-like structures within five days (Kim et al. 2012). It is known that villus morphogenesis is mediated by Wnt signaling. Caco-2 cells basolaterally secreted the Wnt-antagonist Dickkopf-1 (DKK-1), which prevented villus morphogenesis in static cell culture. It was demonstrated that removal of DKK-1 by basolateral perfusion and

flowrate-dependent upregulation of the Wnt receptor FZD9 induced villus morphogenesis (Shin et al. 2019). The generated structures did not only resemble the 3D architecture of the small intestine but also allowed the colonization with *L. rhamnosus* for over one week (Kim et al. 2012). The human-microbial crosstalk (HuMIX) model created by Shah and co-workers in 2016 followed another approach and integrated an oxygen gradient (Shah et al. 2016). The model consisted of three compartments, with the lowest being perfused with oxygenated cell culture medium, supplying Caco-2 cells cultured in the overlaying compartment. The third and uppermost compartment harbored *L. rhamnosus* grown on a mucus layer under hypoxic conditions to mimic the *in vivo* oxygen content. By co-culturing another commensal *Bacteroides caccae*, the researchers were able to show that the transcriptomic response of the host cells differed from the one observed when only *L. rhamnosus* was present. A combination of *L. rhamnosus* and prebiotics, non-digestible dietary fibers promoting the growth of beneficial microbes, were used in a follow-up study (Greenhalgh et al. 2019). It was demonstrated that the metabolites produced during microbial fermentation of dietary fibers induced downregulation of genes associated with drug resistance and procarcinogenic pathways in Caco-2 cells. The development of intestine-on-chip models that recreate a physiological environment and enable the colonization with commensal bacteria have great potential to broaden our understanding of microbiota-host interactions and make important contributions to biomedical research.

## 2. Aim of the thesis

The intestinal microbiota has attracted considerable attention over the last 15 years. Sophisticated *in vitro* models based on human cells are needed to elucidate host-microbiota interactions and the interplay of commensals and pathogens in a physiological microenvironment. Many intestine-on-chip platforms have been developed but lack cells of the innate immune system. The presence of phagocytes in the system is indispensable to study microbiota-host interactions under conditions resembling the *in vivo* situation. Macrophages and DCs contribute to homeostasis in the GI tract yet seem to have different functional properties. For the sake of simplicity, “we” is used in the following, irrespective of whether the experiments were carried out by me alone or by colleagues and collaborators. The individual contributions are listed under “Author contribution statement”. We developed a microfluidic intestine-on-chip model comprising of two individually perfused compartments, which were separated by a porous membrane. The vascular compartment harbored human umbilical vein endothelial cells (HUVECs) and phagocytes, while Caco-2 cells in the luminal compartment formed 3D villi-like structures that resembled the physiological architecture of intestinal tissue. In this study, we aimed to characterize phagocytes in the endothelial as well as in the epithelial layer in respect of macrophage and DC markers and phagocytic capacity. To enhance the physiological relevance of the model, the endothelial layer was perfused with PBMCs. In the next step, we established a protocol to colonize the model with the probiotic strain *L. rhamnosus*. We studied how the presence of these commensal bacteria affected immune signaling and barrier integrity under two distinct conditions. In the first set up, LPS was administered to the luminal compartment representing homeostatic conditions. In a second set up, LPS was added to vascular compartment to mimic endotoxemia. As proof of concept, we performed microbial interaction studies by co-culturing *L. rhamnosus* with the opportunistic fungus *C. albicans*. We investigated whether the presence of the probiotics affected fungal growth, tissue damage and fungal translocation into the vascular compartment. We also discussed *in vitro* models used for the investigation of fungal infections. *Aspergillus fumigatus*, *Histoplasma capsulatum*, *Cryptococcus neoformans*, and *C. albicans* are responsible for the largest share of fungal infections worldwide. We aimed at highlighting recent progress in organ-on-chip technologies that can alleviate the development of treatment strategies.

### 3. Manuscripts

#### 3.1 Manuscript I

**A three-dimensional immunocompetent intestine-on-chip model as *in vitro* platform for functional and microbial interaction studies**

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**Michelle Maurer**, Mark S. Gresnigt, Antonia Last, Tony Wollny, Florian Berlinghof, Rebecca Pospich, Zoltan Cseresnyes, Anna Medyukhina, Katja Graf, Marko Gröger, Martin Raasch, Fatina Siwczak, Sandor Nietzsche, Ilse D. Jacobsen, Marc Thilo Figge, Bernhard Hube, Otmar Huber, Alexander S. Mosig

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The human intestinal tract is a unique ecosystem that has attracted increasing attention within the last 15 years or so. It is appreciated that the composition of microorganisms, comprising bacteria, fungi, and protozoa, has a far-reaching impact on human health and disease. It is generally accepted that the administration of probiotic bacteria conveys a health benefit, given the appropriate amount. However, we are just beginning to understand the crosstalk between host cells, commensal microbiota, and potentially pathogenic microbes. Recently developed microphysiological systems (MPS) hold the potential to study the crosstalk in a physiological microenvironment. We have developed a microfluidic intestine-on-chip model that mimics the three-dimensional structure of intestinal tissue, includes an endothelial layer, macrophages, and dendritic cells, and can be colonized with the probiotic strain *L. rhamnosus*. Immune cells tolerate these probiotics but elicit an inflammatory response when endotoxemia is mimicked by the administration of lipopolysaccharide into the endothelial compartment. Moreover, the intestinal model serves as a platform for functional microbial studies. *L. rhamnosus* significantly reduces the growth of *C. albicans* and limits its translocation from to luminal into the endothelial layer. The intestine-on-chip model serves as a useful tool to investigate intestinal crosstalk and the effect of alterations in the community.



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## A three-dimensional immunocompetent intestine-on-chip model as *in vitro* platform for functional and microbial interaction studies

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### ABSTRACT

Alterations of the microbial composition in the gut and the concomitant dysregulation of the mucosal immune response are associated with the pathogenesis of opportunistic infections, chronic inflammation, and inflammatory bowel disease. To create a platform for the investigation of the underlying mechanisms, we established a three-dimensional microphysiological model of the human intestine. This model resembles organotypic microanatomical structures and includes tissue resident innate immune cells exhibiting features of mucosal macrophages and dendritic cells. The model displays the physiological immune tolerance of the intestinal lumen to microbial-associated molecular patterns and can, therefore, be colonised with living microorganisms. Functional studies on microbial interaction between probiotic *Lactobacillus rhamnosus* and the opportunistic pathogen *Candida albicans* show that pre-colonization of the intestinal lumen of the model by *L. rhamnosus* reduces *C. albicans*-induced tissue damage, lowers its translocation, and limits fungal burden. We demonstrate that microbial interactions can be efficiently investigated using the *in vitro* model creating a more physiological and immunocompetent microenvironment. The intestinal model allows a detailed characterisation of the immune response, microbial pathogenicity mechanisms, and quantification of cellular dysfunction attributed to alterations in the microbial composition.

### 1. Introduction

Commensal microorganisms of the intestinal microbiota support the digestion and absorption of nutrients by the gut. Microbial colonization is supported by the host *via* a mucus layer secreted by epithelial cells organized in a complex tissue comprising villi and crypts that form a tight and protective barrier between the microbiota and the circulation. A physiological communication between the members of the intestinal microbiota and their host is crucial for the maintenance of homeostasis in the human body. Thus, dysregulation and imbalance of these

interactions known as dysbiosis are directly associated with the development of human diseases, including diabetes [1], obesity [2], inflammatory bowel disease (IBD) [3], cancer [3], depression [4] and non-infectious inflammatory diseases caused by opportunistic pathogenic fungi [5].

However, current knowledge on the impact of the microbiota on health is based solely on descriptive and correlative studies. So far, the lack of suitable experimental models prevented mechanistic studies on the complex cellular and molecular signalling processes within the microbiota under physiological conditions. Current *in vitro* models lack

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the required tissue complexity with major limitations for the co-culture of living bacteria with human intestinal cells. On the other hand, animal models have limitations in the transferability to the human situation, since the composition of the microbiota, as well as immune system considerably varies between men and mice and even between individual mouse strains [6]. Established cell lines, such as Caco-2 cells, cultured as monolayers on extracellular matrix-coated standard plastic dishes or transwell inserts are frequently used to study human intestinal epithelial cells *in vitro*. Although these two-dimensional culture techniques have elucidated numerous aspects of intestinal epithelial cell biology, it should be noted that they do not recreate typical micro-anatomical structures of the human intestine.

The intestine is the primary site for interaction of the gut microbiota consisting of commensal microorganisms and opportunistic pathogens [7]. The continuous exposure to microbial-associated molecular patterns (MAMPs) in the intestinal lumen requires the immune system to weigh tolerogenic and protective responses continuously. It is becoming increasingly clear that dysregulation of this tolerant immune response and alterations in the gut microbiota contribute to chronic inflammatory diseases and breakdown of the gut barrier [3,8]. Although three-dimensional organoid cultures allow the *in vitro* differentiation of microanatomical structures in high detail [9], these models have limitations in their ability to reflect a tolerant immune response to the colonising microbiota. Recently a microfluidically perfused model of the human intestine has been described that allows circulation of peripheral blood mononuclear cells (PBMCs) and effectively recapitulates structural features of intestinal microanatomy and physiology, including a polarised intestinal tissue differentiation [10,11]. Although this system represents a major advancement in emulating the human intestine, models are still required that are capable of stably cultivating commensal microbiota in an immune responsive environment and consider the balanced response to the microbiota and its metabolites.

The Toll-like receptor 4 agonist lipopolysaccharide (LPS) derived from gram-negative bacteria is a ubiquitously present MAMP in the human intestinal lumen [12]. However, upon dysfunction of the intestinal barrier, LPS can translocate into the circulation and in more substantial amounts eventually triggers a systemic inflammatory response syndrome associated with multiple organ failure [13]. Phagocytes play a critical role in gatekeeping MAMPs between the intestinal lumen and the circulation. In mice, it has been shown that tissue-resident phagocytes such as mucosal macrophages (mMphs) and dendritic cells (DCs) are central players in the release of inflammatory cytokines [14]. Both cell types populate the normal human intestinal mucosa but play distinct complementary roles in the selective local immune response and tissue homeostasis with mMphs as the most abundant mononuclear phagocytes in the intestinal lamina propria [15]. They account for most of the uptake of microbes that cross the epithelial barrier and are required to maintain an anti-inflammatory milieu in the mucosa by scavenging of apoptotic and damaged cells [16,17]. The expression of G protein-coupled chemokine receptor CX3CR1 on mMphs has been demonstrated as a central receptor in regulating intestinal barrier integrity [18,19]. The homeostatic function of CX3CR1<sup>+</sup> mMphs is complemented by CD103<sup>+</sup> DCs that represent another primary antigen-presenting cell type of the intestine [20]. These cells continually sample their environment for antigens derived from food, microbiota, and self-antigens [21] by extension of trans-epithelial dendrites into the intestinal lumen [22]. During homeostasis, intestinal DCs are considered to be tolerogenic, whereas mMphs are actively involved in tissue remodelling.

To resemble these conditions *in vitro*, we developed a three-dimensional model of the human intestine composed of endothelial and epithelial cell layers forming organotypic microanatomical villus- and crypt-like structures. For the first time, we demonstrate physiological interactions between epithelial and endothelial cells in an immunocompetent environment. This is created by tissue resident mMphs and DCs in a microphysiological model resembling essential conditions

within the human intestine. We studied the biological intestinal homeostasis, barrier functionality and immunotolerance to stimulation with LPS at the intestinal luminal side of the model. To emulate dysregulation, imbalance and inflammation, the endothelial side was stimulated with LPS in a model of endotoxemia. Furthermore, we colonized the intestinal model with non-damaging living bacteria (*Lactobacillus rhamnosus*). Similar to *in vivo*, where the intestinal microbiota antagonizes microbial associated pathogenicity by limiting overgrowth and translocation through the intestinal epithelial barrier, we validated *L. rhamnosus*' antagonistic interactions on the opportunistic pathogenic fungus *Candida albicans* in our intestinal model.

## 2. Material and methods

### 2.1. Cell isolation and culture

**Endothelial cells:** Human umbilical cord vein endothelial cells (HUVECs) were isolated and seeded at a density of  $2.5 \times 10^4$  cells/cm<sup>2</sup> in Endothelial Cell Medium (ECM) (Promocell, Heidelberg, Germany) up to passage 4 as described previously [23]. The study was approved by the ethics committee of the Friedrich Schiller University Jena (2018–1052), and all donors were informed about the aim of the study and gave written consent.

**Caco-2 cells:** The human epithelial colorectal cell line Caco-2 was kindly provided by the Institute for Laboratory Medicine, Clinical Chemistry and Pathobiochemistry, Charité - University Medicine Berlin. Cells were cultured in DMEM (GIBCO Darmstadt, Germany) supplemented with 10% foetal calf serum (FCS, Life Technologies), 20 ng/ml gentamicin (Invitrogen), 1% MEM non-essential amino acids (GIBCO).  $5 \times 10^5$  Caco-2 cells are seeded in a 25 cm<sup>2</sup> flask and cultured in DMEM medium (Life Technologies) containing 10% FCS. The medium was changed every three to four days and cells used from passage 30 to 50.

**Peripheral blood mononuclear cells and primary macrophages:** PBMCs of three different healthy donors were isolated by Ficoll density gradient centrifugation as described previously [66] and seeded in 6-well plates with a density of  $1.0 \times 10^6$  cells/cm<sup>2</sup> in X-VIVO 15 medium (Lonza, Cologne, Germany) supplemented with 10% (v/v) autologous human serum, 10 ng/ml human granulocyte macrophage colony-stimulating factor (GM-CSF) (PeproTech, Hamburg, Germany), 10 ng/ml M-CSF (PeproTech), 100 U/ml penicillin and 100 µg/ml streptomycin (Pen/Strep) (Thermo Fisher, Darmstadt, Germany). After 1 h incubation in a humidified cell incubator at 5% CO<sub>2</sub> and 37 °C the cells were washed twice with X-VIVO 15 medium and cultivated for 24 h before subcultured with endothelial cells in the biochip were terminal macrophage differentiation was performed in the supplemented X-VIVO 15 medium.

### 2.2. Biochip cell culture

MOTIF biochips made from polystyrol (PS) were obtained from microfluidic ChipShop GmbH (Jena, Germany). Biochips were manufactured by injection moulding as described elsewhere [23]. The chamber above the membrane has a height of 700 µm; the chamber under the membrane has a height of 400 µm. The width of the afferent and efferent channels is 0.8 mm and 2 mm, respectively. The height of these channels is 0.6 mm and 0.4 mm, respectively. Upper and the lower chamber including channel systems have a volume of 220 µl and 120 µl, respectively. A 12 µm thin polyethylene terephthalate (PET) membrane with a pore diameter of 8 µm and a pore density of  $1 \times 10^5$  pores/cm<sup>2</sup> (TRAKETCH Sabeu, Radeberg, Germany) was integrated. An area of 1.1 cm<sup>2</sup> is available for cell culture. Chips and channels structures were sealed on top and bottom side with an extruded 140 µm thin PS foil using a low-temperature proprietary bonding method. Gas permeable silicon tubing was used for perfusion allowing oxygen equilibration during experiments. Additionally, PS bulk material and 140 µm thin PS bonding foil allowed re-diffusion of oxygen. Ramping

structures have been introduced into the chip bulk for prevention of unfavourable flow conditions and trapping of stationary air bubbles. For hydrophilization of the whole surface, the biochips were treated with oxygen plasma.

Intestine-on-chip models were assembled by staggered seeding of endothelial and epithelial cell layers. HUVECs were seeded with a density of  $1.5 \times 10^5 \text{ cm}^{-2}$  in the upper chamber of a sterilised biochip in EC medium. Following 48 h of culture, macrophages were seeded on top of the confluent HUVEC cell layer with a density of  $5 \times 10^4 \text{ cm}^{-2}$  in M199 medium (Life Technologies) supplemented with 10% FCS, 10% (v/v) autologous serum,  $68 \times 10^{-7} \text{ M}$  L-glutamine (Sigma-Aldrich), 25  $\mu\text{g/mL}$  heparin (Sigma-Aldrich), 7.5  $\mu\text{g/mL}$  endothelial mitogen (Thermo Fisher), 5  $\mu\text{g/mL}$  ascorbic acid (Sigma-Aldrich), 10 ng/mL GM-CSF, 10 ng/mL M-CSF and Pen/Strep. After 72 h of static culture and daily medium exchange, Caco-2 cells were seeded in the bottom chamber of the biochip with a density of  $4.5 \times 10^5 \text{ cm}^{-2}$  and cultured in DMEM. The inlets of each biochip were sealed and the whole intestinal model cultured upside down for 24 h under static conditions. Subsequently, the fully assembled organ-on-chip model was used in experimental series as described. The endothelial side of the model was perfused with M199 medium with a flow rate of 50  $\mu\text{L/min}$  (shear stress: 0.07 Pa). The luminal side of the model was perfused with 50  $\mu\text{L/min}$  (shear stress: 0.01 Pa). For LPS stimulation cells were treated with 100 ng/mL LPS (Sigma-Aldrich, Taufkirchen, Germany). Supernatants were collected and replaced with fresh medium containing 100 ng/mL LPS after 24 h. Supernatants were collected again after an additional 24 h. Cells were cultured in a humidified cell incubator at 5%  $\text{CO}_2$  and 37 °C.

#### 2.2.1. Microbial strains and culture conditions

*L. rhamnosus* ATCC 7469 was grown on Man, Rogosa, and Sharpe (MRS) agar plates (Carl Roth, Karlsruhe, Germany) at 37 °C and 1%  $\text{O}_2$ . For use in experiments, bacterial cells were grown at 37 °C without agitation in MRS broth (Carl Roth, Karlsruhe, Germany). Prior to experiments, lactobacilli were collected by centrifugation, washed twice in PBS and diluted to an optical density  $\text{OD}_{600\text{nm}}$  of 0.5 in DMEM.

The *C. albicans* wild-type strain SC5314 was grown on YPD plates (2% peptone, 1% yeast extract, 2% glucose, 2% agar) at 30 °C. For use in experiments, *C. albicans* cells were grown overnight (o/n) in YPD medium (2% peptone, 1% yeast extract, 2% glucose) at 30 °C and 180 rpm. Prior to infection, yeast cells from an o/n culture were collected by centrifugation, washed two times with phosphate-buffered saline (PBS), the cell number was determined using a Neubauer chamber system, and adjusted to  $5 \times 10^3 \text{ cells}/120 \mu\text{L}$  in DMEM.

Host cells were cultured in antibiotic-free medium for 72 h. After 24 h of LPS treatment, the bacterial suspension was inoculated onto the epithelial layer and incubated for 30 min to allow attachment to the epithelium. Subsequently, unattached cells were removed and PBMCs inoculated. The endothelial layer was cyclically perfused with a flow-rate of 50  $\mu\text{L/min}$  (shear stress: 0.07 Pa) while the luminal chamber was linearly perfused with 25  $\mu\text{L/min}$  (shear stress: 0.03 Pa). After 24 h of culture in the chip, supernatants were plated on MRS agar (Carl Roth). The membrane was lysed in 0.2% Triton-X 100 for 15 min at RT and removed before the suspension was pelleted, washed with PBS and plated.

#### 2.3. Immunofluorescence staining

Cells were fixed with either 4% paraformaldehyde for 10 min at room temperature or methanol for 20 min at −20 °C. For permeabilization and blocking of unspecific binding sites, cells were incubated in PBS including 0.1% saponin (Sigma Aldrich) and 3% goat serum (Dianova, Hamburg). Staining was performed with antibodies against CD68, CD103, E-cadherin, VE-cadherin,  $\beta$ -Catenin (BD Biosciences, Heidelberg, Germany), occludin, ZO-1 (Invitrogen, Karlsruhe, Germany), CEACAM-1, CX3CR1, CYP3A4 (Merck-Millipore,

Schwalbach, Germany),  $\alpha$ -defensin (abcam, Cambridge, UK), mucin 2 (Acris, Herford, Germany), villin (Santa Cruz Biotechnology, Heidelberg, Germany), von Willebrand factor (Dako, Hamburg, Germany) and secondary antibodies goat-anti-mouse-Cy3, goat-anti-rabbit-AF488, goat-anti-rabbit-AF647, DAPI (Invitrogen) and Phalloidin (ThermoFisher). Samples were embedded in fluorescent mounting medium (Dako). Imaging was performed with an AxioObserver Z1 fluorescence microscope equipped with an ApoTome-2 (Carl Zeiss AG, Jena, Germany). Images were analysed with ImageJ2 software (Fiji).

#### 2.4. Scanning electron microscopy

Cells were fixed with 2.5% (v/v) glutaraldehyde in cacodylate buffer for 120 min. Afterwards, the samples were washed twice with cacodylate buffer for 10 min and dehydrated in ascending ethanol concentrations (30, 50, 70, 90 and 100%) for 10 min each. Subsequently, the samples were critical-point dried using liquid  $\text{CO}_2$  and sputter coated with gold (thickness approx. 4 nm) using a SCD005 sputter coater (BAL-TEC, Liechtenstein) to avoid surface charging. Finally, the specimens were investigated with a field emission (FE) SEM LEO-1530 Gemini (Carl Zeiss NTS GmbH, Oberkochen, Germany).

#### 2.5. Image analysis and quantification

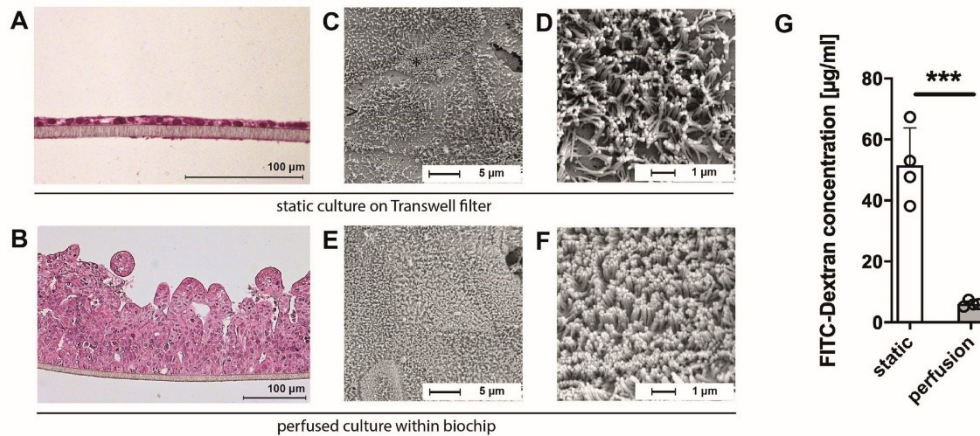
Images were acquired as Z-stacks utilising a Zeiss Apotome microscope and were saved in the Zeiss native image format „CZI“. The Apotome images were first processed by Zeiss' ZEN software to provide optical sectioning. Further preprocessing included deconvolution using Huygens Professional (SVI, Hilversum, Holland), applying the „Spinning disk“ deconvolution module by setting the pinhole spacing at 4  $\mu\text{m}$ , according to SVI guidelines. The deconvolved images were analysed using Imaris 9.2.1 (Bitplane, Zürich, Switzerland). Automated quantification of confocal fluorescence microscopy data was performed by customised software, which was implemented in the programming language „python“ (<https://www.python.org/>) and is available upon request.

**Cell-based analysis:** The three-dimensional image data were used to identify individual cells applying nuclear staining as guidance. Here the „Cells“ module of Imaris was applied, where the DAPI channel was selected to create the cell nuclei, whereas the E–(VE-) cadherin or the ZO-1 labelling channel provided information about the membrane location. The segmented nuclei served as seeds to assist the search for the cell membranes. After successful segmentation, the area between the nuclei and the cell membrane was identified as cytoplasm. Particle analysis was applied when necessary, where the intensity and morphometry of additional components (for example the von Willebrand factor) was characterised in the cytoplasmic area.

**Membrane-based analysis:** The 3D image stacks were preprocessed in Zen and Huygens Professional as described above. In analysing the (V) E-cadherin and ZO-1 distribution, cell membranes were segmented using the Surfaces module of Imaris 9.2.1. The deconvolved Z-stacks were locally thresholded using the Otsu algorithm with a 2- $\mu\text{m}$  neighbourhood diameter preceded by Gaussian blurring with a spatial filter size of 0.4  $\mu\text{m}$ . The segmented membrane objects were limited to items with sphericity in the range of 0.0–0.9 and volume above 100 voxels in order to exclude artefacts. Macrophages were segmented as surfaces objects in Imaris. Here the Gaussian blurring was executed with a filter size of 0.645  $\mu\text{m}$ , and the local Otsu thresholding was applied at a 2.4- $\mu\text{m}$  neighbourhood diameter. The macrophage volume was limited to the 60–1200  $\mu\text{m}^3$  range in order to avoid detecting fragments or large clusters.

**Quantification:** Statistical information was extracted from the segmented images in Imaris 9.2.1. Here we measured the mean and the standard deviation of the fluorescence intensity for all channels within all regions of the segmented surfaces and cells; the area, the volume and





**Fig. 1.** Caco-2 cells form crypt and villus-like structures under perfusion conditions in the biochip. A, B) Histological H&E staining of Caco-2 cell layers cultured A) statically in the transwell, and B) under perfused conditions in the biochip. C-F) Scanning electron microscopy of Caco-2 cell layers cultured for 7 days under C, D) static conditions on transwell filters, and E, F) under perfusion conditions in the biochip. G) Permeability of epithelial cell layers for FITC-labelled dextran beads (3–5 kDa) under static and perfused conditions in the biochip. A-F) microscopy images are representative of three independent experiments, G) data from four independent experiments, data presentation shows the mean  $\pm$  standard deviation. Statistical test was made using the Student's t-test, \*\*\* $p < 0.0001$ .

the sphericity of the entire cells, the cell compartments and the cell membranes; the number of cells per Z-stack, etc. These analysis results were saved in Excel spreadsheets and used for statistical analysis and plotting.

**Ellipticity index:** The reconstructed surfaces were assigned a best-fitting ellipsoid in Imaris. According to the relationship amongst the main axis of the ellipsoid ( $a$ ,  $b$ ,  $c$ ), we characterized the surfaces as prolate ( $a = b < c$ ; cigar-shaped) and oblate ( $a < b = c$ ; disk-shaped), by using the following indices:

$$e_{prolate} = \frac{2a^2}{a^2 + b^2} \left( 1 - \frac{a^2 + b^2}{2c^2} \right),$$

$$e_{oblate} = \frac{2b^2}{b^2 + c^2} \left( 1 - \frac{2a^2}{b^2 + c^2} \right).$$

**2D analysis:** Automated quantification of VE-cadherin staining in 2D was performed by customized software, which was implemented in the programming language Python. Prior to the analysis, images were preprocessed with a median filter (size 3 pixels) to remove spike noise. Then each image was thresholded at 100 intensity levels (out of 255, 8-bit) to obtain a binary mask of the cellular junctions. After that, connected regions were identified and regions with an area less than  $20 \mu\text{m}^2$  [2] were assigned to a separate class of small particles. To evaluate the integrity of the cellular junctions, we computed the total area of cellular junctions, as well as the mean and maximum intensity of the cellular junctions. For computing the mean intensity, only those pixels were used that belonged to the foreground after the thresholding step. Moreover, we computed the total area and area fraction of the small particles. In the latter case, the area of the small particles was normalized by the total area of the cellular junctions.

## 2.6. Cytokine profiles

Supernatants were collected after indicated time periods and immediately frozen at  $-80^\circ\text{C}$ . Cytokines were detected using CBA assay (BD Biosciences) according to the manufacturer's protocol. Enhanced sensitivity flex set was used for the measurement of TNF and IL-1 $\beta$  release. Secretion of IL-6, IL-8 and IL-10 was analysed using standard CBA flex sets. The analysis was performed on a BD FACS-Canto II

cytometer with FACSDiva software. Data analysis was performed using FCAP Array V3 software (Softflow, Pecs, Hungary).

## 2.7. Permeability assay

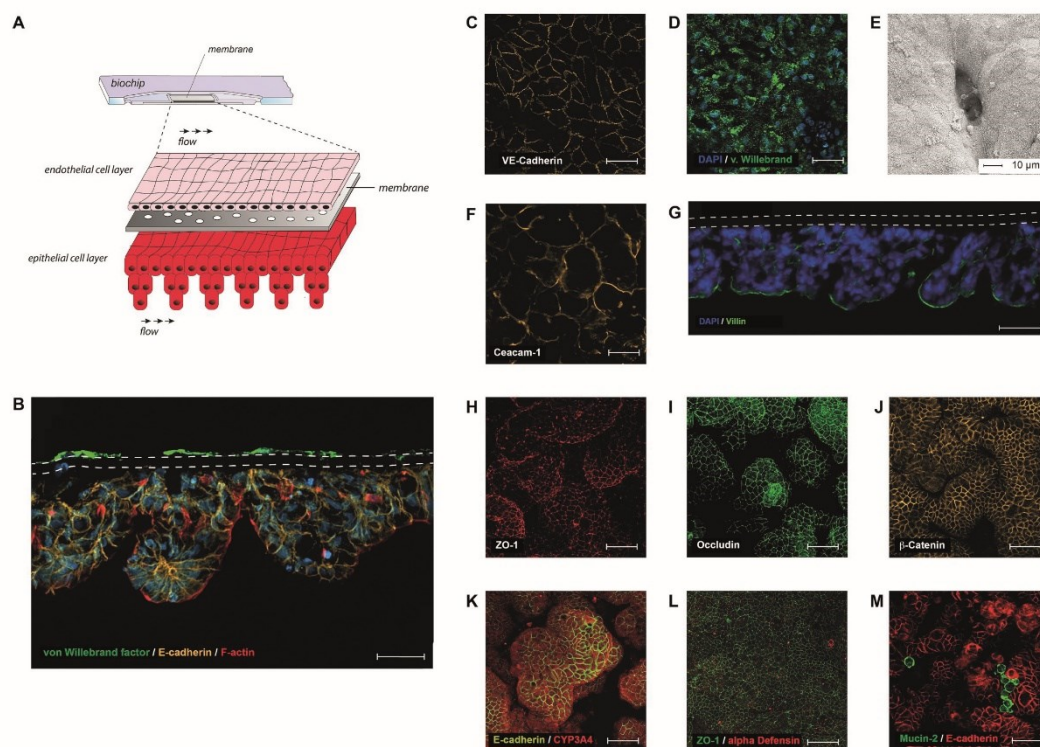
Fluorescein isothiocyanate (FITC)-dextran (Sigma-Aldrich) with an atomic mass of 3–5 kDa was used. The medium in both chambers is replaced by preheated PBS. Subsequently,  $150 \mu\text{l}$  FITC-dextran solution is added in the lower chamber containing Caco-2 cells and is incubated for 30 min at  $37^\circ\text{C}$ . The biochip is turned upside down during incubation allowing FITC-dextran to permeate through the cell layer under static conditions. After washing of the lower chamber with PBS, the solution was collected from the upper chamber. All steps were performed protected from light.

## 2.8. Statistics

For each experiment replicates have been performed as indicated in the figure legend. Statistical analysis has been performed with GraphPad Prism 6.05 (GraphPad Software, La Jolla, CA, USA). For analysis of statistical significance, the tests indicated in the figure legend have been performed. A  $p$ -value  $< 0.05$  was considered statistically significant.

## 3. Results

The epithelial cell layer of the intestinal model is formed by Caco-2 cells cultured on a  $10 \mu\text{m}$  thin porous polyethylene terephthalate (PET) membrane suspended in the biochip and continuously perfused with cell culture medium. During cell culture, microfluidic perfusion ensures a constant removal of metabolic waste products and a continuous resupply with nutrients [23]. In contrast to conventional cell culture approaches such as transwell filters (Fig. 1 A), Caco-2 cells cultured in microfluidically perfused biochips form a self-organized three-dimensional cell layer with columnar epithelium (Fig. 2 B) and increased microvilli formation at the apical cell surface within 7 days of culture (Fig. 1C–F). Permeability measurements confirmed an increased barrier function of the three-dimensional cell layer compared to conventional cell culture under static conditions where only two-



**Fig. 2.** Design of the intestine-on-chip model featuring organotypic microanatomy and expression of endothelial and intestinal epithelial cell type markers. A) A porous membrane suspended in the biochip serves as a scaffold for the multi-layered intestinal model composed of endothelial and epithelial cells. Microchannels integrated into the biochip separately perfuse both epithelial and endothelial layers at 50  $\mu\text{L}/\text{min}$ . B) Cross-section of the three-dimensional intestinal model: endothelial cells express von Willebrand factor (green), epithelial cells express E-cadherin (orange) and F-actin (red). Both cell layers are separated by a porous membrane (dashed line). Actin filaments are stained with phalloidin (red). Scale bar 100  $\mu\text{m}$ . Nuclei were stained with DAPI (blue). C-D) Endothelial cells form a confluent monolayer and express C) VE-cadherin (orange) and D) von Willebrand factor (green). E-N) Epithelial cell layer: E) Scanning electron microscopic image of the crypt-like structures. Expression of F) CEACAM-1 (orange); G) villin (green) (DAP blue, dashed lines marks membrane); H) ZO-1 (red); I) occludin (green); J)  $\beta$ -catenin (orange); K) E-cadherin (orange); CYP3A4 (red); L)  $\alpha$ -defensin (red); ZO-1 (green); M) mucin-2 (green); E-cadherin (red); C-D, F-J) Scale bar 50  $\mu\text{m}$ . Nuclei were stained with DAPI (blue). C-M) Representative images of four independent experiments. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

dimensional Caco-2 monolayers are formed (Fig. 1G).

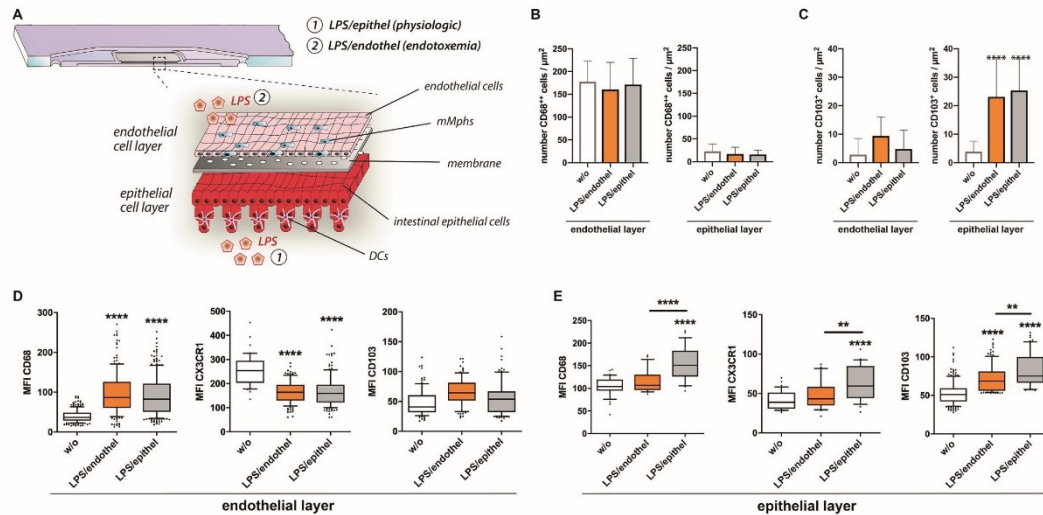
The multi-layered tissue model was separately perfused by two microchannels at the endothelial and the luminal side of the model (Fig. 2A). The vasculature of the intestine was mimicked by co-culture of HUVECs on the membrane opposite to the epithelial layer. Endothelial cells formed a confluent lining in the biochip that express endothelial cell marker proteins VE-cadherin (Supplementary Video S1) and von Willebrand factor (vWF) (Fig. 2B–D). In the epithelial cell layer, we observed the cellular self-organisation into three-dimensional structures with morphological features of crypts and villi that fully cover the membrane serving as cell substrate (Fig. 2 E–J, Supplementary Video S2). The formation of a three-dimensional Caco-2 cell layer upon microfluidic perfusion has also been reported by Ingber and colleagues, demonstrating the differentiation of these cells into a polarized columnar epithelium that contains cells with markers of absorptive, mucus-secreting, enteroendocrine, and Paneth cell populations [11]. Also in our model, villus-like structures appear polarised and express at the outer cell borders the carcinoembryonic antigen-related cell adhesion molecule 1 (CEACAM1, Fig. 2 F), a protein expressed by intestinal epithelial cells (IEC) *in vivo* at the apical surface of intestinal villi [24].

Further, villin, a protein controlling the shape and motility of IEC by interconnecting the brush borders to the cytoskeleton [25] was found expressed at the tip of villus-like structures (Fig. 2 G). These structures present themselves in a well-defined organisation expressing tight junction proteins ZO-1 and occludin (Fig. 2H, I), both critically involved in the maintenance of barrier function [26]. Further,  $\beta$ -catenin (Fig. 2 J), an essential regulator of epithelial cell proliferation and differentiation [27], and cytochrome P450 3A4 (CYP3A4, Fig. 2 K), a major CYP enzyme responsible for metabolizing over fifty percent of prescribed drugs [28] are stably expressed by the epithelial cell layer. In contrast to static culture, Caco-2 cells differentiate under perfusion conditions into cell-subtypes expressing specific cell type markers such as  $\alpha$ -defensin, a marker of Paneth cells (Fig. 2 L) and Mucin-2, a marker protein of Goblet cells (Fig. 2M).

Supplementary video related to this article can be found at <https://doi.org/10.1016/j.biomaterials.2019.119396>

The lack of tissue-resident mMPs and DCs is a limitation of most currently available *in vitro* gut models. These two cell types are required to initiate immune responses causing inflammation and to prevent pathogen dissemination, yet also for mediation of immunotolerance to





**Fig. 3. Mobility and phenotype marker expression of mPCs upon LPS stimulation.** A) The distribution pattern of mPCs with the majority of mPCs populating the endothelial cell layer and DCs residing in the epithelial cell layer. Two different LPS stimulation conditions were tested for recreating 1) physiological contact of epithelial cells with LPS; and 2) conditions of endotoxemia, with LPS exposed to the endothelial cell layer. B-C) Total number of B) CD68<sup>+</sup> cells or C) CD103<sup>+</sup> cells per μm<sup>2</sup> within the endothelial or epithelial cell layer of the non-stimulated model (w/o), and the model stimulated at the endothelial side (LPS/endothel) or the epithelial side (LPS/epithel). D-E) Mean fluorescence intensity (MFI) of CD68, CX3CR1 and CD103 expression by mPCs in the D) endothelial and E) epithelial cell layer. Whisker plots: 10th – 90th percentile, the box represents the 25th and 75th percentile with the line in the box marking the median. Data points outside whiskers mark outliers. A-D) Statistical testing with one-way ANOVA and Tukey's correction, \*\*p < 0.01, \*\*\*\*p < 0.0001 vs. non-stimulated condition (w/o); Data of five independent experiments is shown.

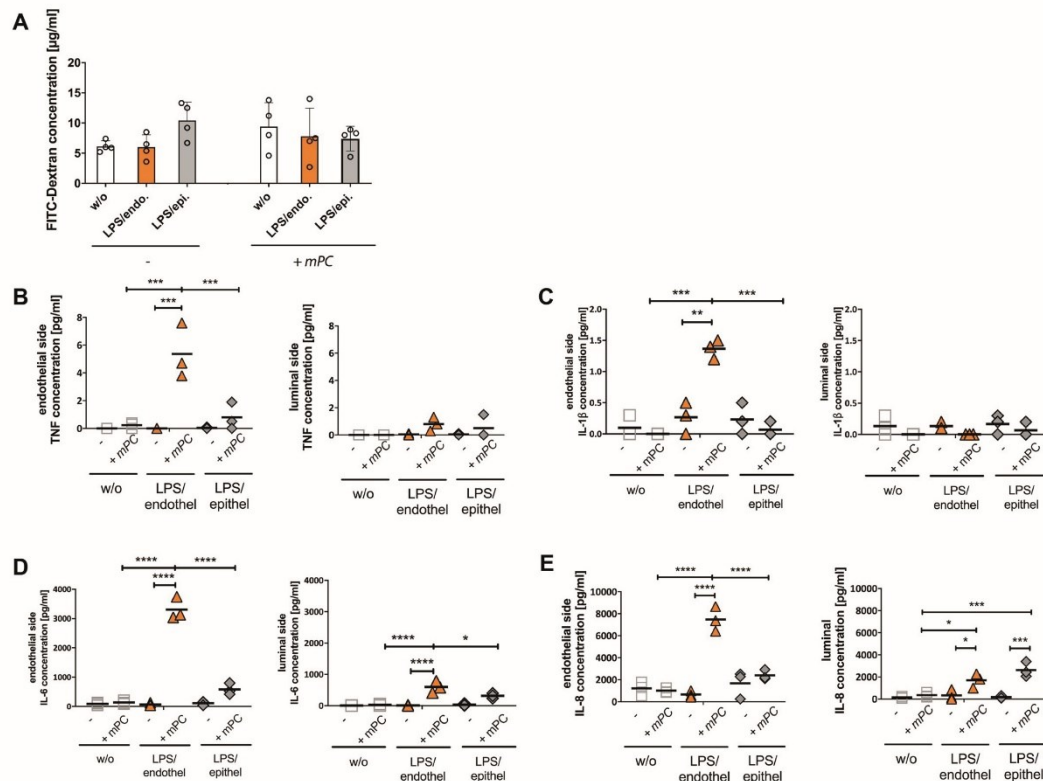
commensal bacteria and derived MAMPs in the gut lumen. To simulate these functions *in vitro*, human primary monocytes were seeded on the endothelial cell layer and differentiated in the presence of macrophage colony stimulating factor (M-CSF), and granulocyte-monocyte colony stimulating factor (GM-CSF). After 7 days of culture, monocytes differentiated into two phenotypically distinct monocyte-derived phagocyte subsets (mPCs) resembling features of mPCs and DCs, respectively. The functionality of these mPCs was studied in the presence of LPS, both at the endothelial and epithelial cell layer (Fig. 3 A). Expression of CX3CR1 with a high level of CD68 (CD68<sup>++</sup>) defined mPCs, whereas DCs were defined by expression of lower CD68 levels (CD68<sup>+</sup>) and the presence of the DC marker integrin alpha E (CD103). It has been reported that mPCs depend on CX3CR1 to be maintained within the lamina propria and to prevent translocation of commensal bacteria to mesenteric lymph nodes [29]. In accordance with these reports, we found that LPS exposure to the intestinal luminal side of the model triggered mPCs and DCs to self-organise within the tissue. LPS stimulation at the intestinal luminal side supported the formation of CD68<sup>+</sup>/CD103<sup>+</sup> DCs and triggered DC invasion into the epithelial cell layer (Fig. 3 C, D, E) with some of these DCs forming dendrites through the epithelial cell layer contacting the luminal space (Supplementary Figure 1). In mice, lamina propria-resident CD103<sup>+</sup> DCs were shown to migrate into the epithelium during homeostasis to facilitate capture of bacterial antigens [21]. In the intestinal model, a significantly increased expression of CD103 by DCs was observed at the luminal side upon epithelial cell stimulation (Fig. 3 D, E, Supplementary Figures 2 and 3). Thus, a physiological contact of epithelial cells with LPS contributed to improved DC maturation within the epithelial cell layer. LPS stimulation also upregulated CD68 and CX3CR1 in mPCs that predominately populate the endothelial cell layer (Fig. 3 B, D, E).

Endotoxemia represents a disease condition in which LPS leaks into the bloodstream. This condition was simulated by exposing the

endothelial lining to LPS. Although we observed an upregulation of CD68 in mPCs, we were unable to find increased upregulation of CX3CR1 in mPCs within the epithelial cell layer as observed upon intestinal luminal LPS stimulation. Further, CD103 expression by DCs was significantly decreased in the epithelial barrier compared to LPS stimulation at the luminal side (Fig. 3 B - E). These results demonstrate guided mobility and adapted activation pattern of mPCs depending on the microenvironment created by the endothelial and epithelial cell layers with their individual responsiveness to LPS exposure.

Subsequently, we studied the responsiveness of mPCs depending on their differentiation and distribution pattern induced by the different LPS stimulation sites. In general, no adverse effects were observed on barrier functionality despite the presence of mPCs in the model, irrespective of the side of LPS exposure (Fig. 4 A). Under simulated physiological conditions with LPS present at the luminal side of the model, we observed immunotolerance reflected by the absence of a release of pro-inflammatory cytokines. However, emulated endotoxemia with LPS exposure to the endothelial layer induced a robust inflammatory response characterized by release of TNF, IL-1β, IL-6, and IL-8 (Fig. 4B-E).

The proinflammatory cytokines TNF, IL-1β, IL-6, and IL-8, are essential mediators of human intestinal inflammation and LPS-induced cell damage [30]. Moreover, these cytokines are critically involved in the loss of intestinal barrier function frequently observed in IBD [31,32]. A decrease in the expression of endothelial VE-cadherin, and E-cadherin/ZO-1 expressed by IECs is directly associated with intestinal permeability in IBD [33]. Similar observations were made in our intestinal model, where direct exposure of the endothelial lining to LPS significantly reduced expression of VE-cadherin with a diffuse and disrupted expression pattern along the cell borders. In addition, an analysis of endothelial cells by quantification of the cellular ellipsoid index (0 = line, 1 = circle) revealed significant morphological



**Fig. 4.** Permeability and cytokine release upon LPS stimulation. A) Permeability of the intestinal model for FITC-dextran, and B-E) release of proinflammatory cytokines B) TNF, C) IL-1 $\beta$ , D) IL-6, and E) IL-8 at the endothelial or luminal side of the intestinal model without LPS stimulation (w/o), and upon LPS stimulation at the endothelial cell layer (LPS/endothel) or the epithelial cell layer (LPS/epithel) in absence (–) or presence (+) of mPCs. B-E) data is shown as scatter plots with mean values  $\pm$  standard deviation, statistical significance was calculated by two-way ANOVA with Sidak's multiple comparisons test (\*\*\*\*p < 0.0001; \*\*\*p < 0.001; \*\*p < 0.01; \*p < 0.05). Data of three independent experiments are shown.

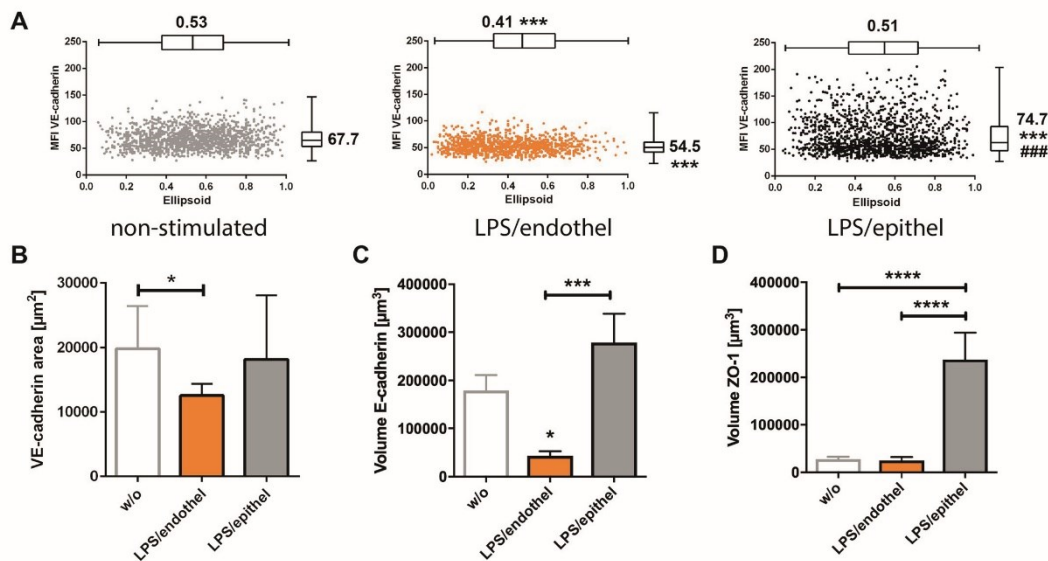
alterations of endothelial cells directly exposed to LPS. Endothelial cells exposed to LPS appeared elongated with a diffuse VE-cadherin expression, indicating endothelial dysfunction [34]. In contrast, we observed an even increased VE-cadherin expression and no alterations of endothelial morphology when LPS was perfused at the intestinal lumen of the model (Fig. 5A and B; Supplementary Figure 4). Similar observations were made for E-cadherin and ZO-1 expression in the epithelial cell layer. For both proteins, we found an increased expression level when the epithelial cell layer was stimulated with LPS. However, the expression of E-cadherin and ZO-1 was significantly reduced when the endothelial cell layer was exposed to LPS (Fig. 5C, D; Supplementary Figs. 4B and C).

The observed immunotolerance at the luminal side represents a crucial physiological feature required for stable colonisation with living bacteria in the presence of functional mPCs. Therefore, we next colonised the luminal side with the probiotic bacterium *L. rhamnosus* in the presence of LPS at the luminal side resembling physiological conditions. Alternatively, LPS was added to the endothelial layer mimicking endotoxemia. We observed that independent from the side of LPS stimulation, *L. rhamnosus* stably colonised the epithelial cell layer without translocating through the tissue barrier (Fig. 6A). Further, a trend towards an improved barrier function was observed upon luminal

colonisation with *L. rhamnosus* (Fig. 6B). Infection of the intestinal tract is often accompanied by infiltration of the intestine by leukocytes [35]. We therefore introduced circulating PBMCs into the vascular perfusion circuit of the endothelial compartment to investigate the potential immunomodulatory effects of *L. rhamnosus* in the intestinal model. Measurement of the cytokine release profiles for IL-1 $\beta$ , IL-6, IL-8, IL-10, and TNF in intestinal models colonised with *L. rhamnosus* showed a significant increase of cytokine release and PBMC adhesion upon LPS stimulation of the endothelial cell layer compared to LPS stimulation at the luminal side (Fig. 6C, D). Though, we observed only slightly increased IL-1 $\beta$  and IL-6 levels and no alterations of IL-8, IL-10 and TNF release associated with the luminal colonisation with *L. rhamnosus*.

*L. rhamnosus* was described among other probiotic bacteria to reduce enteric colonisation and infection with opportunistic pathogens. Multiple mechanisms, including nutritional competition, reduction of barrier permeability, and the modulation of the immune response have been discussed in this context [36,37]. We found that *L. rhamnosus* colonisation significantly increased the expression of E-cadherin and ZO-1 even in the presence of PBMCs (Fig. 6E, F) and improved cell viability at the epithelial cell layer indicated by lower LDH release (Fig. 6G). Lactobacilli have been shown to counteract infections of the gastrointestinal tract (GI) by opportunistic pathogens [38,39]. The





**Fig. 5.** Expression of VE-cadherin, E-cadherin and ZO-1 upon LPS stimulation. A) Expression pattern of VE-cadherin and endothelial cell morphology under non-stimulated conditions, and upon exposure of the endothelial layer to LPS (LPS/endothel) or the epithelial cell layer (LPS/epithel). Mean fluorescence intensity (MFI) of VE-cadherin expression is plotted for each individual cell analysed against its ellipsoid index (0 = line, 1 = circle). B) Quantification of VE-cadherin expression area in endothelial cells. C) Expression of E-cadherin and D) ZO-1 in the epithelial cell layers by z-stack image analysis and quantification as the volume of expressed protein levels. Statistical testing performed by one-way ANOVA with Tukey's correction; \* $p < 0.05$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$  vs. non-stimulated condition (w/o) or between indicated conditions. Data of four independent experiments are shown.

yeast *C. albicans* usually exists as a harmless commensal in healthy individuals [40]. However, it can also become a pathogen causing severe systemic infections as part of ultra-low diversity microbial communities in the gut, i.e. after antibiotic treatment of critically ill patients with suppressed immune function [41]. *L. rhamnosus* has been shown to interfere with colonization of *C. albicans* in the gut by limiting fungal growth [38,42]. However, most of these studies were performed in absence of epithelial cells [43,44] or in monolayered epithelial cell cultures [45–47]. We therefore studied the interaction of *C. albicans* with *L. rhamnosus* a more complex and immunocompetent micro-environment reflected by the intestine-on-chip model. The luminal side of the intestinal model was infected with *C. albicans*, and the impact of *L. rhamnosus* pre-colonization was analysed for the resulting fungal burden after 24 h of co-culture. We found that *L. rhamnosus* colonisation not only limit the growth of *C. albicans* at the luminal side of the model (Fig. 6H), but also reduces the translocation of the fungus over the intestinal barrier into the endothelial compartment emulating the vasculature (Fig. 6 I, J, K).

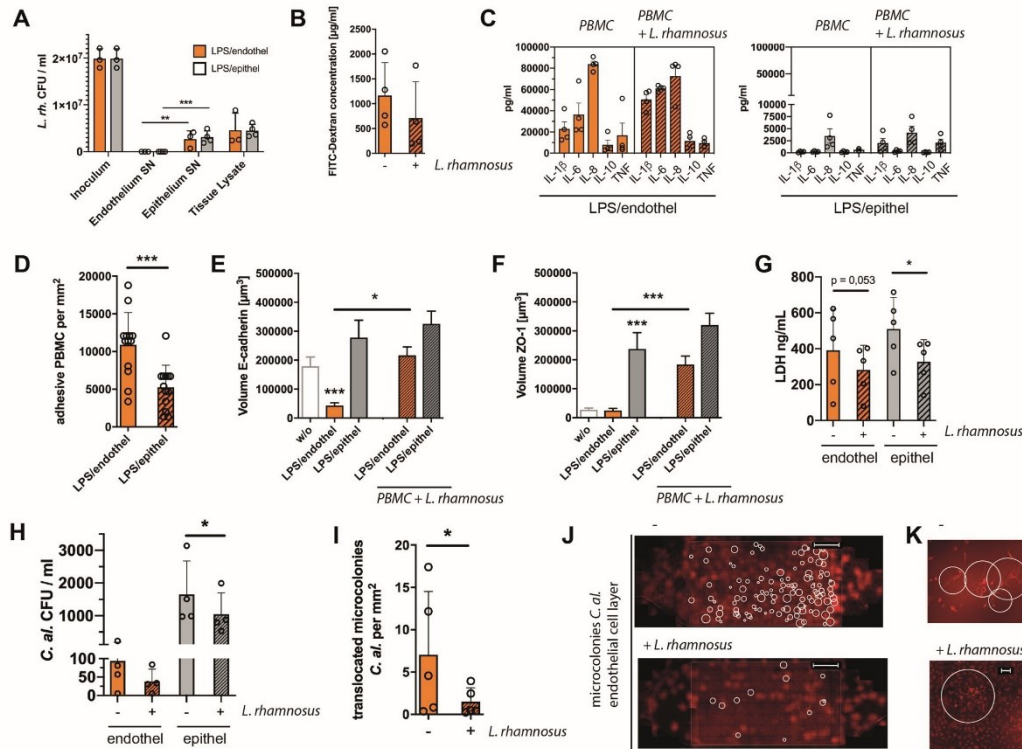
#### 4. Discussion

The intestinal epithelium is one of the most dynamic and rapidly renewing tissues in the body, which requires continuous remodelling as well as disposal of effete cells. In order to simulate the human intestine as a stable and immunocompetent ecosystem, we developed a microfluidic organ-on-chip model forming a three-dimensional epithelial cell layer that can be colonised by living bacteria. We could demonstrate that the model, in contrast to monolayer cultures, exhibits near physiological 3D tissue architecture. Similar to normal intestinal epithelial our model demonstrates immunotolerance of resident mMPs and DCs to MAMPs (LPS) present in the intestinal lumen, whereas the presence of LPS in the vascular compartment elicited strong inflammatory

responses. Further, we were able to include an artificial microbiota consisting of living lactobacilli that was shown to protect against invasion of the opportunistic pathogen *C. albicans* by lowering fungal burden overgrowth in the intestinal lumen and limiting fungal translocation through the gut barrier. Our observations are in agreement with reports from *in vivo* studies [38] and demonstrate the usefulness of the intestine-on-chip model as a platform for functional studies on microbial communication and host-microbe interaction *in vitro*.

A potential limitation of our model is the use of the intestinal epithelial Caco-2 cell line, isolated from a colorectal tumour [48]. However, the cell line was shown to exhibit features more similar to human small intestine [10,11] and to retain stem cell-like capabilities able to recreate microanatomical structures of the human intestine *in vitro* [11]. Caco-2 cells cultured under microfluidically perfused conditions can self-organise and differentiate into a polarised columnar epithelium containing cells with markers of absorptive, mucus-secretory, enteroendocrine, and Paneth cell populations [11], which is not observed in Caco-2 cells cultured under static conditions [49]. The use of perfused conditions is thus imperative to evolve a more physiological microenvironment facilitating proper cell differentiation and increased mucus secretion to provide a suitable substrate layer that could be efficiently populated by living bacteria. Moreover, microfluidic perfusion and related shear forces on cell layers have been recently shown to prevent detrimental bacterial overgrowth *in vitro* [50].

Recently the HuMiX system, a multichannel intestine chip consisting of Caco-2 cells has been described [51]. This system allowed substantial advances in modelling host-microbe interactions *in vitro* but lacks endothelial cells and an immunocompetent environment created by mPCs. Further, microorganisms cultured in the chip are separated by a nanoporous membrane from the epithelial cells preventing their direct interaction. To fully mimic host-microbe interaction direct interactions of epithelial cells, immune cells, and the microbes are required.



**Fig. 6.** Colonisation of the intestinal model with *L. rhamnosus* and *C. albicans*. **A**) Colony forming units (CFU) of *L. rhamnosus* from inoculated medium (Inoculum), in the supernatant at the endothelial side (Endothelium SN) or the luminal side (Epithelium SN), and in both cell layers after tissue lysis (Tissue Lysate). Colonisation was performed with LPS exposed to the endothelial side (LPS/endothel, orange bars) or LPS exposed to the epithelial cell layer (LPS/epithel, grey bars). **B**) Permeability assays with FITC-dextran in the model without *L. rhamnosus* (–) or *L. rhamnosus* colonised at the luminal side (+). **C**) Cytokine release in the supernatant at the endothelial side with endothelial cells stimulated with LPS (LPS/endothel) or epithelial cells stimulated with LPS (LPS/epithel). PBMCs were circulated at the endothelial side without *L. rhamnosus* (PBMC), and with *L. rhamnosus* colonising the luminal side of the model (PBMC + *L. rhamnosus*). **D**) Adhesive PBMCs in the presence of *L. rhamnosus* at the luminal side upon stimulation with LPS at the endothelial (LPS/endothel) or epithelial cell layer (LPS/epithel). **E–F**) Expression of **E**) E-cadherin and **F**) ZO-1 quantified as the volume in the epithelial cell layer. **G**) Release of LDH in the supernatant of the intestinal luminal side and vascular side with and without *L. rhamnosus* colonisation. **H**) Colony forming units (CFU) of *C. albicans* (*C. a.*) co-cultured without and with *L. rhamnosus* at the epithelial and endothelial side. **I–K**) **I**) *C. albicans* upon translocation from the luminal side in absence or presence of *L. rhamnosus*. **J**) Quantified results of microcolony formation. **J**) low (scale bar 2 mm) and **K**) higher magnification (scale bar 100 µm) of formed microcolonies. **J**, **K**) Representative images of four independent experiments. Circles indicate identified microcolonies, fuzzy red background is caused by endothelial cells. **E–F**) **A–C**) Statistical testing with one-way ANOVA and Tukey's correction, \**p* < 0.05, \*\*\**p* < 0.001 vs. non-stimulated condition (w/o) or between indicated conditions. **D**, **G**, **H**, **I**) Two-tailed ratio paired *t*-Test, \**p* < 0.05, \*\*\**p* < 0.001 between indicated conditions. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

The self-formation of the three-dimensional epithelial cell layer in our model allows the colonisation of living microorganisms directly at the luminal side of the intestinal model. Further, the organotypic microenvironment supports the self-organization of mMphs and DCs guided by physiological LPS gradients ranging from the luminal to the vascular side. Already present at birth, mMphs share many characteristics with their monocyte-derived counterparts in the adult intestine including high-phagocytic activity [52]. These findings support the emerging view that instead of their origin, the location and the surrounding microenvironment determines the functions of tissue-resident macrophages [53,54]. After their arrival in the mucosa, invading monocytes undergo a process of local cell differentiation and acquire the expression of CX3CR1 and MHCII [55]. During homeostasis, resident intestinal mMphs are replenished continuously by circulating monocytes with up to 50% of mature mMphs [56]. Similar to the *in vivo* situation

these cells were found to express high levels of CX3CR1 upon LPS stimulation of the intestinal lumen. These mMphs primarily populated the endothelial side of the model, whereas CD103 expressing DCs were mainly recruited to the luminal side of the epithelial cell layer [19,21]. *In vivo* CX3CR1<sup>+</sup> mMphs initiate innate and adaptive immune responses to fungi in the intestine via expression of c-type lectin receptors [57]. Furthermore, it has been recently shown that CX3CR1<sup>+</sup> mMphs are critically involved in limiting microbiota-induced intestinal inflammation. They promote tissue homeostasis by limiting expansion of microbe-specific T helper 1 (Th1) cells and support the generation of microbiota specific regulatory T-cells. Interestingly, this study also demonstrated that colonization with microbes that adhere to the epithelium can compensate for intestinal microbiota loss and activate homeostatic immunoregulatory mechanisms [19]. Under physiological conditions *in vivo* mMphs do not respond to inflammatory triggers such



as LPS allowing them to act as efficient scavengers without inducing inflammation that usually ensues upon encounter of MAMPs and would compromise intestinal homeostasis [58]. In the intestinal model, we could demonstrate that LPS is well tolerated at the luminal side of the model with physiological homeostasis in the presence of commensal *L. rhamnosus*.

The maintenance of epithelial integrity has been shown to rely on the function of mMphs and DCs that reduce epithelial susceptibility to inflammatory insults and drive intestinal epithelial cell renewal and differentiation [59,60]. Further, mMphs stimulate the differentiation of regulatory T-cells and thereby contribute to intestinal homeostasis [61]. Recruited monocytes can differentiate into mature mMphs under inflammatory conditions exerting repair functions as has been described for monocytes infiltrating the liver [62,63]. We were able to demonstrate that by integration of mMphs and DCs tissue homeostasis can be mediated and LPS is tolerated at the luminal model side. Further, primary leucocytes (PBMCs) can be perfused in the model in the presence of LPS without detrimental effects on immune cell activation.

Colonisation of the intestine with *L. rhamnosus* did not induce inflammatory responses by release of proinflammatory cytokines. Instead, colonization improved cell viability of the intestinal model and was associated with increased E-cadherin and ZO-1 expression in the epithelial layer under simulated conditions of endotoxemia. These observations are in agreement with recent *in vivo* studies in mice, where an improved E-cadherin expression was also induced by lactobacilli [36]. Although the mechanism of the barrier improvement remains speculative, a potential explanation might be cytoprotective induction of heat shock proteins that were reported to contribute to cell protection by modulation of tight junction protein expression [64]. Stable colonisation of an intestinal model with *L. rhamnosus* was also shown by Kim *et al* [10]. However, PBMCs circulated in the model caused injury of villi and the intestinal barrier through the release of IL-8 induced by LPS present at the luminal side [10]. Here we demonstrate that in presence of mMphs and DCs physiological immunotolerance to LPS can be established, without detrimental effects of immune cell activation. This allows endothelial PBMC perfusion and a stable co-culture of living bacteria and LPS at the luminal side of the model. A pro-inflammatory immune response associated with tissue damage was only observed in the endotoxemia model, with *L. rhamnosus* colonisation ameliorating inflammation-associated tissue damage.

*In vivo*, continuous checks and balances between immune tolerance and the induction of inflammatory responses upon translocation of microorganisms are required. We observed increased leukocyte recruitment to the endothelial cell layer when LPS was present at the vascular side of the model creating an inflammatory environment that resembles conditions of IBD. The number of adhesive PBMCs under these simulated disease conditions was significantly higher compared to the more physiological conditions when LPS was present at the luminal side of the model. These observations are in agreement with clinical reports from IBD patients where blockade of recruitment of leucocytes into the intestine represents a promising treatment option for the patient [65]. The model has proven a suitable platform to elucidate mechanisms of host-microbe interactions in an immunocompetent environment in studies on leukocyte recruitment, immune cell differentiation, and the establishment of an adapted immune response to commensal and pathogenic microorganisms. Nevertheless, further improvements will be performed in follow up studies. These improvements should consider the contribution of other immune cells on immune surveillance of microorganisms in the gut, i.e. B- and T-cells organised in lymphoid follicles of the Peyer's patches and within gut-associated lymphoid tissue.

We could further demonstrate the feasibility of our model to investigate microbial interactions between commensal and opportunistic microorganisms with functional consequences for the intestinal barrier under near-physiological and well-controlled conditions *in vitro*. We here show for the first time that *L. rhamnosus* colonisation can diminish

invasion of *C. albicans* into the "bloodstream" in a microphysiological environment created by our intestine-on-chip system. Similar to the *in vivo* situation where the bacterial microbiota protects against *C. albicans* overgrowth [38,39], we could demonstrate a protective effect of probiotic *L. rhamnosus* limiting the overgrowth and translocation of *C. albicans* to the endothelial cell compartment. It is tempting to speculate that also luminal exposure to LPS contributes to a reduced translocation of pathogenic microbes into the vascular compartment by improving the intestinal barrier.

Our intestinal model has proven to be a valuable tool to systematically explore the underlying mechanisms of microbial communication, host-microbe interactions, microbial pathogenicity mechanisms, and immune cell activation under physiologically relevant conditions *in vitro*. Thus, it represents a powerful platform for the investigation of disease mechanisms, driven by (opportunistic) pathogens, i.e. under well-defined conditions of dysbiosis created in synthetic microbiomes that are composed of a limited number of microbial community members. Further, it allows the screening and development of novel treatment strategies for IBD by supporting and maintaining physiological conditions of the human microbiota that keep opportunistic pathogens in their commensal state and prevent the onset of related inflammatory diseases.

#### Author contributions

M.M., T.W., F.B., M.G., M.R., F.S. contributed experiments for organ-on-chip development. M.M., M.S.G., A.L., R.P., K.G. contributed microbiological experimentation and functional interaction studies. Z.C., A.M., M.T.F. contributed image analysis and quantification strategies. S.N. contributed electron microscopy. I.D.J., B.H., O.H., A.S.M. contributed design and supervision of experiments. B.H., A.S.M. designed the study. A.S.M. wrote the manuscript. I.D.J., B.H., O.H., A.S.M. contributed funding acquisition. All authors read and approved the manuscript.

#### Conflicts of interest

M.R. and A.S.M. hold equity in Dynamic42 GmbH. M.R. is CEO of Dynamic42 GmbH. A.S.M. consults to the company.

#### Data availability

The raw/processed data required to reproduce these findings cannot be shared at this time due to technical or time limitations.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.biomaterials.2019.119396>.

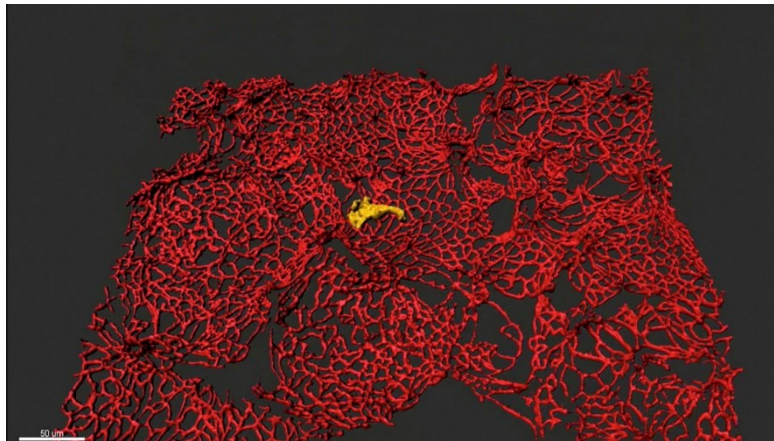
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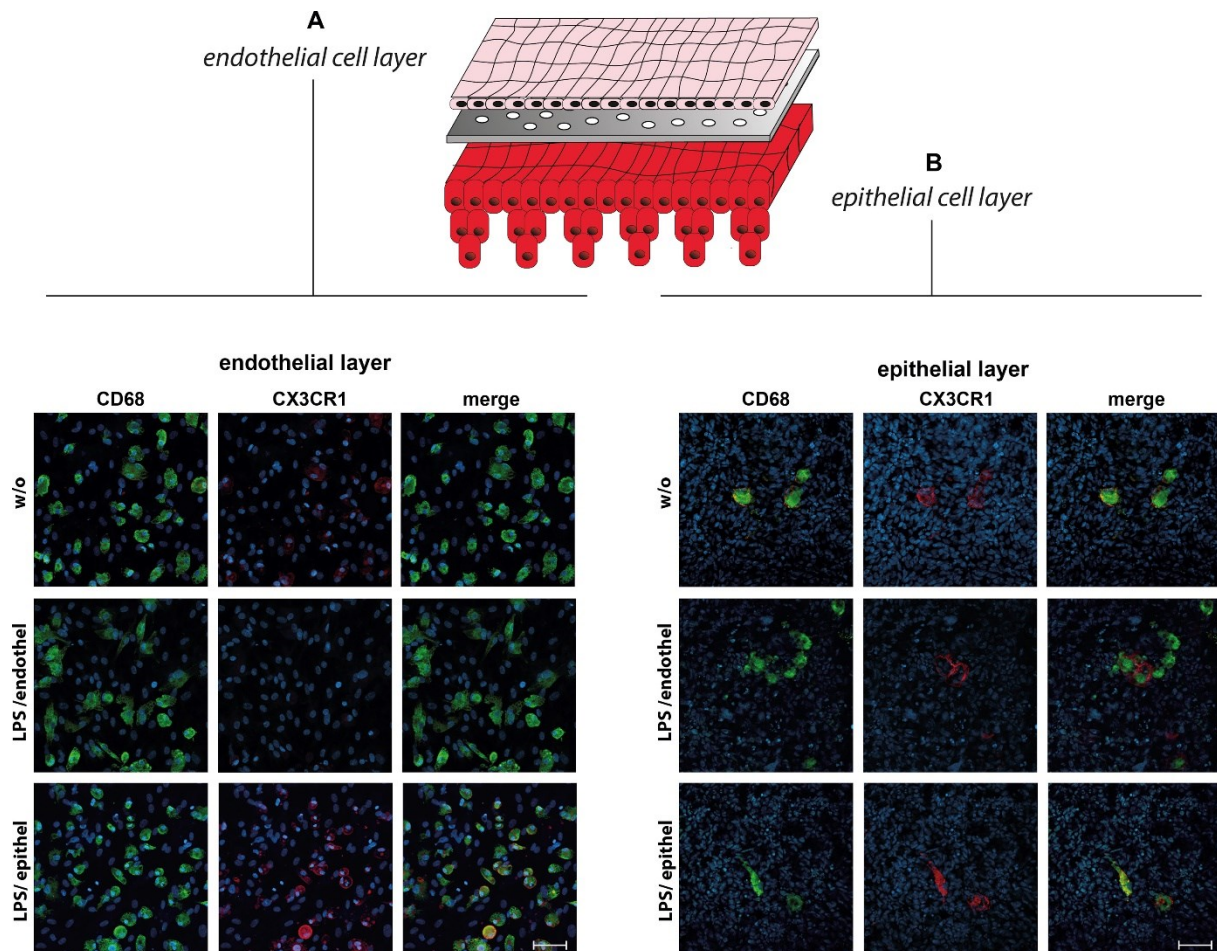


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# Manuscript I - Supplementary information

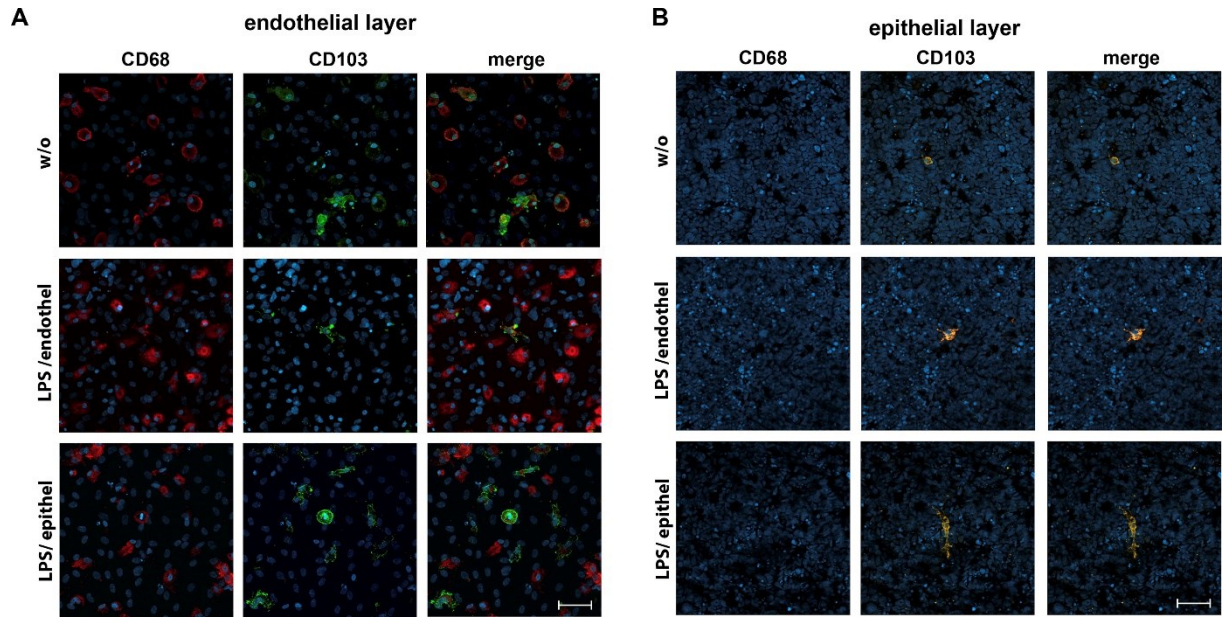


Supp. Fig. 1: CD103<sup>+</sup> DC with dendrite formation into the luminal side of the epithelial cell layer. CD103 (yellow), ZO-1 (red). Scale bar 50  $\mu$ m. Representative image of five independent experiments.

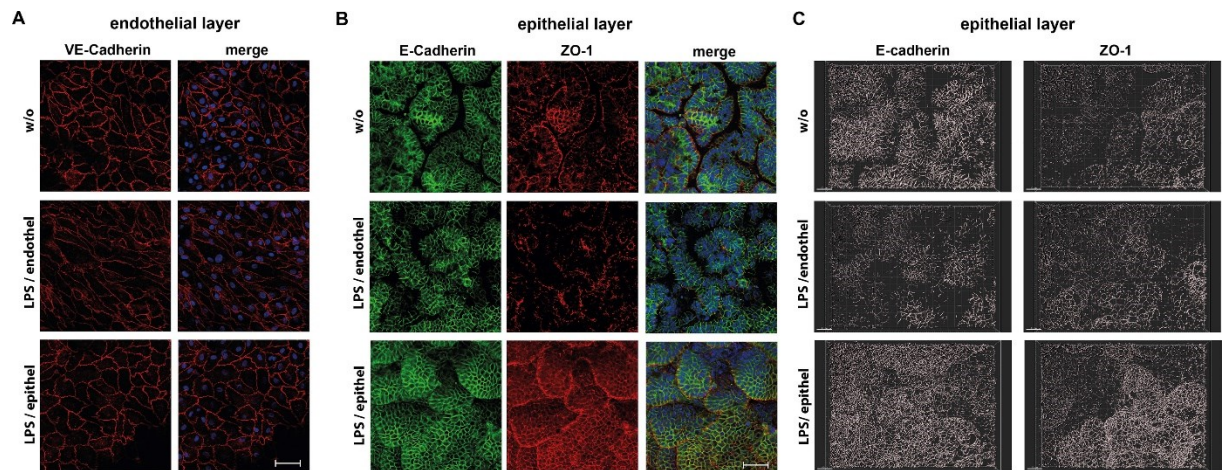


Supp. Fig. 2: Immunofluorescence staining of CD68 (green) and CX3CR1 (red) of mPCs within the A) endothelial and B) epithelial cell layer under non-stimulated conditions (w/o) and conditions of LPS exposure to the endothelial layer (LPS/endothel) or the epithelial cell layer (LPS/epithel). Nuclei were stained with DAPI (blue). Scale bar 50  $\mu$ m. Representative images of five independent experiments.





Supp. Fig. 3: Immunofluorescence staining of CD68 (red) and CD103 (green) of mPCs within A) endothelial or B) epithelial cell layer of the intestinal model under non-stimulated conditions (w/o) and the intestinal model stimulated with LPS at the endothelial side (LPS/endothel) or the luminal side (LPS/epithel). Nuclei were stained with DAPI (blue). Scale bar 50 μm. Representative images of five independent experiments.



Supp. Fig. 4: Immunofluorescence images of VE-cadherin, E-cadherin and ZO-1 expression. A) Expression of VE-cadherin (red) at the endothelial cell layer. B) Expression of E-cadherin (green) and ZO-1 (red). A-B) Nuclei were stained with DAPI (blue). C) Extracted E-cadherin and ZO-1 protein expression volumes (calculated protein volume from z-stack images) from z-stack images for protein quantification. A-C) Scale bar 50 μm. Non-stimulated (w/o), LPS stimulation of the endothelial cell layer (LPS/endothel) or the epithelial cell layer (LPS/epithel). Images show representative results of four independent experiments.

## 3.2 Manuscript II

### ***In vitro* infection models to study fungal-host interactions**

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Fungal infections are on the rise worldwide and represent serious health threats. The species *Aspergillus fumigatus*, *Histoplasma capsulatum*, *Cryptococcus neoformans*, and *Candida albicans* are responsible for the largest proportion of fungal infections. Mouse models have provided us with relevant insights into pathogenicity mechanisms employed by the fungi. However, due to physiological differences, the extrapolation of findings from mice studies to the human situation is difficult and hampers progress in finding adequate treatment strategies. Therefore, *in vitro* models are becoming increasingly important to understand and identify virulence factors, the interplay between the commensal microbiota, pathogens, and the host. Within the last decade, sophisticated *in vitro* models have been developed for several organs by the implementation of biomaterials and microfluidic technologies. These so-called microphysiological systems (MPS) represent promising tools to study fungi in environments closely resembling human physiology. We review *in vitro* models used for the investigation of fungal infections and discuss the potential of recently developed MPS for the research field.



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Review Article

## REVIEW ARTICLE

## In vitro infection models to study fungal–host interactions

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One sentence summary: From basic to complex: in vitro models to study interactions between human fungal pathogens and their host.

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### ABSTRACT

Fungal infections (mycoses) affect over a billion people per year. Approximately, two million of these infections are life-threatening, especially for patients with a compromised immune system. Fungi of the genera *Aspergillus*, *Candida*, *Histoplasma* and *Cryptococcus* are opportunistic pathogens that contribute to a substantial number of mycoses. To optimize the diagnosis and treatment of mycoses, we need to understand the complex fungal–host interplay during pathogenesis, the fungal attributes causing virulence and how the host resists infection via immunological defenses. *In vitro* models can be used to mimic fungal infections of various tissues and organs and the corresponding immune responses at near-physiological conditions. Furthermore, models can include fungal interactions with the host–microbiota to mimic the *in vivo* situation on skin and mucosal surfaces. This article reviews currently used *in vitro* models of fungal infections ranging from cell monolayers to microfluidic 3D organ-on-chip (OOC) platforms. We also discuss how OOC models can expand the toolbox for investigating interactions of fungi and their human hosts in the future.

**Keywords:** *in vitro* model; fungal–host interaction; *Aspergillus*; *Candida*; *Histoplasma*; *Cryptococcus*

### INTRODUCTION

Human fungal infections lead to approximately 1.5 million deaths worldwide each year, but receive little attention compared with malaria or tuberculosis, which kill a similar

number of people on an annual basis (Brown *et al.* 2012; Bon-gomin *et al.* 2017). Over 70% of deaths resulting from fungal infections can be attributed to fungi of the genera *Aspergillus*, *Candida*, *Cryptococcus* and *Histoplasma* (Brown *et al.* 2012). These

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opportunistic fungal pathogens are either normal commensals of the human microbiota or reside in the environment, resulting in constant exposure to pathogenic fungi for humans. Even in immunocompetent human hosts, superficial fungal infections are widespread. Among them, fungal skin diseases are the most common health complications (Vos et al. 2012), and vulvovaginal candidiasis (VVC) affects approximately 70% of women (Gonçalves et al. 2016; Rosati et al. 2020). Such infections are often connected to an imbalance of the bacterial microbiota, for example, after the use of antibiotics that favor fungal overgrowth (Weiss and Hennet 2017). In addition to superficial infections, opportunistic fungal pathogens can also cause severe life-threatening systemic infections under certain predispositions, like surgery, stem cell transplantation, chemotherapy or HIV/AIDS (Perloth, Choi and Spellberg 2007; Polvi et al. 2015; Vallabhaneni and Chiller 2016). Considering their clinical significance, suitable models to study opportunistic fungal infections are essential for obtaining insights into disease pathogenesis. Ideally, these models allow the dissection of the molecular details of host–pathogen interactions under physiologically relevant conditions. They should provide sufficient complexity to mimic the different types and stages of infections and predispositions of the host. These models should also be suitable to test experimental therapeutic interventions and allow the evaluation of clinically relevant biomarkers. Here, we review currently used *in vitro* models to study molecular mechanisms of fungal infections caused by common fungal pathogens, including *Aspergillus fumigatus*, *Candida* spp., *Cryptococcus neoformans* and *Histoplasma capsulatum*, and provide an outlook about models that will likely expand our toolbox to study fungal–host interactions in the near future.

## DISEASE MODELING

To study fungal pathogens and their related diseases, a wide range of models can be used. Commonly, host–pathogen interactions are investigated in animal model organisms such as mice, rats, fish, insects or worms. *In vivo* models offer the advantage to study host–pathogen interactions in a whole organism, providing the most complex interactions that can be achieved experimentally. However, in addition to critical ethical issues associated with the use of animal models (Robinson et al. 2019), the translation of results from animal experiments to human disease can be hampered by differences in physiology. Another approach is the use of tissue samples or organs from living organisms and their culture in an *ex vivo* environment that resembles *in vivo* conditions. These *ex vivo* models offer the advantage that conditions can be easily manipulated and are often easier to handle than living organisms. A broad overview of *ex vivo* models to study fungal infection is given by Maciel Quatrin et al. (2019). *In vitro* experiments are also performed outside of the natural biological environment. Primary cells isolated from tissues and biopsies can be cultured for a limited time or can be immortalized and cultured as cell lines. *In vitro* models may lack the complexity of *in vivo* models, but allow ample control over external growth conditions of cells concerning O<sub>2</sub> and CO<sub>2</sub> saturation, temperature, pH and nutrients. Moreover, it is relatively easy to manipulate as well as to quantitatively and qualitatively assess the metabolism, transcription and protein function of cells, making it possible to work in and test conditions that cannot be studied in *in vivo* models. It is also possible to introduce or omit different cell types to study the individual impact of different kinds of cells within the system. *In vitro* models (Fig. 1) range from monolayers in well plates, to transwell

systems, 3D tissue structures and complex organ-on-chip (OOC) models (Mosig 2017), which are used to mimic several organs such as the liver (Groger et al. 2016; Jang et al. 2019), lung (Benam et al. 2016; Deinhardt-Emmer et al. 2020) and gut (Shin and Kim 2018; Maurer et al. 2019). OOC models represent the smallest functional entity of an organ as well as a versatile and promising resource to study host–pathogen interactions (Ahadian et al. 2018). However, each model has its specific advantages and disadvantages. The most suitable model is the one that meets the actual needs with high predictability and robustness, depending on the pathogen, the host and the questions to be answered.

We discuss the fungal–host interactions in different biological niches (Fig. 2). We review *in vitro* models used to mimic infection routes and highlight relevant findings that contributed to expand our knowledge on fungal infections. Because the immune system plays a major role during fungal infections, the interplay of fungi and immune cells is discussed in the first part, followed by sections covering the respiratory tract, the gastrointestinal tract, the vaginal mucosa, the bloodstream and the blood–brain barrier (BBB).

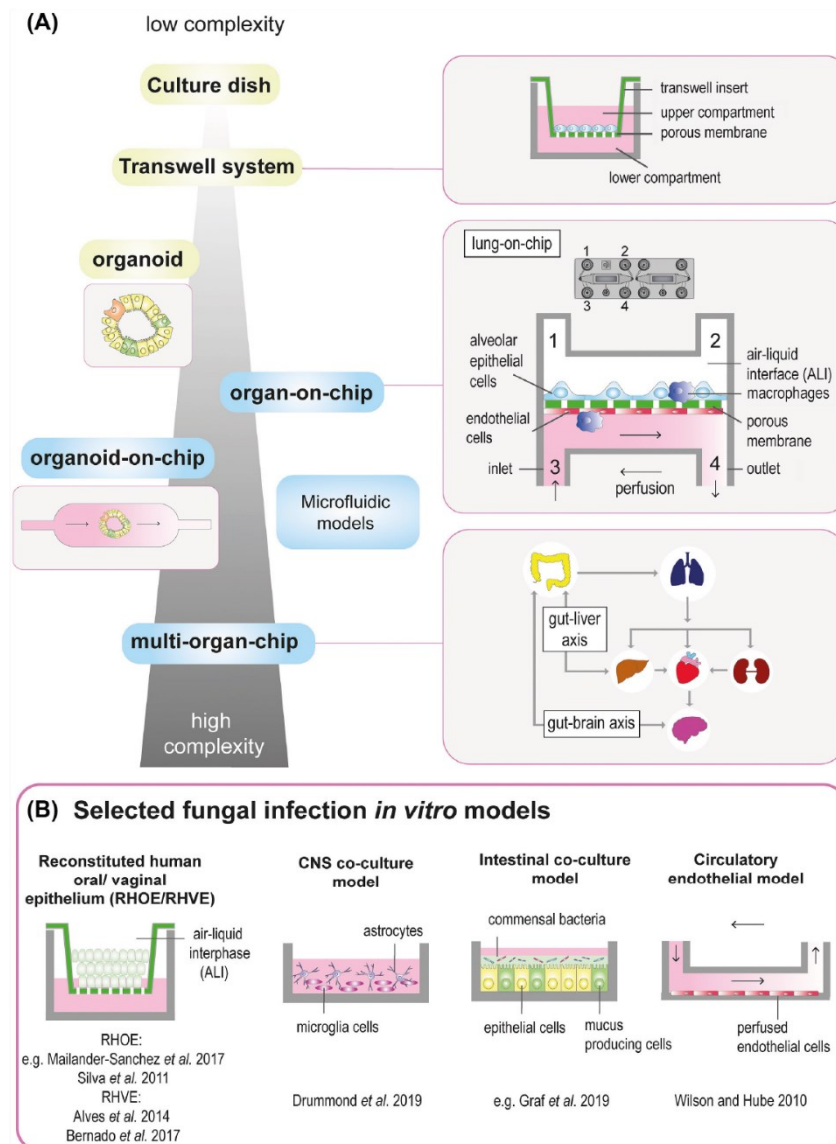
## STUDYING FUNGAL INTERACTIONS WITH THE IMMUNE SYSTEM

A properly functioning immune system is crucial for resistance against infections with fungal pathogens. Individuals with a compromised immune system are more susceptible to invasive fungal diseases, whereas detrimental, improper or hypersensitive immune reactions can also contribute to disease (Romani 2004; Wheeler, Limon and Underhill 2017). Thus, a protective host response against opportunistic fungal pathogens has to be specific, tightly regulated and effective. However, pathogenic fungi have evolved a series of mechanisms to deal with and evade the immune system. Knowledge of both aspects is crucial for the design of therapeutic strategies aiming to strengthen appropriate responses and suppress detrimental ones (Armstrong-James et al. 2017). We will discuss (i) the different immune cells involved in antifungal host defense, (ii) the different roles these cells play in antifungal immunity and (iii) different models and readouts that can be used to study the efficiency of the host response to pathogenic fungi.

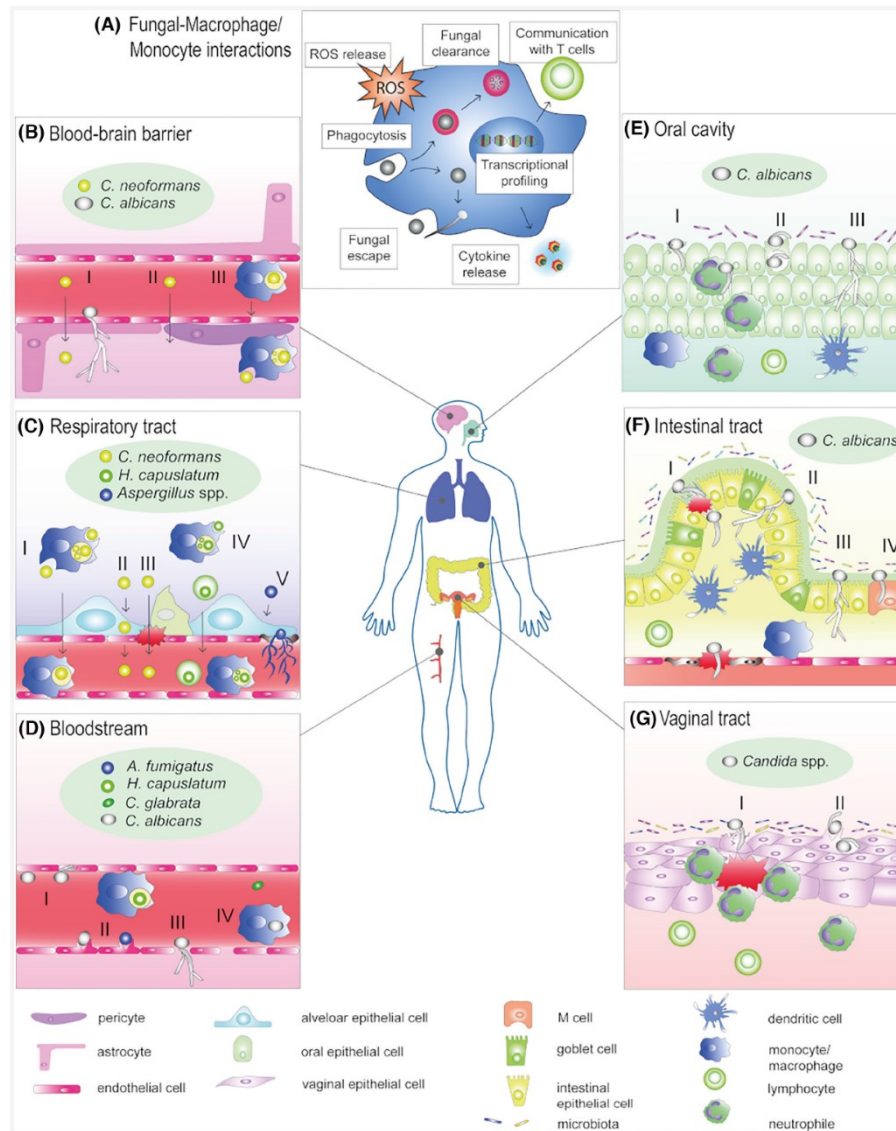
### Immune cells involved in antifungal host defense

A healthy and efficient immune system is fundamental to cope with the environmental fungi we encounter on a daily basis and to deal with the fungi we harbor as commensals. This antifungal immunity relies on the innate immune system represented by cells such as macrophages, monocytes, neutrophils, natural killer (NK) cells and dendritic cells (DCs) as well as the adaptive immune system, in particular on T helper cell responses. The importance of these different types of immune cells becomes apparent when they are dysfunctional or absent. For example, a compromised innate immune system due to immunosuppressive therapy predisposes not only to invasive candidiasis (Lionakis 2014) but also aspergillosis (Herbrecht et al. 2012). While the innate immune system plays a role in host defense against cryptococcosis (Voelz and May 2010), patients with a compromised adaptive immune response due to HIV infections are particularly susceptible (Warkentin and Crum-Cianflone 2010). In contrast to *Candida*, *Aspergillus* and *Cryptococcus* species, *Histoplasma* species more commonly cause infections in healthy individuals (Köhler et al. 2017). Nevertheless, a compromised innate as





**Figure 1. (A)** Evolution of *in vitro* models from low to high complexity. Culture dish: one cell type cultured in media. Transwell system: transwell inserts separate the culture area into an upper and lower compartment; cells are cultured under static conditions on a porous membrane allowing apical-basal polarization. Organoid: 3D miniature organ generated out of intestinal stem cells. Organ-on-chip (example): 3D lung on-chip model on a microfluidic biochip holding a porous membrane and two individually accessible channels with one inlet and outlet each; pulmonary epithelial cells are cultured in the upper compartment in an air-liquid interface; and endothelial cells in the lower compartment are perfused with cell culture medium enabling the removal of metabolites. Organoid-on-chip: maturation of organoids within a dynamic culture environment. Principle of a multi-organ-on-chip: interconnected organ-on-chip models of gut and liver, or gut and brain or other combinations of lung, intestine, liver, brain and/or kidneys. Such combinations can, for example, mimic certain steps of fungal dissemination throughout the body. The intestine and lung serve as primary infection sites. **(B)** Selected *in vitro* models to study host-fungal interactions. 3D reconstituted human oral (RHOE) or vaginal (RHVE) epithelium grown at an air-liquid interface. Central nervous system (CNS) co-culture model including microglia cells and astrocytes. Intestinal co-culture model including epithelial cells, goblet cells and bacteria. Circulatory model with perfused endothelial cells.



**Figure 2.** Fungal-host interactions during fungal diseases that are mimicked in *in vitro* infection models discussed in this review. (A) Fungal-monocyte/macrophage interactions resulting in several effector mechanisms that contribute to immunity against fungal infections (ROS: reactive oxygen species). (B) *C. neoformans* and *C. albicans* can cross the BBB via transcytosis (I); *C. neoformans* can overcome the barrier paracellularly (II) or use macrophages as shuttles (macrophages as 'Trojan horse') (III). (C) In the lung, *C. neoformans* and *H. capsulatum* induce their own phagocytosis by innate immune cells; they can replicate intracellularly and use host cells as shuttles to reach the blood stream and subsequently escape (I and IV); evasion of *C. neoformans* via transcytosis (II) or crossing of *C. neoformans* through a compromised epithelium (III). *Aspergillus* spp. form hyphae, can invade endothelial cells and enter the bloodstream (V). (D) *Candida* spp. can escape the blood circulation after adhesion to endothelial cells (I). *Candida* spp. and *A. fumigatus* can be endocytosed (II); *Candida* spp. can also use fenestrated endothelium as an escape route (III) or use leukocytes as shuttles (IV). (E) In the oral cavity, *C. albicans* hyphae can actively penetrate the epithelium (I) and/or invade via induced endocytosis (II) or translocate paracellularly (III). (F) In the intestine, *C. albicans* can actively penetrate the epithelium by hyphal growth (I), translocate paracellularly (II), invade without damaging the host cell (III) or translocate via M cells by inducing endocytosis (IV). (G) In the vaginal tract, *C. albicans* hyphae can actively penetrate the epithelium (I) or invade via induced endocytosis (II), thereby attracting neutrophils.

well as adaptive immune response increases the susceptibility to histoplasmosis (Akram and Koirala 2020).

Tissue-resident macrophages and monocyte-derived macrophages especially play an essential role against invasive candidiasis (Austermeier et al. 2020), whereas alveolar macrophages (AMs) are essential for clearance of fungi like *Aspergillus*, *Cryptococcus* or *Histoplasma* species that enter our body via the airways (Newman 2005; Xu and Shinohara 2017). Neutropenia is a common risk factor for aspergillosis and invasive candidiasis, showing the crucial role of neutrophils in antifungal host defense (Herbrecht et al. 2000). Dendritic cells (DCs) are crucial for activation of the adaptive immune system. Dysfunctions of the adaptive immune system like the reduced CD4<sup>+</sup> T cell function in AIDS patients increase the susceptibility for infections with *C. albicans*, *A. fumigatus*, *C. neoformans* or *H. capsulatum* (van de Veerdonk and Netea 2010). Interestingly, this predisposition manifests as mucosal *C. albicans* infections, in particular oropharyngeal candidiasis (OPC), but systemic *C. albicans* infections are also observed under such conditions (Fidel 2011). This is believed to be closely connected to the crucial roles of T helper responses in orchestrating oral mucosal resistance to infection (Gaffen and Moutsopoulos 2020; Scheffold, Bacher and LeibundGut-Landmann 2020). Antifungal immunity in the brain is connected to microglia that are the resident macrophage-like cells of the central nervous system (CNS), which show strong responses to fungal species like *C. albicans* (Blasi et al. 1991) and *C. neoformans* (Barluzzi et al. 1998). The C-type lectin receptor signaling adaptor CARD9 is expressed by microglia cells and its deficiency is associated with fungal brain infections (Drummond and Lionakis 2019). NK cells also exhibit antifungal effects (Schmidt, Tramsen and Lehmbecher 2017) and a delayed NK cell reconstitution (e.g. after allogeneic stem cell transplantation) is associated with a higher risk of invasive aspergillosis (Weiss et al. 2020).

#### Antifungal effector functions during host defense against fungal pathogens

After the recognition of pathogen-associated molecular patterns (PAMPs) via pathogen recognition receptors (PRRs), supported by opsonization, innate immune cells mount responses to counteract the invading fungi. At early stages of infection, macrophages detect and engulf fungal pathogens (Gilbert, Wheeler and May 2014) (Fig. 2A). In addition, through the release of cytokines and chemokines they recruit and activate other immune cells. When neutrophils migrate to the site of infection, they act against fungal pathogens through phagocytosis, oxidative bursts and NETosis (Gazendam et al. 2016; Urban and Nett 2019). The production of reactive oxygen species (ROS) by phagocytes can kill fungal pathogens, like *C. albicans*, directly (Grondman et al. 2019) or impact LC3-mediated phagocytosis during defense against *A. fumigatus* (Sprenkeler, Gresnigt and van de Veerdonk 2016). This is highlighted, for example, by the fact that chronic granulomatous disease (CGD) patients, incapable of producing ROS, are highly susceptible to aspergillosis (Segal et al. 2000). DCs represent the bridge to activate the adaptive immune system via antigen processing and presentation to T-cells (LeibundGut-Landmann et al. 2007). T-cell differentiation can influence infection in different ways. Th1 cells augment the innate immune function through the release of IFN $\gamma$  (Lionakis and Levitz 2018), which increases the microbicidal capacity of macrophages (Netea et al. 2015). Th17 cells release proinflammatory cytokines such as IL-17 and IL-22, which mediate

recruitment of neutrophils and induce production of antimicrobial peptides (Khader, Gaffen and Kolls 2009; Conti et al. 2016) (Fig. 2A). The importance of these T-cell types for antifungal defense is evident in corresponding knock-out mice that have an increased susceptibility to disseminated *C. albicans* infections (Balish et al. 1998; Huang et al. 2004), but also show a striking susceptibility to mucosal infections. Th2 responses can result in a detrimental immune response, manifesting in a higher susceptibility to disseminated *C. albicans* infections (Haraguchi et al. 2010) or an aberrant immune response to *A. fumigatus* spores connected to allergic bronchopulmonary aspergillosis (ABPA) (Knutsen and Slavin 2011). T regulatory cells can suppress inflammatory responses and are highly beneficial to prevent immunopathology in the case of ABPA (Montagnoli et al. 2006), but also allow *C. albicans* persistence in the gastrointestinal tract (De Luca et al. 2007).

#### In vitro models to study interactions between fungi and immune cells

The interactions between fungi and the different effector functions of the immune system can be easily studied in vitro using cell lines (Table S1A, Supporting Information) and primary immune cells (Table S1B, Supporting Information). Cell lines have the advantage of easy handling and provide highly reproducible results. The availability of many reporter cell lines and the possibilities to generate transgenic/knockout cell lines represent valuable resources that allow the study of highly conserved mechanisms in the immunology against fungal infections. Nevertheless, central cellular processes such as pyroptosis, apoptosis and autophagy are considerably different or modified in cancer cell lines. Over the past few years, the essential role of these processes in shaping antifungal immunity has become increasingly clear (Kanamaya and Shinohara 2016; Sprenkeler, Gresnigt and van de Veerdonk 2016; Dominguez-Andres et al. 2017; Evans, Sundaramurthy and Frickel 2018; O'Meara and Cowen 2018; Gonçalves et al. 2020; Thak et al. 2020; Weerasinghe and Traven 2020). Therefore, primary cells offer the highest similarity to the physiological situation. Primary immune cells are commonly isolated from peripheral human blood. By density gradient centrifugation, peripheral blood mononuclear cells (PBMCs) can be separated from erythrocytes and granulocytes (Munoz and Leff 2006). An important aspect to consider when using primary cells is that strong donor variation and even seasonal differences can influence experimental outcomes (Ter Horst et al. 2016). However, genetic differences between donors can also be exploited to analyze the impact of specific genotypes on the antifungal immune response (Lionakis et al. 2013; Smeekens et al. 2013; Matzaraki et al. 2017; Gresnigt et al. 2018b; Jaeger et al. 2019a,b). In these functional genomic approaches, immune cells of large cohorts of volunteers are screened for variation in specific immunological effectors such as cytokine release, ROS release or fungal killing. After genotyping the donors, the results of immunological phenotypes can be stratified based on the corresponding genotype. This knowledge on the influence of common genetic variations on the antifungal host response can provide valuable information about the role of certain genes in antifungal host defense. Combined with genetic association studies, functional genomics can be used to validate the impact of identified variants on immune pathways and susceptibilities to infections. In this way, crucial roles have been identified for CX3CR1 and



its role in host defense against of systemic candidiasis (Lionakis et al. 2013), as well as for the SIGLEC15 receptor in the susceptibility to vulvovaginal candidiasis (Jaeger et al. 2019b). Conversely, knowledge about genetic variations that influence critical antifungal host defense pathways can lead to the discovery of genetic susceptibilities. In this way NOD2 variants were found to increase resistance to invasive aspergillosis (Gresnigt et al. 2018b).

### Macrophages

Interactions between macrophages/macrophage-like cells and fungal pathogens have been studied using cell lines like J774A.1, RAW, Ana-1, U937, BV-2 and THP-1 (Table S1A, Supporting Information). Such cell lines allow the generation of reporter constructs that can be used to monitor the activation of specific immune pathways. In this way, the importance of RAB-GTPases for maturation of *C. albicans*-containing phagosomes has been demonstrated (Bain et al. 2014; Okai et al. 2015). Another option is the use of macrophages derived from murine bone marrow cells and differentiated *in vitro* (BMDMs) (Table S1B, Supporting Information). A major advantage of this approach is the possibility to isolate BMDMs from mice with different genetic backgrounds (e.g. gene knockout or transgenic mice), thus providing a toolbox to obtain in-depth knowledge about key players of the host immune response during fungal infections. Such cells from knockout mice have been widely used to investigate, for example, inflammasome activation in the response to *C. albicans* (Kasper et al. 2018), *C. neoformans* (Guo et al. 2014) and *A. fumigatus* (Karki et al. 2015). In addition to BMDMs, human monocyte-derived macrophages (MDMs) can be used for *in vitro* studies. In such experiments, monocytes are isolated from PBMCs or whole blood and can be differentiated *in vitro* into a wide range of functionally different MDMs (Xue et al. 2014). MDMs have been used in numerous studies to dissect not only cytokine release, inflammasome activation, oxidative burst, phagocytosis and phagosome maturation after confrontation with fungi but also escape and survival mechanisms of fungi during these interactions (Smith, Dixon and May 2015; Gresnigt et al. 2018b; Kasper et al. 2018; O'Meara et al. 2018; Friedrich et al. 2019) (Table S1B, Supporting Information).

However, undifferentiated monocytes are also used to investigate how these cells are differentially activated (Halder et al. 2016; Dominguez-Andres et al. 2017; Klassert et al. 2017; Camilli et al. 2018; Leonhardt et al. 2018). The stimulation of monocytes using PAMPs such as  $\beta$ -glucan can induce epigenetic reprogramming, which alters the response to secondary *C. albicans* stimulation (Quintin et al. 2012), a concept known as innate immune memory or 'trained immunity'. In contrast, the response to *C. albicans* can also be hampered by the induction of innate immune tolerance by PAMPs such as lipopolysaccharide (Grondman et al. 2019). Over the past years it has become increasingly evident that cell metabolism is linked with immune cell functionality. Global as well as targeted profiling of metabolic pathways in primary immune cells, especially monocytes and macrophages, have been used to uncover immunometabolism in response to fungi (Dominguez-Andres et al. 2017; Gonçalves et al. 2020; Weerasinghe and Traven 2020).

Since pathogenic fungi often colonize and infect specific organs, the corresponding tissue macrophages offer the highest physiological relevance. For example, specific cell lines such as the murine alveolar macrophage cell lines MH-S (Mattern et al. 2015) and AMJ2-C11 (Pitangui Nde et al. 2015) are used to study fungal pathogens that cause pulmonary infections (Table

S1A, Supporting Information). Alternatively, primary alveolar macrophages can be used to study the immune response of pulmonary fungal infections *ex vivo*. Though, the limited availability of these cells makes it challenging to obtain sufficient numbers for experiments. Nevertheless, protocols are available to obtain large numbers of AMs from bronchoalveolar lavage (BAL) (Busch et al. 2019) or resected lung tissue (Nayak et al. 2018). Similarly, peritoneal macrophages have been used to study the interactions with *Candida* spp. (Ifrim et al. 2016; Shimamura et al. 2019). Because peritoneal macrophages are easier to obtain in larger quantities than AMs, they have also been used for interaction studies with *H. capsulatum* (primarily infecting the lung) (Youseff et al. 2012; Huang et al. 2018; Shen et al. 2018) (Table S1B, Supporting Information). To dissect fungal interactions with immune cells in the brain, BV-2 microglia cells (Blasi et al. 1990) (Table S1A, Supporting Information) were co-cultured with astrocytes to demonstrate that candidalysin induces IL-1 $\beta$  release, which in turn mediates neutrophil recruitment (Drummond et al. 2019) (Fig. 1B).

Interaction studies with macrophages revealed mechanisms enabling fungal cells to evade macrophage phagocytosis or to escape from phagosomes. Masking of cell wall epitopes can prevent the detection of *A. fumigatus*, *C. albicans* and *H. capsulatum* by macrophages (Rappleye, Eissenberg and Goldman 2007; Aimaniananda et al. 2009; Ballou et al. 2016). Morphological changes such as titan cell formation by *C. neoformans* (Okagaki and Nielsen 2012) or filamentation by *A. fumigatus* and *C. albicans* influence phagocytosis efficiency (Lewis et al. 2012; Erwig and Gow 2016; Maxson et al. 2018). Additionally, these fungi can inhibit phagosome acidification or phagosome maturation to prevent intracellular killing. These processes are reviewed in detail by Gilbert, Wheeler and May (2014) and Seider et al. (2010).

Irrespective of the immune cell type used, numerous read-outs are available to study interactions between fungi and cells of the immune system. Transcriptional profiling has provided indispensable insights into the interplay between immune cells and fungal pathogens. Specifically, dual-species transcriptional profiling has helped to elucidate key features of the adaptations of fungal cells in response to immune cells and vice versa (Niemiec et al. 2017; Munoz et al. 2019). Given the crucial role of phagocytes in fungal clearance, protocols established to investigate phagocytosis and phagosome maturation are common (Fig. 2A). Using live-cell microscopy, phagocytosis and viability dynamics can be studied on a kinetic scale involving multiple phagocytes (Smith, Dixon and May 2015; Gresnigt et al. 2018a; Kasper et al. 2018; Lim et al. 2018; Guimaraes et al. 2019; Seoane et al. 2020). For example, a struggle for glucose availability between macrophages and *C. albicans* was demonstrated to be crucial in dictating inflammasome activation (Tucey et al. 2020). *Candida albicans* cells however, can filament thereby complicating clearance through phagocytosis (Erwig and Gow 2016). Phagocytosis and phagosome maturation can also be examined in detail on a single-cell level (Bain et al. 2014; Okai et al. 2015; Westman et al. 2018). Such studies have contributed to the understanding of the role of phagosome-lysosome fusion in maintaining phagosome integrity while fungal cells filament inside the phagosome (Westman et al. 2020). Apart from live cell imaging, phagocytes can also be fixed at specific time-points to investigate the co-localization of proteins to the phagosome using immunofluorescence staining. In this way, LC3-associated phagocytosis has been investigated as a crucial pathway to improve phagocytosis efficiency of *H. capsulatum* and *A. fumigatus* (Huang et al. 2018; Kyrnizi et al. 2018). Using a similar approach, a key role has been shown for flotillin-dependent

microdomains or lipid rafts in phagosome formation for efficient host defense against *A. fumigatus* (Schmidt et al. 2020).

### Natural killer (NK) cells

Primary NK cells can be obtained from PBMCs by different isolation kits (Wang et al. 2017). NK cells have been studied alone or in co-culture with other immune cells and have been observed to have direct antifungal capacity against *C. neoformans* through the release of perforins (Wiseman et al. 2007). The recognition of  $\beta$ 1,3-glucan through the NKP30 receptor was identified to trigger and enhance the killing of *C. albicans* and *C. neoformans* by NK cells (Li et al. 2018). Other *in vitro* studies revealed an exhausted phenotype of NK cells, when they degranulate in contact with *A. fumigatus* (Santiago et al. 2018). NK cell activation in response to *Candida* species has been observed to occur indirectly by cross talk with monocytes (Marolda et al. 2020). Similarly, for *A. fumigatus*, crosstalk between NK cells and DCs was found to mediate DC activation (Weiss et al. 2018). Further, direct antifungal effects of NK-cells against *A. fumigatus* have been associated with release of IFN $\gamma$  (Bouzani et al. 2011) (Table S1B, Supporting Information).

### Neutrophils

Using hypotonic lysis of erythrocytes or other gradient solutions like PolymorphPrep<sup>®</sup> (Progen, Heidelberg, Germany) (Degel and Shokrani 2010), primary neutrophils can be isolated from PBMCs to investigate their interaction with fungi. Neutrophils can act as phagocytes, but can also form neutrophil extracellular traps (NETs) and release cytokines in the presence of fungal cells. These features were studied intensively *in vitro* (Urban et al. 2006; Bruns et al. 2010; Rocha et al. 2015; Sun and Shi 2016; Dasari et al. 2018; Thompson-Souza et al. 2020). By studying phagocytosis, killing, NETosis and cytokine release, spleen tyrosine kinase (Syk) was identified as a crucial mediator for inducing antifungal effector mechanisms against various *Candida* species (Negoro et al. 2020). Another aspect is to monitor how these phagocytes migrate to the site of infection. Chemotaxis assays using specialized *in vitro* systems (Richards et al. 2004; Chen 2005; Thunström Salzer et al. 2018) can be used to elucidate this process in the context of fungal infections (Coenjaerts et al. 2001; Drummond et al. 2015; Rieber et al. 2016) (Table S1B, Supporting Information). ROS release or oxidative bursts in response to fungal pathogens can be assessed not only in neutrophils (Boyle et al. 2011; Liu et al. 2018) but also in monocytes (Wellington, Dolan and Krysan 2009; Brunel et al. 2018) and macrophages (Wolf et al. 1987; Youseff et al. 2012; Sun et al. 2014; Arce Miranda et al. 2019) (Fig. 2A; Table S1B, Supporting Information). Using a modified model, in which *C. albicans* cells are grown in clusters on poly-L-lysine coated glass slides, neutrophils were observed to form 'swarms' to efficiently use oxidative stress mechanisms to attack *C. albicans* (Hopke et al. 2020).

### Dendritic cells, T-cells and whole blood models

Virtually all immune cell types are being employed to study transcriptional responses to fungal pathogens (Smeekens et al. 2013; Hellwig et al. 2016; Van Prooyen et al. 2016; Niemiec et al. 2017) as well as cytokine and chemokine responses (Coady and Sil 2015; Becker et al. 2016; Marischen et al. 2018) to fungal pathogens (Fig. 2A). Often such studies involve crosstalk between different immune cell types such as antigen-presenting cells and cells of the adaptive immune system. PBMCs are frequently used due

to their composition of innate and adaptive immune cells and allow the study of innate host responses (Becker et al. 2016; Alvarez-Rueda et al. 2020), but also T-cell mediated responses such as Th1, Th17, Th2 and Tregs (Zielinski et al. 2012; Gresnigt et al. 2013; Becker et al. 2015; Raijmakers et al. 2017; Page et al. 2018; Vogel et al. 2018) (Fig. 2A). For example, using PBMCs, the type I interferon pathway was identified to play a crucial role in *C. albicans* defense (Smeekens et al. 2013). Interactions between DCs and T-cells were used to investigate how the adaptive immune response is polarized through antigen presentation, co-stimulation and the cytokine environment (van der Does et al. 2012; Stephen-Victor et al. 2017). DC maturation can be examined in transwell systems (Lothar et al. 2014) or by profiling maturation features via flow cytometry (Pietrella et al. 2005; Hefter et al. 2017; Vivas et al. 2019). For interaction studies including a wide range of immune cell types, whole blood models were used to gain information about fungal killing (Hunniger et al. 2014), transcriptional responses (Dix et al. 2015; Kämmer et al. 2020), cytokine release (Oesterreicher, Eberl and Zeitlinger 2019) and platelet interactions (Fréalte et al. 2018; Eberl et al. 2019) (Table S1B, Supporting Information).

## STUDYING RESPIRATORY TRACT INFECTIONS WITH ASPERGILLUS, HISTOPLASMA AND CRYPTOCOCCUS SPP.

In the respiratory tract fungal pathogens such as *A. fumigatus*, *H. capsulatum* and *C. neoformans* can cause infections in predisposed hosts. Since the major biological niche of these fungi is the environment, fungal elements (mostly conidia or yeast) are frequently inhaled by the human host. The healthy immune system can clear these inhaled fungal elements, whereas immunocompromised individuals or patients with pre-existing pulmonary conditions may fail to clear fungi and have a higher risk to develop aspergillosis, histoplasmosis or cryptococcosis. The clinical manifestations of these fungal diseases, however, are very diverse. Infections with pathogenic *Aspergillus* species can develop differently, depending on the immune reaction and underlying lung pathology (Soubani and Chandrasekar 2002; van de Veerdonk et al. 2017). While a compromised immune response can result in invasive pulmonary aspergillosis, pre-existing lung injury can lead to the development of an aspergilloma and a chronic or hyper inflammatory response. Such responses can also provoke allergic bronchopulmonary aspergillosis (Kosmidis and Denning 2015). In immunocompromised patients, specifically patients suffering from AIDS, *C. neoformans* can cause either pulmonary cryptococcosis or can disseminate into other organs after an (asymptomatic) pulmonary infection (Setianingrum, Rautemaa-Richardson and Denning 2019). *Cryptococcus neoformans* cells can be engulfed by AMs and DCs and can survive within the phagolysosome, proliferate and eventually escape via non-lytic exocytosis (vomocytosis) (Fig. 2C I). Vomocytosis was also observed for *C. albicans* (Bain et al. 2012), *C. krusei* (García-Rodas et al. 2011), *A. nidulans* and *A. fumigatus* (Gresnigt et al. 2018a). Intracellular survival is one key strategy of *C. neoformans* to disseminate from the respiratory tract (Coelho, Bocca and Casadevall 2014). Other translocation routes involve fungal cells crossing the epithelial border via transcytosis (Fig. 2C II) or a direct migration through areas where the epithelial lining has been compromised (Fig. 2C III) (Denham and Brown 2018). *Histoplasma capsulatum* can cause pulmonary histoplasmosis, and similar to *C. neoformans*, it can evade the immune system by hiding inside AMs (Ray and Rappleye 2019). Following growth and

replication, it can induce apoptosis facilitating further dissemination within the bloodstream and lymphatic organs (Fig. 2C IV) (Long et al. 2003; Mihi and Nosanchuk 2012; Pitangui Nde et al. 2015). In contrast to *H. capsulatum* and *C. neoformans*, which grow as yeast during infection, *A. fumigatus* proliferates as hyphae in the lung, allowing deep tissue invasion (Fig. 2C V).

#### Simple in vitro models mimicking lung infections

To mimic the alveolar environment, the pulmonary epithelial cell line A549, originating from a human alveolar cell carcinoma (Lieber et al. 1976), is frequently used to study pathogenicity attributes including adhesion (Gravelat et al. 2010; Pitangui et al. 2012; Teixeira et al. 2014), endocytosis (Liu et al. 2016), epithelial detachment (Kogan et al. 2004; Bertuzzi et al. 2014) and epithelial damage (Ejzykiewicz et al. 2010; Bertuzzi et al. 2014). These studies revealed crucial roles for the *A. fumigatus* transcription factors PacC (Bertuzzi et al. 2014) and DvrA (Ejzykiewicz et al. 2010) to mediate tissue invasion and damage. In addition, A549 cells were used to dissect pulmonary epithelial IL-8 responses to *C. neoformans* and *H. capsulatum* (Barbosa et al. 2007; Alcantara et al. 2020), and shed light on how different *A. fumigatus* isolates differentially regulate gene expression of epithelial cells (Watkins et al. 2018) (Table S2, Supporting Information). To examine the fungal translocation through the pulmonary epithelium, transwell models with different modifications have been employed (Fig. 1A).

#### Complex in vitro models mimicking lung infections

Models that combine A549 cells with DCs (Morton et al. 2018) or a bilayer of human pulmonary artery endothelial cells (HPAECs) with (Morton et al. 2014) or without DCs (Hope et al. 2007; Belic et al. 2018) were utilized to model the cellular complexity in the alveolus and the cellular cytokine response to fungal infections. The translational capacity of such a model was reflected in a study that validated the measurement of galactomannan as a biomarker of fungal infection and antifungal efficacy in vitro (Hope et al. 2007). These models have also been employed for microscopy-based analyses, gene expression analysis and analysis of immune activation to gain insights into the host-*Aspergillus* interactions at the alveolar epithelial interface (Table S2, Supporting Information).

To more closely resemble the physiological situation, primary human bronchial or small airway epithelial (HBE, SAE) cells were used to study proinflammatory epithelial cytokine responses to *C. neoformans* infections (Guillot et al. 2008). These cells differentiate when cultured at an air-liquid interphase (ALI) into lung epithelium and were also used to assess the host response to *A. fumigatus* conidia. Transcriptome and proteome analyses revealed the upregulation of apoptosis, autophagy, translation and cell cycle pathways as well as the downregulation of complement and coagulation pathways (Toor et al. 2018). The combination of differentiated pulmonary epithelial cells with DCs and macrophages provides an even more complex model, which allows the study of the interplay between fungal cells, the epithelium and the immune system (Chandorkar et al. 2017). As an alternative strategy to investigate *Aspergillus* spp. infections, bronchial mucosal tissue resected from cancer patients was used. Using this ex vivo model, adhesion, invasion, damage and structural changes of the epithelium were investigated (Amitani and Kawanami 2009). Although the latter model represents human physiology, its applicability is limited by the

difficulty of obtaining patient material. Besides confounding factors, such as therapies and medication, inter-individual differences may impact the validity of this model and the ability to obtain reproducible results.

#### Lung-on-chip models

Most lung models used so far are cultured statically and thus are not subjected to shear stress. Further, these models rarely consider the impact of additional members of the microbial community, such as the lung microbiota in the infection process. A number of lung-on-chip models have been established that reflect additional physiological key features of the lung. A 'breathing' alveolus-on-chip is mimicked by stretching and contraction of a membrane using a vacuum, which leads to an increased uptake of nanoparticles of the epithelium and transport to the vasculature (Huh et al. 2010; Stucki et al. 2018). Mechanostimulation represents an important biophysical cue since the stretching of the lungs influences repair mechanisms in damaged epithelial cells and might also play a significant role during fungal invasion (Desai, Chapman and Waters 2008). Deinhardt-Emmer and colleagues established an alveolus-on-chip model that harbored immune cells and consisted of two compartments. In the upper compartment, lung epithelial cells differentiated into the two types of alveolar epithelial cells and were separated by a porous membrane from an endothelial lining, subjected to flow in the lower compartment (Deinhardt-Emmer et al. 2020) (Fig. 1A). Although this model was not used to dissect fungal-host interactions so far, it revealed new insights about the interplay of *S. aureus* and influenza virus at the alveolar-capillary interface. During co-infection, increased inflammatory responses were observed including cytokine expression and loss of barrier function similar to severe clinical outcomes of patients with bacterial-viral superinfections (Deinhardt-Emmer et al. 2020). Other platforms have used human alveolar epithelial cells (hAECs), and also integrated neutrophils (Huh et al. 2010; Benam et al. 2016; Jain et al. 2018; Zhang et al. 2018). Future models can be colonized with (additional) members of the pulmonary microbiome to investigate the interplay with fungi, which can contribute to progression of pulmonary fungal infections (Kolwijck and van de Veerdonk 2014). Taken together, current lung-on-chip models can produce a microenvironment resembling the in vivo physiology by imitating an ALI, mechanical strain and immune responses. This can facilitate the establishment of sophisticated pulmonary-infection models.

### STUDYING COLONIZATION AND INFECTION OF THE ORAL CAVITY, THE INTESTINAL TRACT AND VAGINAL TRACT BY CANDIDA SPP.

In the oral cavity, the intestinal-, and vaginal tract, *Candida* spp. normally live as harmless commensal yeasts. However, some opportunistic *Candida* spp. can cause infections. These range from mucocutaneous infections such as OPC (Millsop and Fazel 2016) and VVC (Rosati et al. 2020) to invasive candidiasis (Pappas et al. 2018). Diverse predispositions, like immunosuppression, an impaired barrier function and an imbalanced microbiota, are prerequisites to enable infection of *Candida* species. However, both predisposition and protection by an adjusted immune response differ between the specific types of infections. In the following sections we discuss in vitro models used to study *C.*



*albicans* and *C. glabrata* interactions with the host in three different niches of the human body.

### Studying *Candida* spp. infections of the oral cavity

OPC occurs mostly in combination with the use of broad-spectrum antibiotic therapy and immune suppression, e.g. through HIV/AIDS, chemotherapy or radiation therapy. Further, neonates, diabetic and elderly individuals are more susceptible (Patil et al. 2015). *Candida albicans* is the most prevalent species, but also other *Candida* species like *C. glabrata*, *C. dubliniensis*, *C. krusei*, *C. kefyr*, *C. parapsilosis*, *C. stellatoidea* and *C. tropicalis* can be found in oral lesions (Millsop and Fazel 2016). *Candida albicans* mainly interacts with the oral epithelium by invading cells via active penetration (Fig. 2E I) and/or induced endocytosis (Fig. 2E II) (Phan et al. 2007; Dalle et al. 2010; Wachtler et al. 2011a; Shepard and Filler 2014; Naglik et al. 2017), or invasion of the tissue by degradation of E-cadherin, thereby disrupting the epithelial barrier (Fig. 2E III) (Villar et al. 2007). *In vivo*, the uppermost layer of the oral epithelium consists of stratified squamous epithelium, followed by a basal membrane and fibroblasts in the lamina propria.

### Simple *in vitro* models mimicking oral infections

To study *Candida*-host interactions of the oral cavity, oral epithelial cells are commonly used. TR146 cells are derived from a squamous cell carcinoma of the buccal mucosa (Rupniak et al. 1985) and used to investigate invasion (Puri et al. 2019), damage (Wilson et al. 2014; Meir et al. 2018) and gene expression (Schaller et al. 1998; McCall, Kumar and Edgerton 2018; Meir et al. 2018). The TR146 model has contributed significantly to the understanding of *C. albicans* pathogenicity by showing that the peptide toxin candidalysin is responsible for the capacity of *C. albicans* hyphae to cause damage (Moyes et al. 2016). The same model was used to demonstrate that candidalysin also activates epithelial proinflammatory responses through the epithelial growth factor receptor (Ho et al. 2019) and its synergistic signaling with IL-17 (Verma et al. 2017). Immortalized oral mucosal cells (OKF6/TERT-2) (Dickson et al. 2000) have also been used to study epithelial transcriptional responses (Liu et al. 2015), to visualize *C. albicans* invasion (Wollert et al. 2012) and to demonstrate that invasion is, in part, mediated through endocytosis (Solis et al. 2017; Swidergall et al. 2018). The same cell line was used to show that damage is mediated through white cells in contrast to opaque cells (Solis et al. 2018). Furthermore, EphA2 was identified as an epithelial cell pattern recognition receptor for fungal  $\beta$ -glucans, activating a signal cascade that results in a proinflammatory and antifungal response (Swidergall et al. 2018).

Tongue cells derived from a squamous cell carcinoma (SCC15) represent a third cell type used to dissect interactions of *C. albicans* with the oral epithelium (Lindberg and Rheinwald 1990). Similar to the studies discussed above, SCC15 cells were used to investigate epithelial damage (Kumar et al. 2015), invasion (Villar et al. 2007) and cytokine release (Dongari-Bagtzoglou and Kashleva 2003) (Table S3A, Supporting Information).

### Complex *in vitro* models mimicking oral infections

In addition to monolayer models (Fig. 1A), organotypic 3D models known as reconstituted human oral epithelium (RHOE) are commonly used to study oral *Candida* spp. infections due to their histological similarity to physiological oral epithelium. In these

RHOE models, TR146 cells are cultured on a polycarbonate filter at an ALI with culture medium on the basal side, resulting in a multilayer model with differentiated cells (Fig. 1B). This model has been used to study epithelial damage (Silva et al. 2011; Mailander-Sanchez et al. 2017) and fungal (Spiering et al. 2010) or host cell gene expression (Wagener, Mailander-Sanchez and Schaller 2012) (Table S3A, Supporting Information). In addition, the model was used to show enhanced invasion and tissue damage during co-infection of *C. albicans* and *C. glabrata* (Silva et al. 2011). Because fungal biofilm formation is crucial for the development of caries and OPC, the RHOE model has also been used to analyze the expression of *C. albicans* virulence genes associated with biofilm formation (Nailis et al. 2010). Similar RHOE models exist, containing collagen embedded fibroblasts from mice and oral mucosal cells OKF6/TERT-2 cells, differentiated at an ALI (Dongari-Bagtzoglou and Kashleva 2006a,b). Since the interplay with the oral microbiota plays an essential role for the maintenance of a commensal state of *C. albicans* or for development of OPC (Montelongo-Jauregui and Lopez-Ribot 2018), the organotypic 3D models were also used to study interactions between *C. albicans* and bacteria. For example, antagonistic interactions between *Lactobacillus rhamnosus* and *C. albicans* were dissected (Mailander-Sanchez et al. 2017). Furthermore, fungal-induced dysbiosis after chemotherapy (Bertolini et al. 2019) and synergistically increased tissue damage during interactions with *S. mutans* (Diaz et al. 2012) were observed. Additionally, biofilm formation of *C. albicans* and *C. glabrata* after chemotherapeutic treatment was examined in the latter organotypic 3D model (Sobue et al. 2018). The model was further 'humanized' by using human fibroblasts and spontaneously immortalized keratinocytes to analyze interactions between *C. albicans* and *S. aureus* (de Carvalho Dias et al. 2018) (Table S3A, Supporting Information).

### *In vitro* modeling of *C. albicans* stomatitis

*C. albicans* mediated stomatitis, an inflammatory reaction of the oral mucosa, is a major complication for users of removable dental prostheses, but also common in smokers or patients suffering from diabetes mellitus (Salerno et al. 2011; Javed et al. 2017; Alzayer et al. 2018). To model this oral infection, primary human palate epithelial cells (HPECs) were used to study the host response to *C. albicans* in terms of apoptosis, nitric oxide production (Casaroto et al. 2019) and mucosal gene expression (Offenbacher et al. 2019). Similarly, a combination of TR146 cells and primary fibroblasts was used for adhesion and gene expression studies (Morse et al. 2018) (Table S3A, Supporting Information).

### Mucosa-on-chip models

Monolayer or multilayered mucosal models commonly feature a perpendicular configuration. This vertical culture arrangement hampers the individual monitoring of different cell layers by microscopy, and resolution decreases in deeper layers. A horizontal organization of cell layers was applied in a mucosa-on-chip model (Rahimi et al. 2018) consisting of microchambers, which were aligned in parallel and interconnected by pores. A central subepithelial chamber harbored a collagen hydrogel with gingival fibroblasts, while keratinocytes were seeded into the pores connecting the luminal and subepithelial compartment. The luminal chamber can be microfluidically perfused to imitate saliva and saliva flow, which is an important contributor to epithelial barrier integrity. A further refinement for both

static and microfluidic models can include an endothelial lining and immune cells such as dendritic Langerhans cells, which are almost exclusively found in stratified squamous epithelium and have been shown to react to *Candida* species (Upadhyay et al. 2013).

#### Studying *Candida* spp. colonization of the intestinal tract and intestinal translocation

Both *C. albicans* and *C. glabrata* colonize the human intestinal tract (Hallen-Adams and Suhr 2017). The gut represents the main reservoir of fungi, especially *C. albicans*, that can cause disseminated and systemic infections (Gouba and Drancourt 2015). In these life-threatening infections, the fungus overcomes the intestinal epithelium, which forms a barrier between the intestinal lumen and the sterile tissues of the human body. During this process, termed translocation, the fungus employs several mechanisms including active penetration (Fig. 2F I), paracellular translocation (Fig. 2F II) or migration through the intestinal epithelial layer without damaging the host cells (Fig. 2F III) (Allert et al. 2018; Basmacyan et al. 2019). Certain predispositions favor fungal overgrowth and translocation: antibiotics induce an imbalance of the microbiota and cytostatic therapy or abdominal surgery, which compromise the barrier function (Pfaller and Diekema 2007). To better understand the conditions that keep *C. albicans* commensal or drive the commensal-to-pathogen shift, the interactions between *C. albicans* and the intestinal barrier are studied extensively to find ways to prevent or reverse this shift (Kumamoto, Gresnigt and Hube 2020).

#### Simple *in vitro* models mimicking intestinal infections

Monolayers of cell lines originating from colorectal adenocarcinomas are widely used (Fig. 1A). The most common cell lines are Caco-2 and HT-29. Caco-2 cells differentiate spontaneously into a polarized monolayer with characteristic villi and tight junctions after 12 days of culture (Fogh, Wright and Loveless 1977). These cells were used to demonstrate that damage to the intestinal epithelium induced by *C. albicans* relies on a combination of adhesion-mediated contact sensing, tissue invasion through hyphal extension and damage by the expression of pathogenicity factors (Wachtler et al. 2011a). Interactions with non-pathogenic yeast cells that can antagonize *C. albicans* pathogenicity were examined (Lohith and Anu-Appaiah 2018; Kunyeit et al. 2019). Furthermore, receptor signaling pathways (Mao et al. 2019), induction of defensins (Gacser et al. 2014), impact on tight junctions (Goyer et al. 2016) and the potential of epithelial cells to discriminate between yeast and hyphal morphologies (Schirbel et al. 2018) are processes that can be analyzed in this model. A subclone of the Caco-2 cell line, C2BBE1, was often used in *in vitro* systems due to its more homogeneous brush boarder expression (Peterson and Mooseker 1992). A model of C2BBE1 cells cultured in transwell systems (Fig. 1A) was instrumental to elucidate important virulence requirements of translocation through the epithelial barrier and revealed a key role for candidalysin by mediating necrotic cell damage that allowed transcellular translocation (Allert et al. 2018). Additionally, using this model, a MAPK/NFκB mediated epithelial response to *C. albicans* infection was shown to increase epithelial resistance (Bohringer et al. 2016) (Table S3B, Supporting Information).

Essential features of *C. albicans* pathogenicity like adhesion, invasion and damage were also studied using the HT-29 cell line (Deng et al. 2015; Garcia et al. 2018). A methotrexate treatment of

HT-29 cells, transformed these cells into mucus-secreting goblet cells (HT-29-MTX) (Lesuffleur et al. 1990). These mucus-secreting cells were instrumental in demonstrating the role of mucus in suppressing virulence-associated attributes of *C. albicans*, such as hypha formation (Kavanaugh et al. 2014).

#### Complex *in vitro* models mimicking intestinal infections

As the intestinal epithelium consists of a myriad of cell types, combinations of different cell lines have been employed to more accurately mimic the *in vivo* situation. For example, a combination of Caco-2 cells and Raji B cells (human Burkitt's lymphoma) was used to study the interaction of *C. albicans* with an epithelial barrier including M-cells, which demonstrated M-cells as a preferred cell type for translocation via induced endocytosis (Fig. 2F IV) (Albac et al. 2016). In general, most *in vitro* models investigate *C. albicans* in its pathogenic state. To limit the pathogenicity of *C. albicans* and mimic commensalism, a mixture of C2BBE1 cells and the mucus-producing HT-29-MTX cells were colonized with *L. rhamnosus* to establish a basic 'commensal' model (Fig. 1B). Using this model, a damage reduction was observed in the presence of mucus and bacteria, both antagonizing *C. albicans* pathogenicity by reducing filamentation, proliferation and inducing shedding that physically separates hyphae from host cells (Graf et al. 2019) (Table S3B, Supporting Information).

#### Intestine-on-chip models

Although 2D intestinal models mimic the fundamental physiological structures of the intestinal tissue such as mucus production, M-cells and brush border epithelium, they do not reflect the unique 3D architecture of the intestinal epithelial tissue consisting of villi and crypts. Cells in these models are cultured statically and are not subjected to the peristaltic movement characteristic for the intestine. In addition, *in vitro* models often lack immune cells, which convey tolerance towards commensals and trigger inflammatory responses when pathogens inflict damage to the intestinal lining. A number of intestine-on-chip models have been developed that recapitulate some of these key physiological features (Bein et al. 2018). In these models, Caco-2 cells grow out and form villi-like structures when grown on a membrane and exposed to shear stress (Kim and Ingber 2013). Microfluidic intestine models often include endothelial cells adjacent to epithelial cells in an individually perfused compartment. The luminal and the vascular compartment are separated by a porous membrane to facilitate transmigration of cells and cell communication. Innate immune cells such as monocytes can be implemented in the endothelial layer and differentiated into macrophages and DC-like cells, which tolerate inflammatory triggers in the intestinal lumen, but elicit a strong inflammatory response when a systemic infection is mimicked (Maurer et al. 2019). In this model, *C. albicans* invasion of the epithelial layer and subsequent invasion of the bloodstream compartment in the presence and absence of the commensal bacterium *L. rhamnosus* were investigated. Patient-derived colon epithelial cells are difficult to access, but can sufficiently be maintained in microfluidic platforms and produce a mucus layer resembling the *in vivo* thickness (Sontheimer-Phelps et al. 2020). 3D intestine-on-chip models will be valuable tools to uncover the role of commensals and their products, as well as host immune responses in the yeast-to-hypha transition of *C. albicans* in the future (Table S3B, Supporting Information).

### Intestinal organoids

Apart from intestine-on-chip models, human intestinal organoids have emerged as a valuable disease-modeling tool. Human intestinal organoids can be grown from adult stem cells extracted from intestine biopsies or induced pluripotent stem cells (iPSCs) (Rahmani et al. 2019) to form 3D organotypic structures by self-organization and resemblance of key embryonic signaling *in vitro* (Clevers 2016) (Fig. 1A). Intestinal organoids show a villus and crypt-like architecture with epithelial cells facing inwards, creating a lumen as an enclosed space (Sato et al. 2009; Spence et al. 2011). Organoid models face similar challenges like OOC platforms, such as additional cell types, immune cells, endothelial cells and extracellular matrix components that need to be incorporated to create a physiological microenvironment for cell differentiation and tissue development. However, mesenchymal cells and neural crest cells have already been successfully implemented in these models (Workman et al. 2017). Unlike microfluidic OOC models, stem cell-derived organoids currently lack perfusion and therefore deprive epithelial cells of shear stress and removal of metabolites. An idea has emerged that aims at combining self-assembling organoids with microfluidic OOC techniques, termed 'Organoids-on-a-Chip' (Park, Georgescu and Huh 2019) (Fig. 1A). The technique encompasses the maturation of organoids within a dynamic culture environment allowing the control of nutrient supply, establishment of biochemical gradients vital for self-organization of the organoids and the introduction of additional cell types.

### Studying *Candida* spp. infections of the vaginal mucosa

The vaginal mucosa represents another commensal niche of *Candida* spp. in the human body. VVC affects 70–75% of women in their reproductive age (Sobel 2007). Antibiotic treatment is a strong predisposing factor for VVC (Shukla and Sobel 2019), most likely due to the induced dysbiosis of the vaginal microbiome. *C. albicans* is the most prominent species isolated from VVC, followed by *C. glabrata* (Makanjuola, Bongomin and Fayemiwo 2018). The interactions between *Candida* spp. and the vaginal epithelium, as well as the vaginal microbiota, are complex (Pekmezovic et al. 2019; Kalia, Singh and Kaur 2020), and invasion of the epithelium occurs through active penetration (Fig. 2G I) and induced endocytosis (Fig. 2G II), while neutrophils are attracted simultaneously.

### Simple *in vitro* models mimicking vaginal infections

The VK2/E6E7 cell line originates from healthy human vaginal mucosal tissue and was immortalized by retroviral transduction (Fichorova, Rheinwald and Anderson 1997). This cell line was used to demonstrate synergistic interactions between *C. albicans* and streptococci (Pidwill et al. 2018) and a role for autophagy machinery in the survival of epithelial cells during *C. albicans* infection (Shroff and Reddy 2018). In addition, Type-I IFN signaling was elucidated to increase resistance of the epithelium to *C. albicans* infection (Li et al. 2017). By introducing high glucose conditions, this model has been used to demonstrate that the association of VVC in diabetes patients might be related to increased adhesion of *C. albicans* through a potential interaction with ICAM-1 (Mikamo et al. 2018). Another cell line, A431, originates from a vaginal epidermoid carcinoma. This cell line was used to investigate inflammatory cytokine responses and damage of A431 cells induced by candidalysin (Richardson et al. 2018).

Additionally, the cell line was utilized to evaluate the impact of azole antifungal treatment on damage induced by *C. albicans* spp. (Wachtler, Wilson and Hube 2011b) (Table S3C, Supporting Information).

### Complex *in vitro* models mimicking vaginal infections

A reconstituted vaginal epithelium (RHVE) is available as an alternative model. RHVE is based on A431 cells, cultivated at an ALI, similar to the previously described RHOE (Fig. 1B). RHVE was used to demonstrate that *C. albicans* facilitates interactions of *C. glabrata* with the vaginal epithelium by increasing fungal colonization, invasion and damage of epithelial cells during co-infection (Alves et al. 2014). Furthermore, the adaptation of *C. glabrata* to an acidic vaginal environment was investigated using RHVE (Bernardo et al. 2017) (Table S3C, Supporting Information).

### Organ-on-chip models mimicking vaginal infections

Several OOC models for the female reproductive tract are available, predominantly to mimic the physiology of the endometrium, the uterus or the placenta (Mancini and Pensabene 2019). Possible OOC models of the vaginal mucosa should comprise stratified squamous epithelium and perfused endothelial cells, separated by a porous membrane. Immune cells can easily be integrated to recapitulate relevant inflammatory responses during hyphal invasion of the epithelium such as neutrophil recruitment.

*In vivo*, the vaginal tract harbors a microbiota that consist to a large extent of *Lactobacillus* species. Although predicted, it is not entirely clear whether the microbiota actually has a protective effect against *Candida* spp. infection and if so, whether diversity among microbial communities leads to a higher degree of protection (Cassone 2015).

## STUDYING FUNGAL BLOODSTREAM INFECTION AND CROSSING OF THE BBB

### Vascular infection models

Fungal dissemination into the bloodstream is a major driver for the development of multi-organ infections or sepsis. *Aspergillus fumigatus*, *H. capsulatum* and *C. neoformans* can enter the bloodstream after crossing the pulmonary alveolar epithelium (Fig. 2C), whereas *C. albicans* reaches the bloodstream mostly via the intestinal tract (Fig. 2F). Central venous catheters, surgery and parenteral nutrition represent additional entry routes, especially for *Candida* species (Hashemi Fesharaki et al. 2018). To exit the blood circulation and invade other organs, fungi interact with the endothelial lining of the blood vessels (Fig. 2D), which can be simulated by human umbilical vein endothelial cells (HUVECs) (Jaffe et al. 1973). Although access to umbilical cords is limited, high amounts of cells can be isolated from a single umbilical cord and stored frozen for several experiments (Crampton, Davis and Hughes 2007). HUVECs were used to dissect *C. albicans* adhesion to the endothelial lining (Fig. 2D I), for example, it was shown that a certain hyphal length is crucial for adhesion in a circulatory *in vitro* model that simulated physiological capillary blood pressure (Wilson and Hube 2010) (Fig. 1B). Following adhesion, three mechanisms to pass the endothelial barrier were discovered. Attached *Candida* cells can be endocytosed by endothelial cells (Phan et al. 2005; Liu et al. 2016) (Fig. 2D II), a process that depends on a complex formation including



endothelial cell septin 7 (SEP7) and N-cadherin (Phan et al. 2013). Endocytosis was also described for *A. fumigatus*, independent of its morphology (Kamai et al. 2006) (Fig. 2D II). In addition, *Candida* spp. can cross the endothelial barrier via paracellular translocation (Fig. 2D III) or via leucocytes following engulfment (Fig. 2D IV) (Filler and Sheppard 2006; Grubb et al. 2008). It is likely that similar Trojan horse transport mechanisms following engulfment by mononuclear cells are exploited by intracellularly persistent *H. capsulatum* (Gilbert, Wheeler and May 2014) (Fig. 2D IV) as it already has been shown for *C. neoformans* (Coelho et al. 2019).

The ability of different *C. albicans* mutants to damage HUVECs was leveraged to identify virulence factors that are important for fungal dissemination (Sanchez et al. 2004). Similarly, the transcription factor DvrA was identified as crucial for endothelial damage induced by *A. fumigatus* (Ejzykiewicz et al. 2010). Besides, the proteome profile of HUVECs was investigated during infection with *A. fumigatus* (Neves et al. 2017) and *C. neoformans* (Wang et al. 2011), indicating alterations that contribute to fungal invasion. Transcriptional profiling of HUVECs revealed the upregulation of genes involved in chemotaxis, stress response, angiogenesis and inhibition of apoptosis in response to *C. albicans* (Barker et al. 2008). A proinflammatory immune response associated with the release of TNF in HUVECs was reported after infections with *C. albicans* (Orozco, Zhou and Filler 2000) and *A. fumigatus* (Kamai et al. 2009; Neves et al. 2017). In addition, it was shown that neutrophils protect endothelial cells against *C. albicans*-induced damage in a co-culture model with HUVECs and neutrophils (Edwards et al. 1987) (Table S4, Supporting Information).

### Blood–brain barrier

Whereas cerebral infections with *Candida* spp. (Drummond et al. 2015), *Aspergillus* spp. (Rieber et al. 2016) or *Histoplasma* spp. (Schestatsky et al. 2006) are rare, meningitis is the most prominent complication during cryptococcosis (Srikanta, Santiago-Tirado and Doering 2014). Cerebral infections are induced when fungi cross the BBB, a part of the neurovascular unit (NVU). Other than the endothelial lining, the NVU consists of pericytes, forming a scaffold for endothelial cells together with the basal lamina. Endfeet of astrocytes provide a connection to neurons and microglia (van der Helm et al. 2016). A physical barrier between the blood circulation and the brain tissue is maintained by an intact NVU via zona occludens proteins and claudins.

### Simple in vitro models mimicking the BBB

Immortalized human brain vascular endothelial cells (HBMEC and HCMEC/D3) are commonly used for BBB models, whereas primary cells are not frequently used due to insufficient availability and loss of phenotype during culturing (Oddo et al. 2019). The HBMEC and HCMEC/D3 cell lines are especially suitable to model the BBB because of their expression of tight junction proteins, receptors and transporters (Weksler, Romero and Couraud 2013; Oddo et al. 2019). They can be cultured as monolayers on transwell inserts or cell culture plates and infected with *C. albicans* (Jong et al. 2001), *A. fumigatus* (Patel et al. 2018) or *C. neoformans* (Aaron et al. 2018) and used for transcytosis (Aaron et al. 2018), gene expression (Lahiri et al. 2019) and barrier integrity studies (Patel et al. 2018). For example, it was demonstrated that *C. neoformans* and *C. albicans* can pass the BBB via transcytosis (Fig. 2B I). True hyphae of *C. albicans* are associated with endocytosis by endothelial cells (Liu et al. 2011) (Fig. 2B I). *Cryptococcus*

*neoformans*, however, was shown to also translocate paracellularly (Fig. 2B II) and use macrophages as a shuttle to cross the BBB using the Trojan horse mechanism mentioned above (Chandler et al. 2009; Santiago-Tirado et al. 2017) (Fig. 2B III). This mechanism was visualized and analyzed in detail using a co-culture model of HCMEC/D3 cells and THP-1 cells or primary monocytes (He et al. 2016; Santiago-Tirado et al. 2017) (Table S4, Supporting Information).

### BBB-on-chip models

2D transwell models of the BBB can be valuable tools to gain insights into how fungi invade the CNS. However, current models lack some key properties of the NVU. For example, endothelial cells need to experience shear stress to trigger the establishment of a barrier that limits  $\text{Na}^+$  and  $\text{Cl}^-$  ions efflux and influx (Oddo et al. 2019). Furthermore, to mimic the physiological situation more closely, the model should contain multiple cell types of the NVU such as astrocytes, pericytes and neurons since their communication influences each other's growth, differentiation and permeability (Abbott, Ronnback and Hansson 2006). A range of microfluidic BBB-on-chip models has recently been developed, recapitulating the blood flow by perfusion of the endothelium in realistic dimensions and geometry and integration of various NVU cell types (Griep et al. 2013; Raasch et al. 2016; Maoz et al. 2018). In models using one cell type, HUVECs in astrocyte-conditioned medium or HCMEC/D3 cells have been cultured in a single perfused channel (Yeon et al. 2012; Griep et al. 2013; Englert et al. 2016). Using a CNS angiogenesis model comprising endothelial cells, pericytes, astrocytes and lung fibroblasts, it was demonstrated that a low vascular permeability can be achieved by co-culturing the different NVU cell types (Lee et al. 2020). These microfluidic BBB models can contribute to investigating the role of additional cell types of the NVU and shear stress in the transmigration of fungi across the BBB. Moreover, the implementation of innate immune cells would enable the simulation of inflammatory responses in the brain tissue following fungal invasion (Table S4, Supporting Information).

## FUTURE DIRECTIONS

### Interconnecting organ-on-chip systems to study fungal dissemination

Although the multiple infection models reviewed here have been and will be very useful tools to study fungal infections, we can expect a new generation of complex in vitro system based on OOC platforms. In fact, individual OOC systems can be combined to recapitulate multi-organ cross communication in an enclosed microfluidic network (Luni, Serena and Elvassore 2014). These platforms have the potential to investigate fungal infections not only at a single-organ level, but also at the multi-organ level, including systemic immune responses (Fig. 1A). The complexity of systemic immune reactions was only addressed in animal models until recently. Multi-organ-on-chip (MOC) models expand the toolbox with systems having a purely human genetic background to circumvent the problem of interspecies transferability. A range of MOC platforms have been developed that connect two or more organs such as the liver and intestine (Zhang et al. 2009; Chen, Miller and Shuler 2018; Ramme et al. 2019). MOC models provide the opportunity to study the dissemination of fungi throughout the body. It will allow (to mimic) tracking dissemination of *Candida* spp. from the intestine to the liver and kidney, the key target organs of disseminated candidiasis

(Lionakis et al. 2011), or dissemination of *A. fumigatus*, *C. neoformans* and *H. capsulatum* from the lung to the brain, which has not been possible *in vitro* so far. An additional aspect to be elucidated using MOC models is the relationship between dysbiosis in the intestine resulting in overgrowth of *C. albicans* and concomitant biochemical changes in the brain or the liver (gut-brain axis and gut-liver axis, respectively) (Burrus 2012; Yang et al. 2017). However, MOC systems are still in their infancy and there are many obstacles to overcome. A current challenge is to scale the organs to their relative physiological size (Lee and Sung 2017; Rogal, Probst and Loskill 2017). Current MOC systems are mostly used for toxicity screening of drugs and chemicals and are constructed in a way to be suitable for this particular application (Rogal, Probst and Loskill 2017). MOC models dedicated for fungal studies may take into account other criteria, e.g. the distance between distinct tissues, the number of integrated immune cells, and possibilities to prevent adherence of fungi to tubing and subsequent clogging, to be applicable as tools.

#### Human induced pluripotent stem cells as another cell source for fungal *in vitro* systems

The *in vitro* models discussed in this review rely on primary cells and cell lines. Human induced pluripotent stem cells (hiPSC) are an alternative source of cells and are highly relevant for biomedical research (Raasch et al. 2019). hiPSC can be generated by reprogramming adult tissue cells, such as fibroblasts, to an embryonic-like pluripotent state (Takahashi and Yamanaka 2006). Once reprogrammed, they can be differentiated into virtually all cell types except extra-embryonic cell types. Therefore, they offer the opportunity to establish OOC systems containing various cell types originating from a single donor. However, current models often combine hiPSC with primary cells and cell lines. Taking the BBB as an example, Brown and colleagues cultured HBMEC, glutamatergic neurons differentiated from iPSC, primary pericytes and astrocytes in a two-chamber model. The resulting system consisted of a brain compartment, which is separated from perfused vasculature by a porous membrane (Brown et al. 2015).

hiPSC are also utilized for the establishment of 'patient-on-chip' models to mimic genetic predispositions. Aspergillosis is a common complication of patients suffering from asthma and cystic fibrosis (CF) (Knutson and Slavin 2011) or CGD (Leiding and Holland 1993); CARD9 and STAT1 mutations predispose for *C. albicans* CNS (Drummond et al. 2019) and mucocutaneous infections (van de Veerdonk et al. 2011), respectively, and diabetes mellitus is a common predisposition for histoplasmosis (Lockhart and Guarner 2019). Furthermore, intestinal fungi have been tightly connected to inflammatory bowel diseases (Leonardi, Li and Iliev 2018). Future OOC models might be able to reflect these predispositions by implementing hiPSC generated from patients bearing these diseases. Alternatively, specific mutations associated with the disease can be reproduced in hiPSC. For example, they have been successfully differentiated into macrophages and lung epithelial cells that carry mutations associated with CF (Pollard and Pollard 2018) and CGD (Brault et al. 2017). Although there has been substantial progress in OOC systems incorporating hiPSC, caution should be exercised: Protocols for differentiation require optimization and standardization, especially the understanding of factors promoting differentiation needs improvement. Differentiation might differ under static and dynamic conditions (Luni, Serena and Elvassore 2014; Rogal, Probst and Loskill 2017). Standardization of these aspects

is crucial to guarantee reproducibility of findings from different labs.

#### CONCLUDING REMARKS

To study human fungal infections on a higher level of complexity, expertise of fungal infection biology and the OOC platforms needs to be combined. This will ensure studies in the most suitable *in vitro* model, providing conditions akin to the *in vivo* situation. For example, 3D intestine-on-chip models will be valuable tools to uncover the role of microbial commensals and their products, as well as the host immune responses to a local yeast-to-hypha transition of *C. albicans*. In the future, it would be favorable to make use of experience gained with MOC systems to mimic and follow fungal dissemination throughout the body and evaluate novel therapeutic strategies addressing fungal infections.

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**Table S1:** Overview about the different immune cell *in vitro* models, their structure, possible read outs and applications for the different fungi

Model	Structure	Readout	Reference
<b>A) Macrophage (like) cell lines</b>			
<b>J774A.1</b> (Mouse cell line)	Monoculture	Fungal killing	<i>A. fumigatus</i> : (Gresnigt <i>et al.</i> 2018a)
		Phagocytosis	<i>A. fumigatus</i> : (Gresnigt <i>et al.</i> 2018a) <i>C. albicans</i> : (Cottier <i>et al.</i> 2019; Duvenage <i>et al.</i> 2019; Loureiro <i>et al.</i> 2019; Rudkin <i>et al.</i> 2018) <i>C. neoformans</i> : (Bryan <i>et al.</i> 2014)
		Cytokine release	<i>A. fumigatus</i> : (Cho <i>et al.</i> 2016)
<b>RAW</b> (Mouse cell line)	Monoculture	Fungal killing	<i>C. glabrata</i> : (Chew <i>et al.</i> 2019)
		Proteomics	<i>A. fumigatus</i> : (Schmidt <i>et al.</i> 2018)
		ROS production	<i>C. albicans</i> : (Arce Miranda <i>et al.</i> 2019)
		Phagosome maturation	<i>C. albicans</i> : (Bain <i>et al.</i> 2014; Okai <i>et al.</i> 2015)
<b>Ana-1</b> (Mouse cell line)	Monoculture	Apoptosis	<i>C. albicans</i> : (Jiang <i>et al.</i> 2019)
<b>THP-1</b> (Human cell line)	Monoculture	Phagocytosis	<i>C. albicans</i> : (Liu <i>et al.</i> 2019; Vaz <i>et al.</i> 2019)
		Proteomics	<i>C. albicans</i> : (Vaz <i>et al.</i> 2019)
		Cytokine release	<i>A. fumigatus</i> : (Oya <i>et al.</i> 2019) <i>C. albicans</i> : (de Albuquerque <i>et al.</i> 2018)
		ROS release	<i>A. fumigatus</i> : (Sun <i>et al.</i> 2014)
<b>U937</b> (Human cell line)	Monoculture	Adhesion	<i>C. albicans</i> : (Lopez <i>et al.</i> 2014) <i>C. glabrata</i> : (Kuhn and Vyas 2012)
		Internalization	<i>H. capsulatum</i> : (Scott and Woods 2000)
		Cytokine release	<i>C. albicans</i> : (Kaya <i>et al.</i> 2011)
<b>MH-S</b> (Mouse alveolar macrophage cell line)	Monoculture	Phagocytosis	<i>A. fumigatus</i> : (Mattern <i>et al.</i> 2015)
<b>AMJ2-C11</b> (Mouse alveolar macrophage cell line)	Monoculture	Intracellular yeast arrangement	<i>H. capsulatum</i> : (Pitangui Nde <i>et al.</i> , 2015)
<b>BV-2</b> (Mouse microglial cell line)	Monoculture	Cytokine release	<i>C. albicans</i> : (Wu <i>et al.</i> 2019b) <i>C. neoformans</i> : (Barluzzi <i>et al.</i> 1998)
<b>BV-2</b> (Mouse cell line)	Co-Culture	Cytokine release	<i>C. albicans</i> : (Drummond <i>et al.</i> 2019)

<p><b>+</b></p> <p><b>C8-D1A Astrocytes</b></p> <p>(Mouse cell line)</p>			
<b>B) Primary cells</b>			
<p><b>Bone marrow derived macrophages</b></p> <p><b>BMDMs</b></p> <p>(Murine primary cells)</p>	Monoculture	Phagocytosis	<p><i>A. fumigatus</i>: (Gresnigt <i>et al.</i> 2018a)</p> <p><i>C. albicans</i>: (Haider <i>et al.</i> 2019; Okai <i>et al.</i> 2015)</p> <p><i>H. capsulatum</i>: (Baltazar <i>et al.</i> 2018; Guimaraes <i>et al.</i> 2019)</p>
		Cytokine release	<p><i>A. fumigatus</i>: (Rubino <i>et al.</i> 2012)</p> <p><i>C. albicans</i>: (Alsina-Beauchamp <i>et al.</i> 2018; Thompson <i>et al.</i> 2019; Wang <i>et al.</i> 2019)</p> <p><i>C. neoformans</i>: (Veloso Júnior <i>et al.</i> 2019)</p>
		Exocytosis	<i>C. neoformans</i> : (Stukes and Casadevall 2014)
		Transcriptomics	<i>C. albicans</i> : (Muñoz <i>et al.</i> 2019)
<p><b>Alveolar macrophages</b></p> <p>(Murine/Human primary cells)</p>	Monoculture	Phagocytosis	<p><i>A. fumigatus</i>: (Grimm <i>et al.</i> 2014; Wu <i>et al.</i> 2016)</p> <p><i>C. neoformans</i>: (Hansakon <i>et al.</i> 2019; Walsh <i>et al.</i> 2017)</p> <p><i>H. capsulatum</i>: (Pereira <i>et al.</i> 2018; Tagliari <i>et al.</i> 2012)</p>
		Autophagy	<i>A. fumigatus</i> : (Dai <i>et al.</i> 2018)
		Apoptosis	<i>H. capsulatum</i> : (Deepe and Buesing 2012)
		Cytokine release	<p><i>A. fumigatus</i>: (Zhang <i>et al.</i> 2017a)</p> <p><i>H. capsulatum</i>: (Coady and Sil 2015)</p>
<p><b>Peritoneal macrophages</b></p> <p>(Murine primary cells)</p>	Monoculture	Phagocytosis	<i>H. capsulatum</i> : (Huang <i>et al.</i> , 2018)
		Autophagy	<p><i>C. albicans</i>: (Ifrim <i>et al.</i> 2016)</p> <p><i>C. glabrata</i>: (Shimamura <i>et al.</i> 2019)</p>
		Cytokine release	<i>H. capsulatum</i> : (Shen <i>et al.</i> 2018)
		ROS release	<i>H. capsulatum</i> : (Youseff <i>et al.</i> 2012)
<p><b>Monocyte derived macrophages</b></p> <p><b>MDMs</b></p> <p>(Human primary cells)</p>	Monoculture	Phagocytosis	<i>C. albicans</i> : (Behrens <i>et al.</i> 2019; Munawara <i>et al.</i> 2017)
		Phagosome maturation	<i>C. neoformans</i> : (Smith <i>et al.</i> 2015)
		Cytokine release	<i>A. fumigatus</i> : (Gresnigt <i>et al.</i> 2018b)

			<i>C. albicans</i> : (Kasper <i>et al.</i> 2018; O'Meara <i>et al.</i> 2018) <i>H. capsulatum</i> : (Friedrich <i>et al.</i> 2019)
		ROS release	<i>A. fumigatus</i> : (Gresnigt <i>et al.</i> 2018b) <i>H. capsulatum</i> : (Wolf <i>et al.</i> 1987)
		Immuno-metabolism	<i>A. fumigatus</i> : (Gonçalves <i>et al.</i> 2020)
<b>Monocytes</b> (Human primary cells)	Monoculture	Phagocytosis	<i>A. fumigatus</i> : (Brunel <i>et al.</i> 2017; Kyrnizi <i>et al.</i> 2018) <i>C. albicans</i> : (Camilli <i>et al.</i> 2018; Halder <i>et al.</i> 2016) <i>C. neoformans</i> : (Charlier <i>et al.</i> 2009)
		Metabolomics	<i>C. albicans</i> : (Grondman <i>et al.</i> 2019)
		Cytokine release	<i>C. albicans</i> : (Dominguez-Andres <i>et al.</i> 2017; Leonhardt <i>et al.</i> 2018)
		ROS release	<i>A. fumigatus</i> : (Brunel <i>et al.</i> 2018) <i>C. albicans</i> : (Camilli <i>et al.</i> 2018; Wellington <i>et al.</i> 2009)
<b>Monocytes</b> (Human primary cells) + <b>DCs</b> (Human primary differentiated cells)	Co-culture	Phagocytosis Cytokine release	<i>C. neoformans</i> : (Alvarez <i>et al.</i> 2009; Kelly <i>et al.</i> 2005)
<b>Neutrophils</b> (Human primary cells)	Monoculture	Fungal killing	<i>A. fumigatus</i> : (Dasari <i>et al.</i> 2018; Gazendam <i>et al.</i> 2016; Jones <i>et al.</i> 2019) <i>C. albicans</i> : (Essig <i>et al.</i> 2015; Gazendam <i>et al.</i> 2016; Jones <i>et al.</i> 2019; Salvatori <i>et al.</i> 2018)
		Phagocytosis	<i>A. fumigatus</i> : (Brunel <i>et al.</i> 2017) <i>C. neoformans</i> : (Sun <i>et al.</i> 2016)
		NET formation	<i>A. fumigatus</i> : (Bruns <i>et al.</i> 2010; Clark <i>et al.</i> 2018; Ellett <i>et al.</i> 2017; Röhm <i>et al.</i> 2014; Silva <i>et al.</i> 2019) <i>C. albicans</i> : (Campos-Garcia <i>et al.</i> 2019; Guiducci <i>et al.</i> 2018; Johnson <i>et al.</i> 2017; Negoro <i>et al.</i> 2020; Urban <i>et al.</i> 2006; Wu <i>et al.</i> 2019a; Zawrotniak <i>et al.</i> 2019) <i>C. neoformans</i> : (Rocha <i>et al.</i> 2015)

			<i>H. capsulatum</i> : (Thompson-Souza <i>et al.</i> 2020)
		Transcriptomics	<i>C. albicans</i> : (Niemiec <i>et al.</i> 2017)
		ROS release	<i>A. fumigatus</i> : (Boyle <i>et al.</i> 2011) <i>C. albicans</i> : (Liu <i>et al.</i> 2018; Miramón <i>et al.</i> 2012; Salvatori <i>et al.</i> 2018)
	Monoculture on poly-L-lysine coated glass slides	Swarming	<i>C. albicans</i> : (Hopke <i>et al.</i> 2020)
	Monoculture on Transwell (top: neutrophils Bottom: chemoattractant)	Chemotaxis	<i>A. fumigatus</i> : (Rieber <i>et al.</i> 2016) <i>C. albicans</i> : (Drummond <i>et al.</i> 2015) <i>C. neoformans</i> : (Coenjaerts <i>et al.</i> 2001)
<b>Dendritic cells DCs</b> (Human primary differentiated cells)	Monoculture	Phagocytosis	<i>A. fumigatus</i> : (Lothar <i>et al.</i> 2014) <i>H. capsulatum</i> : (Gildea <i>et al.</i> 2001; Nguyen <i>et al.</i> 2018)
		Maturation	<i>A. fumigatus</i> : (Fliesser <i>et al.</i> 2016; Hefter <i>et al.</i> 2017; Lothar <i>et al.</i> 2014) <i>C. albicans</i> : (Roudbary <i>et al.</i> 2009; Vivas <i>et al.</i> 2019) <i>C. glabrata</i> : (Bazan <i>et al.</i> 2018) <i>C. neoformans</i> : (Pietrella <i>et al.</i> 2005)
		Transcriptomics	<i>A. fumigatus</i> : (Srivastava <i>et al.</i> 2019) <i>H. capsulatum</i> : (Van Prooyen <i>et al.</i> 2016)
		Cytokine release	<i>C. albicans</i> : (Maher <i>et al.</i> 2015) <i>H. capsulatum</i> : (Chang <i>et al.</i> 2017; Garfoot <i>et al.</i> 2016)
<b>Natural killer cells NK cells</b> (Human primary cells)	Monoculture	Fungal killing	<i>A. fumigatus</i> : (Weiss <i>et al.</i> 2018) <i>C. albicans</i> : (Li <i>et al.</i> 2018) <i>C. neoformans</i> : (Ma <i>et al.</i> 2004; Wiseman <i>et al.</i> 2007)
		Transcriptomics	<i>C. albicans</i> : (Hellwig <i>et al.</i> 2016)
		Chemokines	<i>A. fumigatus</i> : (Marischen <i>et al.</i> 2018)



		Cytokine release	<i>A. fumigatus</i> : (Bouzani <i>et al.</i> 2011; Santiago <i>et al.</i> 2018) <i>C. albicans</i> : (Marolda <i>et al.</i> 2020; Voigt <i>et al.</i> 2014)
<b>NK cells</b> (Human primary cells) <b>+ DCs</b> (Human primary differentiated cells)	Co-culture	NK-DC cross talk	<i>A. fumigatus</i> : (Weiss <i>et al.</i> 2018)
<b>DCs</b> (Human primary differentiated cells) <b>+ T-cells</b> (Human primary cells)	Co-culture	Maturation	<i>A. fumigatus</i> : (Stephen-Victor <i>et al.</i> 2017)
		Cytokine release	<i>C. albicans</i> : (van der Does <i>et al.</i> 2012)
		Cytokine release	<i>A. fumigatus</i> : (Becker <i>et al.</i> 2016; Page <i>et al.</i> 2018) <i>C. albicans</i> : (Alvarez-Rueda <i>et al.</i> 2020; Estrada-Mata <i>et al.</i> 2015; Li <i>et al.</i> 2016) <i>C. neoformans</i> : (Mora <i>et al.</i> 2017; Siddiqui <i>et al.</i> 2006)
<b>Whole blood model</b> (Human/Mouse)	Multiculture	Fungal killing	<i>C. albicans</i> : (Duggan <i>et al.</i> 2015; Hunniger <i>et al.</i> 2014)
		Transcriptomics	<i>A. fumigatus</i> : (Dix <i>et al.</i> 2015) <i>C. albicans</i> : (Fradin <i>et al.</i> 2005; Kämmer <i>et al.</i> 2020)
		Cytokine release	<i>A. fumigatus</i> : (Oesterreicher <i>et al.</i> 2019)
		Platelet interaction	<i>A. fumigatus</i> : (Fréalles <i>et al.</i> 2018) <i>C. albicans</i> : (Eberl <i>et al.</i> 2019)

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**Table S2: Overview about the different lung *in vitro* models, their structure, possible read outs and applications for the different fungi**

Model	Structure	Readout	Reference
Alveolar epithelial cells A549 (Human cell line)	Monoculture	Adhesion	<i>A. fumigatus</i> : (Gravelat <i>et al.</i> 2010; Xu <i>et al.</i> 2012) <i>C. neoformans</i> : (Choo <i>et al.</i> 2015; Ganendren <i>et al.</i> 2006; Teixeira <i>et al.</i> 2014) <i>H. capsulatum</i> : (Pitangui <i>et al.</i> 2012)
		Endocytosis	<i>A. fumigatus</i> : (Amin <i>et al.</i> 2014; Liu <i>et al.</i> 2016; Xu <i>et al.</i> 2012)
		Damage	<i>A. fumigatus</i> : (Bertuzzi <i>et al.</i> 2014; Dasari <i>et al.</i> 2019; Ejzykowicz <i>et al.</i> 2010)
		Cell Detachment	<i>A. fumigatus</i> : (Bertuzzi <i>et al.</i> 2014; Kogan <i>et al.</i> 2004)
		Transcriptomics	<i>A. fumigatus</i> : (Jepsen <i>et al.</i> 2018; Takahashi-Nakaguchi <i>et al.</i> 2018; Watkins <i>et al.</i> 2018)
		Proteomics	<i>A. fumigatus</i> : (Margalit <i>et al.</i> 2020; Voltersen <i>et al.</i> 2018)
		Metabolomics	<i>C. neoformans</i> : (Liew <i>et al.</i> 2016)
		Cytokine release	<i>C. neoformans</i> : (Barbosa <i>et al.</i> 2007) <i>H. capsulatum</i> : (Alcantara <i>et al.</i> 2020; Maza and Suzuki 2016)
	Monoculture	Cytokine release	<i>A. fumigatus</i> : (Sun <i>et al.</i> 2012)

<b>Human bronchial epithelial or small airway epithelial Cells</b> <b>HBE, SAE</b> (Human primary cells)			<i>C. neoformans</i> : (Guillot <i>et al.</i> 2008)
	Monoculture differentiated in an ALI	Transcriptomics Proteomics	<i>A. fumigatus</i> : (Toor <i>et al.</i> 2018)
<b>A549</b> (Human cell line) <b>+ DCs</b> (Human primary differentiated cells)	Co-culture on Transwell (top: A549 + DCs)	Damage Membrane integrity Cytokine release	<i>A. fumigatus</i> : (Morton <i>et al.</i> 2018)
<b>Human pulmonary artery endothelial cells HPAECs</b> (Human cell line) <b>+ A549</b> (Human cell line)	Co-culture on Transwell (top: A549 bottom: HPAECs)	Microscopy Kinetics	<i>A. fumigatus</i> : (Hope <i>et al.</i> 2007)
		Cytokine release	<i>A. fumigatus</i> : (Belic <i>et al.</i> 2018)
<b>HBE, SAE</b> (Human primary cells) <b>+ DCs</b> (Human primary differentiated cells) <b>+ Macrophages</b> (Human primary cells)	Co-culture differentiated in an ALI	Cytokine release	<i>A. fumigatus</i> : (Chandorkar <i>et al.</i> 2017)
<b>HPAECs</b> (Human cell line) <b>+ A549</b> (Human cell line) <b>+ monocyte-derived DCs and myeloid DCs</b> (Human primary differentiated cells)	Co-culture on Transwell (top: A549 + DCs bottom: HPAECs)	Transcriptomics	<i>A. fumigatus</i> : (Morton <i>et al.</i> 2014)
<b>Lung-on-chip model</b> alveolar epithelium <b>+</b>	Bilayer with ALI on one side and flow on the other side	Model establishment	(Deinhardt-Emmer <i>et al.</i> 2020)

microvascular endothelium			
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**Table S3: Overview about the different *in vitro* models for the gastrointestinal tract and vaginal tract, their structure, possible read outs and applications for *Candida* spp.**

Model	Structure	Readout	Reference
<b>A) Oral cavity</b>			
<b>Keratinocyte cells TR146</b> (Human cell line)	Monoculture	Invasion	<i>C. albicans</i> : (Puri <i>et al.</i> 2019)
		Damage	<i>C. albicans</i> : (Wilson <i>et al.</i> 2014)
		Transcriptomics	<i>C. albicans</i> : (McCall <i>et al.</i> 2018; Schaller <i>et al.</i> 1998)
		Cytokine release	<i>C. albicans</i> : (Ho <i>et al.</i> 2019; Verma <i>et al.</i> 2017; Verma <i>et al.</i> 2018)
<b>Tongue cells SCC15</b> (Human cell line)	Monoculture	Invasion	<i>C. albicans</i> : (Villar <i>et al.</i> 2007)
		Damage	<i>C. albicans</i> : (Kumar <i>et al.</i> 2015)
		Cytokine release	<i>C. albicans</i> : (Dongari-Bagtzoglou and Kashleva 2003)
<b>Immortalized oral mucosal cells OKF6/TERT-2</b> (Human cell line)	Monoculture	Invasion	<i>C. albicans</i> : (Solis <i>et al.</i> 2017; Swidergall <i>et al.</i> 2018; Zhu <i>et al.</i> 2012)
		Damage	<i>C. albicans</i> : (Liu <i>et al.</i> 2014; Solis <i>et al.</i> 2018)
		Transcriptomics	<i>C. albicans</i> : (Liu <i>et al.</i> 2015)
		Live cell imaging	<i>C. albicans</i> : (Wollert <i>et al.</i> 2012)
<b>Human palate epithelial cells HPECs</b> (Human primary cells)	Monoculture	Apoptosis	<i>C. albicans</i> : (Casaroto <i>et al.</i> 2019)
		Host gene expression	<i>C. albicans</i> : (Offenbacher <i>et al.</i> 2019)
<b>TR146</b> (Human cell line) <b>+ Fibroblasts</b> (Human primary cells)	Co-culture	Adhesion Gene expression	<i>C. albicans</i> : (Morse <i>et al.</i> 2018)

<b>Reconstituted human oral epithelium RHOE</b> (cell line based)	3D structure Multiculture model with differentiated cells	Damage	<i>C. albicans</i> / <i>C. glabrata</i> : (Silva <i>et al.</i> 2011) <i>C. albicans</i> : (Cavalcanti <i>et al.</i> 2015; Mailander-Sanchez <i>et al.</i> 2017)
		Transcriptomics	<i>C. albicans</i> : (Nailis <i>et al.</i> 2010; Spiering <i>et al.</i> 2010)
		Cytokine release	<i>C. albicans</i> : (Wagener <i>et al.</i> 2012)
<b>RHOE + Fibroblasts</b> (Human primary cells)	3D structure Multiculture model with differentiated cells	Bacterial interactions	<i>C. albicans</i> : (Bertolini <i>et al.</i> 2019; de Carvalho Dias <i>et al.</i> 2018; Diaz <i>et al.</i> 2012)
		Biofilm formation	<i>C. albicans</i> : (Sobue <i>et al.</i> 2018)
<b>Oral mucosa-on-chip Keratinocytes Gie-No3B11</b> (Human cell line) <b>+ gingival fibroblasts</b> (Human cell line)	Collagen embedded fibroblast and Keratinocytes on a porous membrane under flow	Model establishment	(Rahimi <i>et al.</i> 2018)
<b>B) Intestinal tract</b>			
<b>Colorectal adenocarcinoma cells Caco-2</b> (Human cell line)	Monoculture	Damage	<i>C. albicans</i> : (Wachtler <i>et al.</i> 2011a)
		Invasion	<i>C. albicans</i> : (Goyer <i>et al.</i> 2016; Wachtler <i>et al.</i> 2011a)
		Interactions antagonistic yeasts	<i>C. albicans</i> : (Lohith and Anu-Appaiah 2018; Murzyn <i>et al.</i> 2010) <i>C. glabrata</i> : (Kunyeit <i>et al.</i> 2019)
		Cytokine release	<i>C. albicans</i> : (Gacser <i>et al.</i> 2014; Mao <i>et al.</i> 2019; Schirbel <i>et al.</i> 2018)
<b>Colorectal adenocarcinoma cells HT-29</b> (Human cell line)	Monoculture	Adhesion Invasion Damage	<i>C. albicans</i> : (Deng <i>et al.</i> 2015; Garcia <i>et al.</i> 2018)
<b>Mucus secreting goblet cells HT-29-MTX</b> (Human cell line)	Monoculture	Gene expression	<i>C. albicans</i> : (Kavanaugh <i>et al.</i> 2014)
		Translocation	<i>C. albicans</i> : (Allert <i>et al.</i> 2018)

<b>Subclone of Caco-2 C2BBE1</b> (Human cell line)	Monoculture in transwell	Transcriptomics	<i>C. albicans</i> : (Bohringer <i>et al.</i> 2016)
<b>Caco-2</b> (Human cell line) <b>+ Raji B cells</b> (Human cell line)	Co-culture	Adhesion Invasion	<i>C. albicans</i> : (Albac <i>et al.</i> 2016)
<b>C2BBE1</b> (Human cell line) <b>+ HT-29-MTX</b> (Human cell line)	Co-culture	Adhesion Damage	<i>C. albicans</i> : (Graf <i>et al.</i> 2019)
<b>Intestine-on-Chip C2BBE1</b> (Human cell line) <b>+ HUVECs</b> (Human primary cells) <b>+ Monocytes</b> (Human primary cells)	Bilayer under flow (top: C2BBE1 Bottom: HUVECs + Monocytes)	Damage Translocation	<i>C. albicans</i> : (Maurer <i>et al.</i> 2019)
<b>C) Vaginal tract</b>			
<b>Human immortalized vaginal mucosal cells VK2/E6E7</b> (Human cell line)	Monoculture	Adhesion	<i>C. albicans</i> : (Luan <i>et al.</i> 2020; Mikamo <i>et al.</i> 2018)
		Bacterial interactions	<i>C. albicans</i> : (Pidwill <i>et al.</i> 2018)
		Autophagy	<i>C. albicans</i> : (Shroff and Reddy 2018)
		Cytokine release	<i>C. albicans</i> : (Li <i>et al.</i> 2017)
<b>Vaginal epidermoid carcinoma cells A431</b> (Human cell line)	Monoculture	Damage	<i>C. albicans</i> : (Wachtler <i>et al.</i> 2011b)
		Cytokine release	<i>C. albicans</i> : (Richardson <i>et al.</i> 2018)
<b>Reconstituted vaginal epithelium RHVE</b> (cell line based)	3D structure Multiculture model with differentiated cells	Invasion Damage	<i>C. albicans</i> : (Alves <i>et al.</i> 2014)
		Transcriptomics	<i>C. glabrata</i> : (Bernardo <i>et al.</i> 2017)
		Cytokine release	<i>C. albicans</i> : (Schaller <i>et al.</i> 2005)

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**Table S4: Overview about the different endothelial and blood-brain barrier *in vitro* models, their structure, possible read outs and applications for the different fungi**

Model	Structure	Readout	Reference
Human umbilical vein endothelial cells HUVECs (Human primary cells)	Monoculture	Adhesion	<i>C. albicans</i> : (Citiulo <i>et al.</i> 2012; Lopez <i>et al.</i> 2014; Phan <i>et al.</i> 2013) <i>C. neoformans</i> : (Ibrahim <i>et al.</i> 1995)
		Invasion	<i>A. fumigatus</i> : (Liu <i>et al.</i> 2016) <i>C. albicans</i> : (Phan <i>et al.</i> 2013; Phan <i>et al.</i> 2005; Phan <i>et al.</i> 2007)
		Damage	<i>A. fumigatus</i> : (Ejzykowicz <i>et al.</i> 2010) <i>C. albicans</i> : (Rotrosen <i>et al.</i> 1985; Sanchez <i>et al.</i> 2004)
		Proteomics	<i>A. fumigatus</i> : (Neves <i>et al.</i> 2016; Zhang <i>et al.</i> 2017b) <i>C. neoformans</i> : (Wang <i>et al.</i> 2011)
		Transcriptomics	<i>C. albicans</i> : (Barker <i>et al.</i> 2008) <i>C. neoformans</i> : (Coenjaerts <i>et al.</i> 2006)
		Cytokine release	<i>A. fumigatus</i> : (Kamai <i>et al.</i> 2009; Neves <i>et al.</i> 2017) <i>C. albicans</i> : (Orozco <i>et al.</i> 2000)
	Monoculture under flow	Adhesion	<i>C. albicans</i> : (Wilson and Hube 2010)
HUVECs	Co-culture	Damage	<i>C. albicans</i> : (Edwards <i>et al.</i> 1987)



(Human primary cells) + <b>Neutrophils</b> (Human primary cells)			
<b>Human brain microvascular endothelial cells</b> <b>HBEC</b> <b>HCMEC/D3</b> (Human cell line)	Monoculture on Transwell	Adhesion Invasion	<i>C. albicans</i> : (Liu <i>et al.</i> 2011)
		TEER Permeability assay	<i>A. fumigatus</i> : (Patel <i>et al.</i> 2018) <i>C. neoformans</i> : (Stie and Fox 2012)
		Traversal	<i>C. neoformans</i> : (Aaron <i>et al.</i> 2018; Chang <i>et al.</i> 2004; Huang <i>et al.</i> 2011; Kim <i>et al.</i> 2012; Na Pombejra <i>et al.</i> 2018; Na Pombejra <i>et al.</i> 2017; Vu <i>et al.</i> 2013; Vu <i>et al.</i> 2009; Zhu <i>et al.</i> 2017)
		TEM	<i>C. neoformans</i> : (Chen <i>et al.</i> 2003)
		Transcriptomics	<i>C. neoformans</i> : (Lahiri <i>et al.</i> 2019)
<b>HBEC</b> <b>HCMEC/D3</b> (Human cell line) + <b>THP-1</b> (Human cell line) <b>or Monocytes</b> (Human primary cells)	Co-culture on transwell	Model development	<i>C. neoformans</i> : (Santiago-Tirado <i>et al.</i> 2019)
		Traversal	<i>C. neoformans</i> : (He <i>et al.</i> 2016; Santiago-Tirado <i>et al.</i> 2017; Sorrell <i>et al.</i> 2016)
<b>BBB -on-Chip</b> <b>HUVECs</b> (Human primary cells)	Monoculture under flow	Model establishment	(Yeon <i>et al.</i> 2012)
<b>BBB -on-Chip</b> <b>HCMEC/D3</b> (Human cell line)	Monoculture under flow	Model establishment	(Griep <i>et al.</i> 2013)
<b>BBB -on-Chip</b> <b>HCMEC/D3</b> + <b>astrocytes</b> (Human primary cells) + <b>pericytes</b>	Multiculture under flow	Model establishment	(Lee <i>et al.</i> 2020)

(Human primary cells) <b>+ fibroblasts</b> (Human primary cells)			
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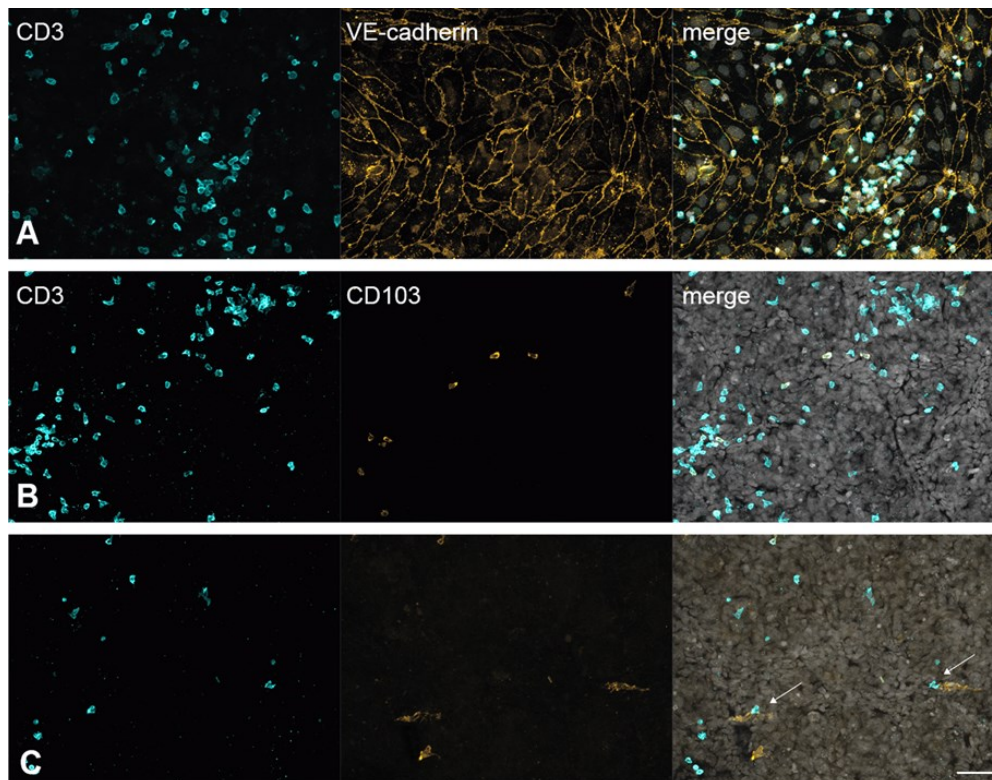
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## 4. Unpublished data – manuscript in preparation

### 4.1 Establishment of T cell perfusion in the intestine-on-chip model

Before T cells were isolated and added to the endothelial cells medium, the luminal compartment was stimulated with 100 ng/ml LPS to trigger T cells infiltration of the endothelial layer and epithelial layer. T cells sufficiently adhered to the endothelial layer without disturbing endothelial barrier integrity, since endothelial cells expressed VE-cadherin and formed a confluent layer (Fig. 3A). T cells also sufficiently invaded the epithelial layer, as CD3<sup>+</sup> cells were found within the layer. Some of these CD3<sup>+</sup> T cells also expressed CD103 (Fig. 3B). Furthermore, CD103<sup>+</sup> DCs were detected adjacent to CD3<sup>+</sup> T cells (Fig. 3C).

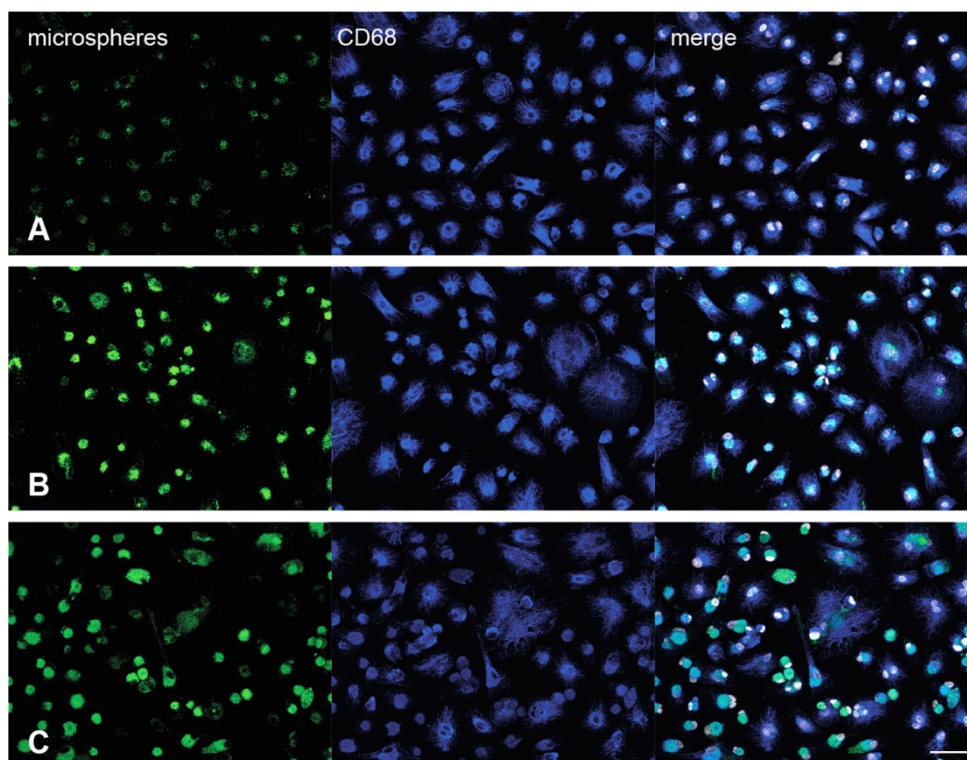


**Fig. 3: Establishment of T cell perfusion. (A)** T cells adherence in the endothelial layer. **(B)** CD103 expression in T cells in the epithelial layer. **(C)** T cells and DCs in the epithelial layer. Arrows indicate DCs and T cells found in close proximity. Blue: CD3<sup>+</sup> T cells; orange: CD103<sup>+</sup> T cells and DCs; white: DAPI; n=3; scale bar=50  $\mu$ m

## 4.2 Phagocytosis assay using microspheres

### 4.2.1 Preliminary test: Uptake of microspheres by macrophages in static cell culture

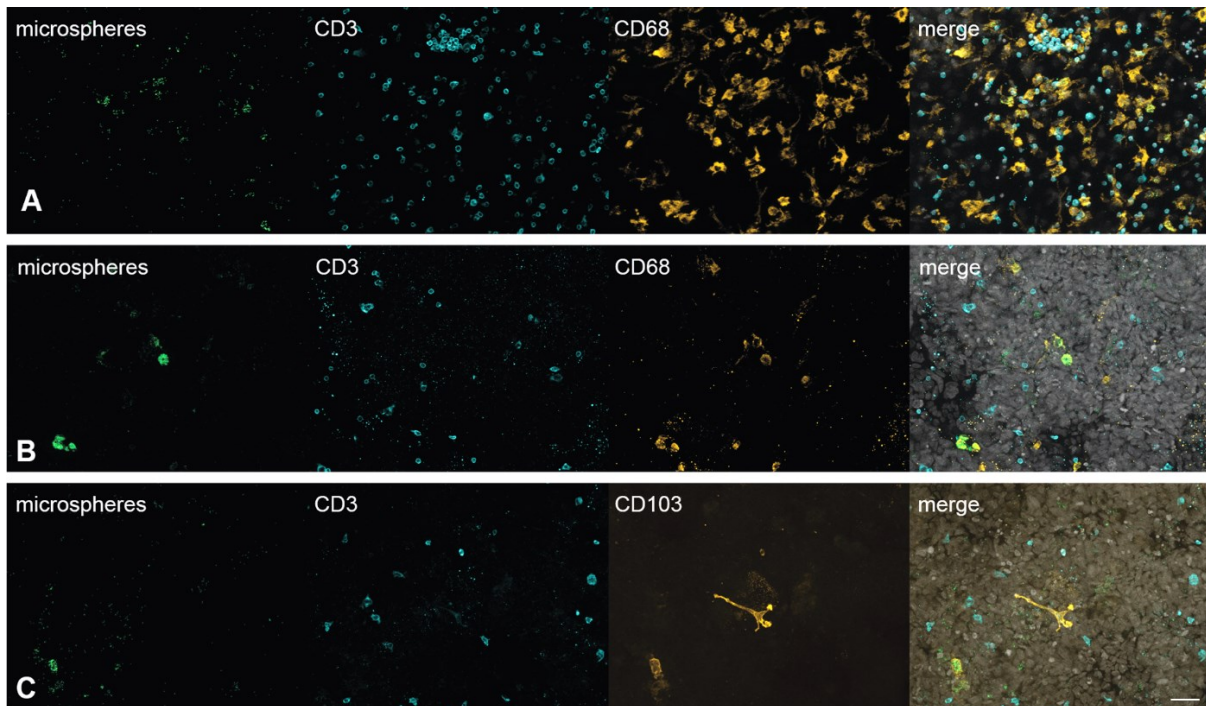
Inflammation in the GI tract is not only associated with the microbiota but can also be triggered by xenobiotic substances. Everyday products commonly contain microplastic particles and their accumulation in the human body may represent a health threat (Galloway 2015). Therefore, a phagocytosis assay was carried out to evaluate the ability of both macrophages and DCs to take up microparticles made of polystyrene. Before microspheres were added to the luminal side of the intestine-on-chip mode, the general capacity of macrophages to take up microspheres was analyzed, and the appropriate number of particles was determined under static conditions. Monocytes were cultured in 24 well plates on coverslips for seven days to allow them to fully differentiate into macrophages. Microspheres in three different quantities were added to the cell culture medium on day seven and incubated for 24 h. Increasing numbers of particles added the medium resulted in increased accumulation in macrophages (Fig. 4). The highest concentration of microspheres was chosen for further experiments.



**Fig. 4: Microsphere uptake of macrophages in monoculture.** (A)  $2 \times 10^7$  particles/ml, (B)  $2 \times 10^8$  particles and (C)  $2 \times 10^9$  particles/ml. Green: microspheres; blue: CD68; white: DAPI; n=1; scale bar=50  $\mu$ m

#### 4.2.2 Administration of microspheres at the luminal side of the intestine-on-chip model

In the presence of T cells, microspheres were administered at the luminal side and were detected in both layers after 24 h of perfusion. Macrophages in the endothelial layer were elongated (Fig. 5A) Microspheres were detectable in both CD68<sup>+</sup> macrophages and CD103<sup>+</sup> DCs (Fig. 5B+C).

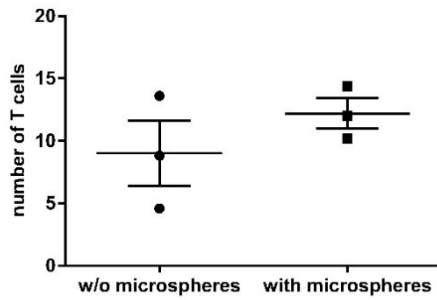


**Fig. 5: Microsphere uptake in the intestine-on-chip model. (A)** Microsphere uptake by CD68<sup>+</sup> macrophages in the endothelial layer. **(B)** Microsphere uptake by CD68<sup>+</sup> macrophages in the epithelial layer. **(C)** Microsphere uptake by CD103<sup>+</sup> DCs in the epithelial layer. Green: microspheres; blue: CD3<sup>+</sup> T cells; orange: CD103<sup>+</sup> T cells and DCs; white: DAPI; n=5; scale bar=50  $\mu$ m

#### 4.2.3 Influence of microspheres on T cell numbers in the epithelial layer

Previous studies have shown that polystyrene particles can evoke proinflammatory responses in the intestinal tissue (Hwang et al. 2020). An increased number of T cells in the epithelial layer can direct towards inflammation in response to microsphere uptake by macrophages and DCs. The number of T cells in the epithelial layer lacking xenobiotic particles was compared with the number of T cells in the presence of microspheres (Fig. 6). The number of T cells was slightly increased when the microspheres were present.



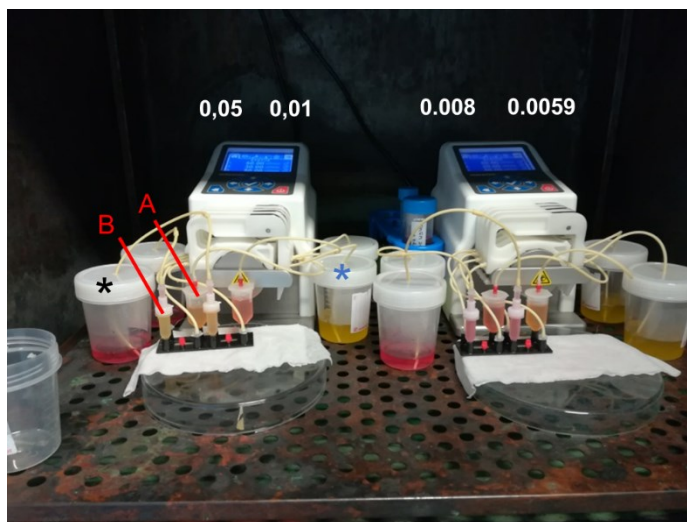


**Fig. 6: Number of T cells in the epithelial layer without and with microspheres. n=3**

### 4.3 *S. typhimurium* infection model

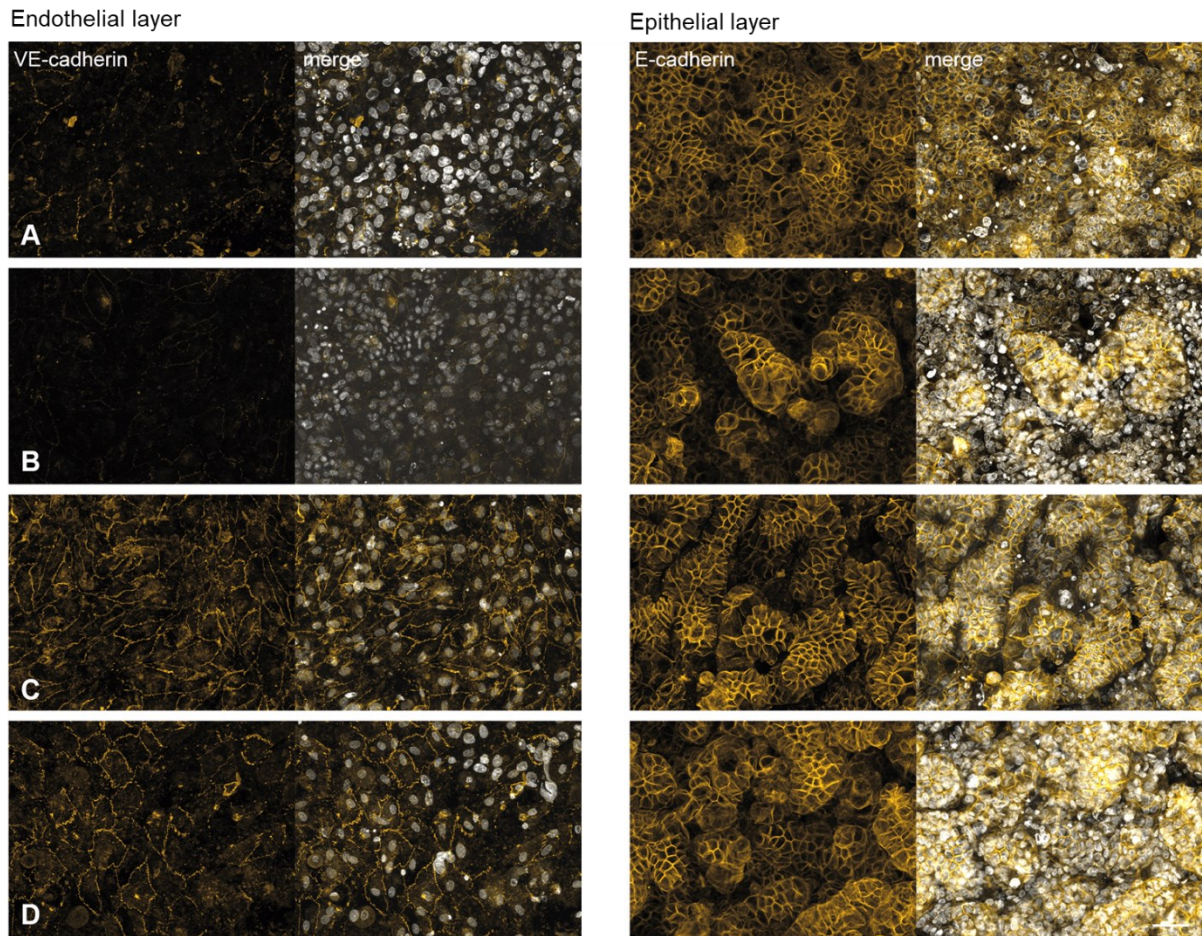
#### 4.3.1 Establishment of infection model

In order to infect the intestine-on-chip model with the enteric pathogen *S. typhimurium*, the optimal optical density (OD) was determined. An optimal OD was defined as the OD that damages the tissue to a low degree. The experimental setup is shown in Fig. 7. To assess the damage caused by *S. typhimurium*, the endothelial layer was stained for VE-cadherin and the epithelial layer for E-cadherin (Fig. 8). The largest decline in expression of VE-cadherin and E-cadherin was detected at an OD of 0.01 while the smallest drop was observed at an OD of 0.008 which was chosen for the following experiment (Fig. 8C).



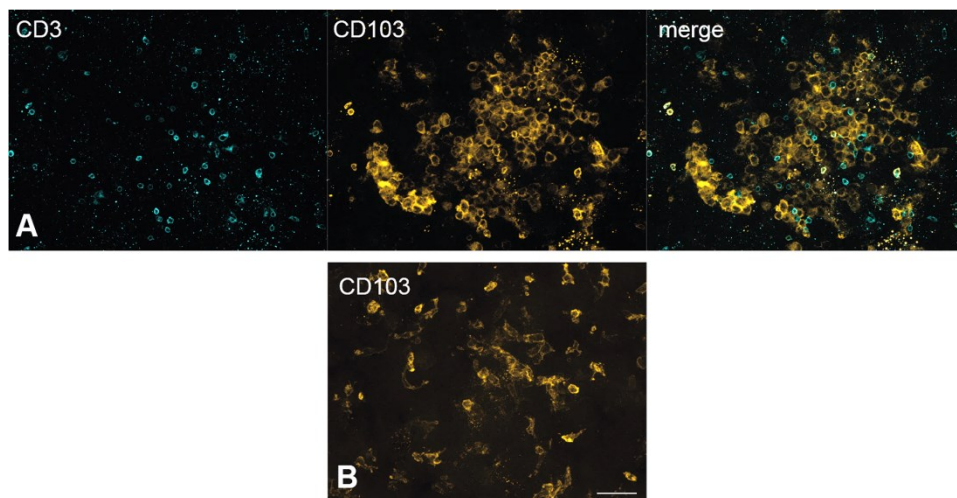
**Fig. 7: Experimental set up to determine optimal OD of *S. typhimurium* for infection models.** Numbers indicate OD. Black asterisk: medium reservoir for luminal compartment; blue asterisk: waste of luminal compartment; A: medium reservoir of vascular compartment; B: connecting reservoir of luminal compartment.





**Fig. 8: *S. typhimurium*-induced damage to endothelial and epithelial layer. (A) OD=0.05. (B) OD=0.01. (C) OD=0.008. (D) OD=0.0059. Left side: endothelial layer, VE-cadherin in orange; right side: epithelial layer, E-cadherin in orange; white: DAPI; n=1; scale bar=50  $\mu$ m**

#### 4.3.2 *S. typhimurium* infection in the presence and absence of T cells



**Fig. 9: Effect of *S. typhimurium* infection on DC distribution in the epithelial layer. (A) CD103<sup>+</sup> DCs in the presence of CD3<sup>+</sup> T cells. (B) CD103<sup>+</sup> DCs in the absence of CD3<sup>+</sup> T cells. Blue: CD3<sup>+</sup> T cells; orange: CD103<sup>+</sup> T cells and DCs; n=1; scale bar=50  $\mu$ m**

Finally, the distribution of DCs in the epithelial layer during an *S. typhimurium* infection in the presence and absence of T cells was analyzed (Fig. 9). In the presence of T cells, DCs and T cells accumulated in some areas of the epithelial layer, while DCs are more evenly distributed in the absence of T cells.

## 4.4 Methods

Intestine-on-chip models were assembled as described in Manuscript I. On day eight, LPS (100 ng/ml) was added to the luminal compartment, 3 h before T cells were added to the vascular compartment.

### 4.4.1 T cell perfusion

For T cell isolation, PBMCs were isolated from blood monovettes from healthy human donors. Subsequently, CD3<sup>+</sup> cells were isolated using a human Pan T cell Isolation Kit (Miltenyi Biotech, Bergisch Gladbach, Germany). Briefly, PBMCs were incubated with a biotinylated antibody cocktail for 10 min at 4°C, followed by incubation with an anti-biotin microbeads cocktail, containing antibodies for all cell types except CD3 for T cells, for 5 min at 4°C. Using magnetic-activated cell sorting (MACS) CD3<sup>-</sup> cells were separated from the CD3<sup>+</sup> fraction. The endothelial layer was perfused with 1.5 ml medium comprising 2x10<sup>6</sup> CD3<sup>+</sup> T cells for 1 h. Afterward, the medium was removed and replaced by fresh medium. T cells were stained with rabbit anti-human CD3 (abcam, Cambridge, UK).

### 4.4.2 Microsphere perfusion

The intestine-on-chip model was assembled as described in Manuscript I. Fluoresbrite® YG Microspheres, Calibration Grade 0.50 µm (Polysciences, Hirschberg an der Bergstraße, Germany) were added to the luminal compartment on day eleven and perfused for 24 h.

### 4.4.3 *Salmonella typhimurium* infection model

*Salmonella enterica* serovar Typhimurium was kindly provided by PD Dr. Lorena Tuchscher de Hauschopp from the Institute for Medical Microbiology, University Hospital Jena. The bacterial cells were grown on lysogeny broth (LB) agar plates (Carl

Roth, Karlsruhe, Germany). For use in experiments, cells were grown at 37°C at 160 ppm for 24 h in LB broth (Carl Roth, Karlsruhe, Germany). Prior to experiments, *S. typhimurium* was collected by centrifugation, washed twice in PBS, and diluted to an OD<sub>600nm</sub> of 0.05, 0.01, 0.08, 0.0059 in DMEM. Chips were infected with bacterial cells for 30 min at 37°C. Subsequently, non-adherent cells were washed out and the luminal compartment was perfused linearly for 24 h.

## 5. Discussion

The human GI tract is a diverse ecosystem shaped by microorganisms such as bacteria and fungi. The microbiota plays an important role in sustaining our health but is also involved in disease progression. The intestinal immune system is constantly exposed to foreign antigens. In order to maintain intestinal homeostasis, macrophages and DCs tolerate commensal microbes, while quickly eliciting proinflammatory responses when pathogens are taking over. An alteration of the microbial community known as dysbiosis is associated with a dysregulated immune response and chronic inflammation. To shed light on underlying mechanisms, sophisticated models are needed that provide a human genetic background and an organ-specific environment resembling human physiology. We have established a microfluidic intestine-on-chip model comprising of epithelial and endothelial cells as well as macrophages and DCs that we further characterized and used to analyze microbiota-host interactions.

### 5.1 Characterization of mononuclear phagocytes in the intestine-on-chip model

Macrophages and DCs are crucial players in maintaining intestinal homeostasis, inflammation and resolution. The phenotypic and functional distinction between the cell types is an ongoing debate and was hampered in the past by the lack of appropriate markers, as well as differences between mice and humans (Cerovic et al. 2014; Mann et al. 2013). In the present study, we used antibodies against CD68, the fractalkine receptor (CX3CR1), and integrin  $\alpha E\beta 7$  (CD103) for phenotypic characterization of mononuclear phagocytes in the intestine-on-chip model. Based on the expression of the markers, we found macrophage-like cells expressing high levels of CD68 and CX3CR1 and DC-like cells expressing high levels of CD103 and low levels of CD68. The macrophages within the model are derived from monocytes, differentiated in the presence of M-CSF and GM-CSF. When CD14<sup>+</sup> monocytes enter the mucosa in a CCR2-dependent manner, the surrounding environment conditions allows them to adapt a tolerogenic phenotype. In its soluble form, Fractalkine (CX3CL1) functions as a chemoattractant, whereas the membrane-bound form mediates adhesion and is expressed by intestinal epithelial and endothelial cells (Pachot et al. 2008). CX3CL1 mediates tissue infiltration of a range of immune cells such as mononuclear intra-



epithelial lymphocytes (IELs), NK cells, and mononuclear phagocytes, which express CX3CR1 in response (Muehlhoefer et al. 2000). In our intestinal model, CX3CR1 expression was found upregulated in macrophages residing in the epithelial layer in both LPS conditions. This is in accordance with findings from Brand and colleagues, showing that intestinal epithelial cells express CX3CL1 to enable adhesion of immune cells to the tissue (Brand et al. 2002). Presumably, Caco-2 cells in the model similarly upregulated CX3CL1 and tissue-resident macrophages upregulated CX3CR1 in response. In the small intestine as well as in the colon, four subsets of macrophages have been identified. Subset 1 and 2 (CD14<sup>+</sup> CD11c<sup>+</sup> HLA-DR<sup>int</sup> and CD14<sup>+</sup> CD11c<sup>+</sup> HLA-DR<sup>hi</sup>) showed a monocyte-like phenotype, and subset 3 and 4 (CD14<sup>+</sup> CD11c<sup>-</sup> CD11b<sup>-</sup> and CD14<sup>high</sup> CD11c<sup>-</sup> CD11b<sup>+</sup>), resembled mature macrophages (Bujko et al. 2018; Ogino et al. 2013). Subset 2 is believed to represent a transitional state between 1 and the more mature subsets 3 and 4. Subset 1 secreted low amounts of cytokines and induced a strong response upon TLR stimulation, while subset 3 and 4 remained unresponsive. Applying the classification to our model, macrophages in the endothelial layer may be assigned to subset 1 as they displayed little cytokine secretion in control experiments and a strong proinflammatory response when stimulated with LPS. Macrophages of the mature subsets 3 and 4 differentially located within intestinal tissue with subset 3 macrophages forming a network within the villi, whereas macrophages of subset 4 rather locate deep within the tissue, adjacent to the crypts and in the submucosa (Bujko et al. 2018; Ogino et al. 2013). In our model, macrophages in the epithelial layer were only detected adjacent to the crypts, just above the membrane, and were largely unresponsive to luminal LPS treatment. It is therefore likely that macrophages differentiated into subset 4 as they were absent from the villi. The microenvironment may not support the differentiation towards subset 3 macrophages, which locate within villi. In 2005, Niess and colleagues found CX3CR1<sup>+</sup> cells that formed transepithelial dendrites (TEDs) in the terminal ileum of the murine GI tract, however, TEDs were absent from other sections of the small intestine from the same mice (Niess et al. 2005). Despite the scarce evidence for TED-forming CX3CR1<sup>+</sup> macrophages, macrophages are frequently attributed in the literature as phagocytic cells that directly sample antigens from the lumen (Bain and Mowat 2014; Farache et al. 2013). Macrophages in our model did not show TED formation, and whether human macrophages are generally able to form TEDs is unknown (Kuhl et al. 2015). Niess stated in 2010 that CX3CR1<sup>+</sup> cells in mice are a very heterogeneous population and

might also be classified as DCs (Niess 2010). In their study from 2005, Niess and colleagues also claimed that TED formation was CX3CR1-dependent. This could neither be confirmed in another study using a mouse model nor by us, as CX3CR1<sup>+</sup> CD103<sup>+</sup> DCs were able to form TEDs (Schulz et al. 2009). Unlike CX3CR1<sup>+</sup> macrophages, CD103<sup>+</sup> DCs have the capacity to migrate to lymphoid sites, present antigens to T cells, and induce their differentiation (Annacker et al. 2005). The exact function of CD103 is ambiguous. It seems to be involved in the binding of DCs to the epithelium since the ligand of CD103 is E-cadherin, but it does not seem to be a vital mediator. It locates within endosomal compartments and relocates to the surface upon binding to E-cadherin expressed by epithelial cells (Swain et al. 2018). Differentiation of monocytes into moDCs *in vitro* is usually achieved by culture in media supplemented with IL-4 and GM-CSF (Romani et al. 1994; Sallusto and Lanzavecchia 1994). In our model, GM-CSF was present, however, no IL-4 was added to the medium and there were no IL-4 producing cells such as T cells, basophils, eosinophils present (Junttila 2018). Therefore, it was assumed that the microenvironment promoted differentiation. In mice, Bain and colleagues showed that TGF- $\beta$  drives CD103 expression on DCs (Bain et al. 2017). In addition, it has been demonstrated that epithelial cells are involved in human moDC differentiation towards a tolerogenic phenotype via secretion of TGF- $\beta$  and RA (Iliev et al. 2009). Therefore, it is likely that the epithelial cells condition moDCs via TGF- $\beta$ -signaling in the intestine-on-chip model. The role of moDCs *in vivo* is often not taken into account since some researchers do not consider them “naturally occurring” DCs (Bernardo et al. 2018b). However, a study by Randolph and colleagues in 1999 showed that a small percentage of monocytes differentiated into DCs and migrated to draining lymph nodes in mice (Randolph et al. 1999). In fact, there are substantial indications that moDCs occur *in vivo* and act as an “emergency back-up” during acute infections (Qu et al. 2014). Three subsets of circulating monocytes have been identified: (i) the classical CD14<sup>++</sup> CD16<sup>-</sup>, (ii) the intermediate CD14<sup>++</sup> CD16<sup>+</sup> and (iii) the nonclassical CD14<sup>+</sup> CD16<sup>++</sup> subset (Gren and Grip 2016). It has been shown that the intermediate and nonclassical subsets are more likely to give rise to moDCs, however, classical monocytes might represent a less mature version of the other subsets (Randolph et al. 2002). In mice, monocytes differentiate into fully functional moDCs upon microbial stimulation in a TLR-dependent manner. They exhibit DC morphology, effectively capture antigens, and migrate to trigger T cell responses just like cDCs (Cheong et al. 2010). Similarly, human moDCs mature and develop the

capacity to stimulate T cells in response to infection with Influenza A virus (Qu et al. 2003). We did not evaluate the ability of moDCs in the intestinal model to induce T cell responses, however, T cells were implemented into the model, by isolating them from PBMCs and perfusion of the vascular compartment. They adhered to the endothelium and invaded the epithelial layer (Unpublished data, Fig. 3). DCs and T cells were found in close proximity, indicating DC-T cell communication and antigen presentation. T cells were identified via expression of CD3, however, some cells co-expressed CD103. CD103 is not only found on DCs but also on IELs residing between enterocytes and providing a first-line defense against microbial invasion (Ma et al. 2019; Roosenboom et al. 2019). Integration of T cells into the system laid the foundation to elucidate the development and adaption of T cells to intestinal tissue in conjunction with the analysis of adaptive immune responses towards normal microbiota, pathogens, and their metabolites in follow-up studies.

## 5.2 Interaction of *L. rhamnosus* and *C. albicans*

As a proof-of-concept, the immunocompetent intestine-on-chip model was used for functional microbial interaction studies. The colonization with *L. rhamnosus* resulted in a slight increase of IL-1 $\beta$  and IL-6 secretion when endothelial cells were stimulated with LPS (Manuscript I). However, the increased cytokine release did not lead to an impairment of the intestinal barrier. The opposite was the case: as demonstrated by volume measurement of E-cadherin and ZO-1, the presence of the commensals led to an enhanced volume of the junctional proteins and therefore enhanced barrier integrity. These observations are in accordance with *in vitro* and *in vivo* studies, showing that colonization with *L. rhamnosus* restores barrier function in TNF-treated Caco-2 cells and in mice treated with dextran sodium sulfate (DSS) (Miyachi et al. 2009). Enhancement of barrier integrity is mediated via TLR signaling in epithelial cells (Burgueño and Abreu 2020; Cario et al. 2004). TLR2 is the main receptor for the recognition of lipoproteins and LTA of Gram-positive bacteria and has been reported to be activated by *L. rhamnosus* (Oliveira-Nascimento et al. 2012; Vizoso Pinto et al. 2009). Although not analyzed in the present study, the upregulation of barrier proteins may result at least partially from *L. rhamnosus*-induced TLR2 signaling. The pre-colonization of the intestine-on-chip model with *L. rhamnosus* led to reduced growth of *C. albicans* (Manuscript I). The growth-reducing capacity of *L. rhamnosus* has been previously demonstrated by other groups (Allonsius et al. 2017; Hasslöf et al. 2010).

Even though the fungi were still able to form hyphae, cross the epithelial layer, and reach the endothelium, the presence of *L. rhamnosus* resulted in reduced translocation. Investigations of how exactly *L. rhamnosus* conveys protection against pathogenic forms of *C. albicans* are currently ongoing and a range of mechanisms have been proposed. Allonsius and colleagues claimed that exopolysaccharides (EPS) mediate the protective effects of *L. rhamnosus* during *C. candida* infection by inhibiting hyphal formation (Allonsius et al. 2017). Inhibition of hyphal formation is also seen in other Lactobacilli species, and it was assumed that the inhibitory effect is caused by the complex polymer structure containing galactose. Contradictory to their results, other studies identified lactic acid as the main driver of growth inhibition (Kohler et al. 2012). In addition, using a static culture model, Graf and colleagues demonstrated that adhesion of *C. albicans* to epithelial cells was unaffected by *L. rhamnosus*. However, the bacteria suppressed filamentation and mediated damaged protection, which involves shedding of fungal cells (Graf et al. 2019). In this study, neither ESP nor lactic acid played a role in conveying protection.

Our intestinal model can also be used to examine how enteric pathogens interact with commensals and host immune cells. Following the establishment of an *S. typhimurium* infection model (Unpublished data, Fig. 7 and 8), the distribution of DCs was analyzed in the presence and absence of T cells. A preliminary experiment revealed a local accumulation of DCs in the presence of T cells 24 h post-infection (Unpublished data, Fig. 9). Since this experiment has only been carried out once further investigation is required to confirm the observation.

### 5.3 Microparticle uptake in the intestine-on-chip model

The accumulation of microplastic particles in the human body is recognized as a potential health threat as it might, for example, trigger inflammation in the GI tract (Galloway 2015). Therefore, a phagocytosis assay using fluorescent microparticles made of polystyrene was carried out. In an initial test, macrophages were cultured in a monoculture to determine an appropriate number of particles to be added to the luminal compartment of the intestinal model (Unpublished data, Fig. 4). It was observed that microparticles were taken up by macrophages, showing a round-shaped morphology that potentially indicates a non-activated state. As shown in Fig. 5B+C (Unpublished data), both CD68<sup>+</sup> and CD103<sup>+</sup> cells were able to take up microspheres. However, unlike macrophages in monoculture, monocytes or macrophages residing in the



endothelial layer had an elongated shape, which might indicate their activation (Unpublished data, Fig. 5A). Furthermore, a tendency of T cell accumulation in the epithelial layer in the presence of microspheres was observed (Unpublished data, Fig. 6). The results need to be confirmed by image analysis of larger areas of the membrane. The observation that immune cells might have been activated in the endothelial layer in the intestinal model but not in monoculture are in accordance with the assumption made in 5.1. Macrophages residing in the endothelial layer may rather resemble monocytes than fully differentiated macrophages and may therefore be triggered by the microparticles to release proinflammatory cytokines, which needs to be confirmed in follow-up studies. The findings are supported by a previous report demonstrating activation of the immune system upon the accumulation of polystyrene-based microparticles in monocytes and neutrophils (Hwang et al. 2020). In this study, phagocytes released TNF and IL-6 after phagocytosis of particles smaller than 1  $\mu\text{m}$ . Taken together, the results indicate that microparticles can represent a potential health risk due to the activation of the innate immune system.

## 5.4 Intestine-on-chip models for host-microbiota research

### 5.4.1 How valuable are Caco-2 cells for host-microbiota research?

The human colon adenocarcinoma cell line Caco-2 is the most frequently used cell line for *in vitro* modeling of the human intestine. Since the cell line had been isolated in 1977, it has served as a useful tool for studies analyzing drug transport, metabolism, and permeability (Fogh et al. 1977). This is due to the fact that a large proportion of enzymes and transporters expressed in the human intestine are expressed in Caco-2 cell monolayers (Sun et al. 2008). Whilst interest in microbiota research has grown during the past decades, Caco-2 cells have been increasingly used for microbiota studies (Bahrami et al. 2011; Chen et al. 2017; Sadaghian Sadabad et al. 2015). The majority of microfluidic intestine-on-chip models employ the cell line as well (Tab. 1) since they are easy to obtain and maintain. When the cells are cultured as a monolayer it takes about 20 days until they are differentiated (Vachon and Beaulieu 1992). As demonstrated by Kim and colleagues Caco-2 cells have the unique capacity to grow out and form villi-like structures under dynamic growth conditions (Kim et al. 2012). We, as well as others, have shown that perfusion accelerates differentiation and induces the expression of  $\alpha$ -Defensin and Mucin-2, which are both required to study the microbiota under physiologically relevant conditions.  $\alpha$ -Defensin has bactericidal

activity acting against Gram-positive and Gram-negative bacteria but also fungi and viruses, which is presumably based on the destruction of the barrier integrity (Salzman et al. 2007). However,  $\alpha$ -Defensin functions in a selective manner, leaving commensals unaffected while most pathogens are eliminated (Nakamura et al. 2016). Mucin-2 is the major component of the mucus layer covering the epithelium and therefore forms the barrier between the microbiota and the epithelium. The mucus layer serves as an attachment site for microbes and in some cases provides a source of nutrition (Sicard et al. 2017). In addition, DSS-induced pathophysiology observed in murine models was sufficiently recapitulated using a Caco-2 cell-based intestine-on-chip model (Shin and Kim 2018). DSS treatment led to an impairment of the mucosal barrier, proinflammatory responses, and reduction of goblet cells. It was demonstrated that pre-colonization with probiotics significantly reduced damage evoked by DSS treatment. Besides these advantages of Caco-2 cells in this regard, there are disadvantages that need to be considered for the application in microbiota research. Although the cells originate from the colon, they display characteristics of the small intestine, for example, the expression of hydrolase enzymes and formation of microvilli which are absent from the colon (Sambuy et al. 2005). In 1998, it was already shown that the cells express colonocyte markers, which decrease during culture after reaching confluence (Engle et al. 1998). At the same time, the expression of enterocyte markers is induced, however, the cells rather display properties of fetal ileal epithelial cells than characteristics of mature enterocytes. The observation is explained by either the persistence of a tumor-derived phenotype or by an insufficient shift from a crypt to a villous phenotype. The colonic origin and the small intestine-characteristics of Caco-2 cells are problematic for modeling a specific section of the intestine. The majority of the microbiota does not reside in the small intestine but in the colon. It is therefore questionable, whether a cell line having small intestinal traits can accurately mimic a microenvironment that is suitable for the culture of colon-inhabiting microorganisms. The presence of villi and absence of a thick inner mucus layer, characteristic for the small intestine, might impact the growth conditions. In addition, as Caco-2 cells are tumor-derived, it is questionable whether they can fully mimic healthy tissue. If not, it might have a considerable impact on host-microbiota crosstalk.

**Tab. 1: Overview of microfluidic Organ-on-chip models of the human intestine.**  
Models are grouped by cell source of the epithelial cells.

Caco-2-based models			
	additional host cell types	bacteria	hypoxia
Gijzen et al. 2020	acute monocytic leukemia cell line THP-1, acute myelomonocytic leukemia cell line MUTZ-3	-	-
Grassart et al. 2019	-	<i>Shigella flexneri</i>	-
De Gregorio et al. 2020	subepithelial myofibroblast (ISEMFs)	-	-
Gumuscu et al. 2017	-	<i>E. coli</i>	-
Jalili-Firoozinezhad et al. 2018	HUVECs		
Jalili et al. 2019	HUVECs	<i>B. fragilis</i> , 11 different genera of bacteria from human stool samples	0,3%
Kim et al. 2012	-	<i>L. rhamnosus</i> GG	-
Kim and Ingber 2013	-	-	-
Kim et al. 2015	HUVECs, lymphatic microvascular endothelial cells, PBMCs	VSL#3 probiotics, <i>E. coli</i> , enteroinvasive <i>E. coli</i> (EIEC)	-
Mazorati et al. 2014	-	Bacteroidetes, Firmicutes, Bifidobacteria, Lactobacilli	-
Pocock et al. 2017	-	-	-
Ramadan et al. 2013	monocytic cell line U937 differentiated into macrophages	-	-
Shah et al. 2016	CD4 <sup>+</sup> T cells	<i>L. rhamnosus</i> GG, <i>B. caccae</i>	0,1%
Greenhalgh et al. 2019	-	<i>L. rhamnosus</i> GG	0,1%
Shin and Kim 2018	PBMCs	VSL#3 probiotics, <i>E. coli</i>	-
Shim et al. 2017	-	-	-
Primary cells-based models			
	source and additional host cell types	bacteria	hypoxia
Dawson et al. 2016	sections from small and large intestine	-	-
Jalili et al. 2019	epithelial cells from terminal ileum	<i>B. fragilis</i> , 11 different genera of bacteria from human stool samples	0,3%
Kasandra et al. 2018	epithelial cells from duodenum biopsies expanded as organoids, human intestinal microvascular endothelial cells (HIMECs)	-	-
Zhang et al. 2020	colon cells derived from colon organoids	<i>E. rectale</i> , <i>B. thetaiotaomicron</i> , <i>Faecalibacterium prausnitzii</i>	3%
iPSC-based models			
	differentiated cells	bacteria	hypoxia
Naumovska et al. 2020	intestinal progenitor cells expressing epithelial and stem cell markers	-	-
Workman et al. 2018	epithelium expressing epithelial and stem cell markers	-	-

#### 5.4.2 Alternative cell sources for microphysiological intestine models

Due to its limitations, the relevance of Caco-2 cell line for modeling the intestine has been questioned and other cell sources are used for *in vitro* models (Bein et al. 2018). Primary cells have been incorporated into intestinal MPS as well (Tab. 1). Obtained from patient biopsies they are enzymatically broken up into individual cell types such as epithelium and stem cells, which are subsequently expanded and seeded onto organ-on-chip platforms. Although primary cells recapitulate the *in vivo* physiology to a high degree, they are generally more difficult to access, less robust than Caco-2 cells, and quickly lose their phenotype after several passages. Another way to use primary cells is to culture them as organoids, miniature stem cell-derived organs (Kim et al. 2020). Human intestinal organoids (HIOs) derive from adult stem cells (ASCs) extracted from intestine biopsies and form an enclosed luminal space. HIOs show villi and crypt-like structures similarly to Caco-2 cells. Sato and colleagues were the first to culture ASC-derived organoids in presence of growth factors and Matrigel® as a supporting matrix (Sato et al. 2011b). However, these organoids solely consist of epithelial cells; additional cell types are lacking. Organoids can also arise from human induced pluripotent stem cells (hiPSCs). In 2007, human dermal fibroblasts were reprogrammed via transfection with a retrovirus overexpressing transcription factors, which are necessary to induce a pluripotent state (Takahashi et al. 2007). The great advantage over Caco-2 cells is the capability of hiPSCs to self-renew and theoretically differentiate in all cell types arising from the germ layers ectoderm, mesoderm, and endoderm. hiPSC differentiation into HIOs requires the preceding differentiation into definitive endoderm, followed by differentiation into mid-hindgut cells and finally epithelial cells (Spence et al. 2011). In contrast to ASC-derived HIOs, a small fraction of hiPSCs differentiates into mesenchymal cells, allowing epithelial-mesenchymal interactions. In addition, HIOs containing neurons and glial cells have been established, however, other cell types such as immune cells, which are highly relevant for a physiological environment, have not been incorporated yet (Workman et al. 2017). HIOs have also been used to study host-microbiota or host-pathogen interactions. Therefore, bacteria are injected into the lumen of HIOs. In the case of a nonpathogenic *E. coli* strain, the HIOs and bacteria formed a stable co-culture, leading to enhanced barrier integrity, similarly to our model (Hill et al. 2017). In an HIO derived from mouse crypt cells, injection of *S. typhimurium* resulted in a decline of stem cell markers, barrier leakage, and a proinflammatory response comparable to *in vivo* infections (Zhang et



al. 2014). A range of other pathogens have been injected into HIOs as well, however, culture conditions need to be improved to reflect intestinal physiology more closely (Min et al. 2020). HIOs are commonly embedded in Matrigel®, which does not resemble tissue-specific matrices, and the lack of perfusion potentially leads to the accumulation of waste products and bacterial overgrowth. The use of cells from different human donors in one system can be avoided by the implementation of hiPSCs. However, the cells are more difficult to handle and increase time requirements as well as costs for a single experiment compared to models that use Caco-2 cells. Furthermore, differentiation of hiPSCs into all epithelial cell types, macrophages, DCs, T cells and other cell types is an enormous challenge. Optimization and standardization of differentiation protocols also remain major issues. Regarding the application of hiPSC-culture in OoC platforms, attention must be drawn to differentiation under static and dynamic conditions which might lead to different outcomes. Nevertheless, hiPSCs hold great potential for future models of the intestine. The combination of hiPSCs with advanced OoC and microfluidic technologies can substantially contribute to the development of more physiologically relevant models. As a long-term goal researchers aim at establishing multi-organ-on-chip models (MOCs), integrating several organotypic models on one platform in order to investigate systemic effects (Luni et al. 2014). This is especially relevant in host-microbiota research since it is known that the microbiota is part of a bidirectional connection of the intestine with other organs such as the liver and the brain.

#### **5.4.3 Improvements for accurately mimicking the microenvironment for host-microbiota interactions**

Our intestine-on-chip model consists of epithelial cells displaying Paneth and goblet cell markers, macrophages, and DCs, allows T cell perfusion, colonization with commensal microbiota, and infection with pathogenic bacteria. Still, the model lacks important features to accurately mimic the colonic environment. First, the oxygen levels range from 0.1-1% between the mucus layer and the anaerobic luminal zone and from 80-100% in the crypt region (Espey 2013). This oxygen gradient is crucial to maintain the normal function of the intestinal ecosystem (Zheng et al. 2015). For example, the hypoxia-inducible factor-1 $\alpha$  (HIF-1 $\alpha$ ) globally regulates oxygen homeostasis, is involved in the maintenance of barrier integrity and many oxygen-responsive signaling pathways, such as the Wnt/ $\beta$ -Catenin signaling pathway. The microbiota is adapted to

the low oxygen tension and is therefore dominated by obligate anaerobes. The imitation of the gradient enables the culture of important commensal bacteria in the intestine-on-chip model and can be achieved, for example, by perfusion of the vascular compartment with oxygenated cell culture medium, while the chip model is placed in a hypoxic incubator. Currently, the intestine-on-chip model can only be analyzed by end-point analysis. The implementation of sensors for the measurement of parameters, such as oxygen, pH, and glucose is another important aspect that needs to be considered. *In situ* measurement of these parameters in real-time can provide pivotal insights into growth dynamics within the chip. Implementation of additional analytical methods such as mass spectrometry has been suggested for OoC systems, which can be useful to identify microbiota-derived metabolites exerting effects on host cells (Ashammakhi et al. 2020; Oedit et al. 2015). Advanced image analysis is needed to appropriately study immune cells residing in villi-like structures, as the epithelial layer can be up to 70  $\mu\text{m}$  thick. The signal of labeled antibodies against cells locating to the crypt-like areas appears weak, making visualization of cells difficult.

Furthermore, as discussed in 5.4.1, Caco-2 cells do not fully recapitulate healthy intestinal tissue. Epithelial cells, endothelial cells, and immune cells all derive from different human donors, which might lead to an unintended immune reaction. Using hiPSCs, this issue can be eliminated. Moreover, patient-specific intestine-on-chip models can be developed from hiPSCs. This approach allows the investigation of diseases such as IBD with cells that already carry a predisposing genetic background. Personalized medicine holds great potential for elucidating the pathogenesis of numerous diseases (Chun et al. 2011).

Finally, the intestine-on-chip model may include a range of cell types, still, other cell types such as B cells, eosinophils, mast cells, fibroblasts, muscle cells, and neurons are lacking. However, one should bear in mind that the increasing complexity of the model comes with increasing difficulties to handle the model as a trade-off. Multiple strains of microorganisms must be cultured in parallel before colonization or infection of the model. Therefore, the complexity and easy handling of intestine-on-chip models studying microbiota-host interactions should be balanced.

## 6. CONCLUSION

We have established a microfluidic intestine-on-chip model comprising an epithelial and endothelial layer, and monocyte-derived macrophages and DCs (Manuscript I). The characterization revealed that monocytes differentiated into CD68<sup>++</sup> CX3CR1<sup>+</sup> macrophages and CD68<sup>+</sup> CD103<sup>+</sup> dendritic cells, with a distinct location within the intestinal tissue and presumably, different functions. Macrophages and DCs demonstrated to be largely unresponsive to luminal LPS administration. Furthermore, the intestinal model allowed stable colonization with the probiotic strain *L. rhamnosus*, which is also tolerated by macrophages and DCs, as well as by recruited PBMCs from the vascular compartment. This immune tolerance is a necessary feature of intestinal immune cells to avoid chronic inflammation in response to commensal microbes and their metabolic products. It can therefore be concluded that the microenvironment created by the intestinal tissue supports the differentiation of monocytes into tolerogenic macrophages and DCs. In contrast, immune cells residing in the endothelial layer retain their responsiveness towards microbial products. LPS treatment leads to a proinflammatory response, which is evident by increased secretion of the cytokines IL-1 $\beta$ , IL-6, IL-8, and TNF, and a compromised endothelial lining. Therefore, endothelial cells might keep monocytes in a rather undifferentiated, responsive state. These findings undermine the importance of implementing relevant cell types cultured under physiological conditions into organotypic models.

Moreover, the intestinal model facilitated microbial interaction studies with the opportunistic pathogen *C. albicans*. The presence of *L. rhamnosus* limited the growth of the fungi, reduced tissue damage, and translocation from the luminal into the vascular compartment. It is known that *L. rhamnosus* also conveys a protective effect for infections with the enteric pathogen *S. typhimurium*. An *S. typhimurium* infection protocol was established, as well as a perfusion protocol for T cells. Thus, the intestine-on-chip model enables a systematic examination of a co-culture comprising of *L. rhamnosus* and *S. typhimurium* and may reveal novel mechanisms employed by the pathogen to act against commensals and host cells. In addition, DCs and T cells were found to be located adjacent to each other indicating antigen presentation and priming of T cells by DCs. Following antigen presentation *in vivo*, naïve T cells differentiate into Th17 or Treg cells, for example. Future studies are needed to determine whether DCs in the intestinal model present antigens to T cells and if so, identify the subtype into

which T cells differentiate in the presence of *L. rhamnosus* and *S. typhimurium*. Moreover, cytokine secretion by T cells during homeostasis and infection can provide more information about their role during these conditions and how the pathogens evade elimination.

However, to enhance the physiological relevance the model requires further improvement. The integration of hypoxic conditions will enable the culture of anaerobic bacteria and is required for the activation of specific signaling pathways involved in maintaining intestinal homeostasis. As another optimization, instead of using Caco-2 cells and primary cell from different human donors, hiPSCs are the cell source of choice for future models. This also holds true for *in vitro* modeling of other tissue structures such as the respiratory tract and blood-brain barrier that can be overcome by pathogenic fungal species (Manuscript II). Bacteria, fungi, and viruses do not only impact host cells locally but also systemically by releasing metabolites that are taken up by epithelial cells and released into the bloodstream. Consequently, the interconnection of OoC models, for example, the intestine with the liver would allow an analysis of the impact of microbial metabolites on liver function.

In summary, despite having its limitations the intestine-on-chip model proved to be a suitable platform to dissect microbial interactions and further, provides the opportunity to unravel antagonistic mechanisms employed by probiotic strains and adaption of pathogens to survive and evade the immune system. Advanced MPS can play a major role in speeding up drug discovery in the near future.



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## **D CURRICULUM VITAE**

## E LIST OF PUBLICATIONS

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## Manuscript I:

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Bernhard Hube	Supervision of the review
Alexander S. Mosig	Supervision of the review



## G EHRENWÖRTLICHE ERKLÄRUNG

Hiermit erkläre ich, dass mir die Promotionsordnung der Medizinischen Fakultät der Friedrich-Schiller-Universität bekannt ist,

ich die Dissertation selbst angefertigt habe und alle von mir benutzten Hilfsmittel, persönlichen Mitteilungen und Quellen in meiner Arbeit angegeben sind,

mich folgende Personen bei der Auswahl und Auswertung des Materials sowie bei der Herstellung des Manuskripts unterstützt haben: PD Dr. Alexander S. Mosig und Dr. Mark S. Gresnigt,

die Hilfe eines Promotionsberaters nicht in Anspruch genommen wurde und dass Dritte weder unmittelbar noch mittelbar geldwerte Leistungen von mir für Arbeiten erhalten haben, die im Zusammenhang mit dem Inhalt der vorgelegten Dissertation stehen,

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Ort, Datum

Unterschrift von Michelle Maurer